

UNITED STATES HOUSE OF REPRESENTATIVES
2021
2020 FINANCIAL DISCLOSURE STATEMENT

Form A
 For Use by Members, Officers, and Employees

HAND DELIVERED Page 4 of 28
 LEGISLATIVE RESOURCE CENTER
 2022 MAY 16 PM 2:11
 MC

Name: W. Kurt Schrader Daytime Telephone: 202-225-5711

OFFICE OF THE CLERK
 A \$200 penalty shall be assessed against any individual who files more than 10 days late.

FILER STATUS	<input checked="" type="checkbox"/> Member of the U.S. House of Representatives	State: <u>Oregon</u> District: <u>5</u>	<input type="checkbox"/> Officer or Employee	Employing Office: _____	Staff Filer Type: (If Applicable) <input type="checkbox"/> Shared <input type="checkbox"/> Principal Assistant
REPORT TYPE	<input checked="" type="checkbox"/> 2024 Annual (Due: May 17, 2024)	<input type="checkbox"/> Amendment	<input type="checkbox"/> Termination	Date of Termination: _____	

PRELIMINARY INFORMATION - ANSWER EACH OF THESE QUESTIONS

A. Did you, your spouse, or your dependent child: a. Own any reportable asset that was worth more than \$1,000 at the end of the reporting period? or b. Receive more than \$200 in unearned income from any reportable asset during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	F. Did you have any reportable agreement or arrangement with an outside entity during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>
B. Did you, your spouse, or your dependent child purchase, sell, or exchange any securities or reportable real estate in a transaction exceeding \$1,000 during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	G. Did you, your spouse, or your dependent child receive any reportable gift(s) totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
C. Did you or your spouse have "earned" income (e.g., salaries, honoraria, or pension/IRA distributions) of \$200 or more during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	H. Did you, your spouse, or your dependent child receive any reportable travel or reimbursements for travel totaling more than \$415 in value from a single source during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
D. Did you, your spouse, or your dependent child have any reportable liability (more than \$10,000) at any point during the reporting period?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	I. Did any individual or organization make a donation to charity in lieu of paying you for a speech, appearance, or article during the reporting period?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
E. Did you hold any reportable positions during the reporting period or in the current calendar year up through the date of filing?	Yes <input checked="" type="checkbox"/> No <input type="checkbox"/>	ATTACH THE CORRESPONDING SCHEDULE IF YOU ANSWER "YES"	

IPO AND EXCLUSION OF SPOUSE, DEPENDENT, OR TRUST INFORMATION - ANSWER EACH OF THESE QUESTIONS

IPO - Did you purchase any shares that were allocated as a part of an Initial Public Offering during the reporting period? If you answered "yes" to this question, please contact the Committee on Ethics for further guidance.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
TRUSTS - Details regarding "Qualified Blind Trusts" approved by the Committee on Ethics and certain other "excepted trusts" need not be disclosed. Have you excluded from this report details of such a trust that benefits you, your spouse, or dependent child?	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>
EXEMPTION - Have you excluded from this report any other assets, "unearned" income, transactions, or liabilities of a spouse or your dependent child because they meet all three tests for exemption? Do not answer "yes" unless you have first consulted with the Committee on Ethics.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>

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SCHEDULE A - ASSETS & "UNEARNED"

Name:

W. Kurt Schneider

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	Fidelity Treasury Mkt																																			
	Artisan MidCap																																			
	PET Global Total																																			
	Renaissance Growth																																			
	Renewable Growth																																			
	Wellington CRB MidCap																																			
	Barclays Low Income Index																																			
	Vanguard Short-Term Bond																																			
	Real Estate Index Fund																																			
	BlackRock Dividend Fund																																			
	BlackRock Invest Trust																																			
	MSC Research Intl HED																																			
	PET Intl Energy Bond																																			
	Allegheny CRB Mid Cap Value																																			

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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & " UNEARNED

Name: W. Kurt Schneider

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction					
SP, DC, JT	ASSET NAME	None	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	None	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
	Vise Inc																																						
	Albion Corp/Hills																																						
	Home Depot																																						
	Parade Corp																																						
	Zeitz Inc																																						
	Zeitz Corp																																						
	Zeitz Holdings Inc																																						
	Prince, Noel, Leonard III																																						
	Blackhawk Inc																																						
	United Health Corp																																						
	Cinco Corp																																						
	Spencer Mountain																																						
	Spencer Corp																																						
	Spencer S.A.																																						
	Bank of America Corp																																						
	STP Global Inc																																						

SCHEDULE A - ASSETS & " UNEARNED

Name:

W. Kurt Schneider

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E
	<i>None</i>																																		
	<i>\$1-\$1,000</i>																																		
	<i>\$1,001-\$15,000</i>																																		
	<i>\$15,001-\$50,000</i>																																		
	<i>\$50,001-\$100,000</i>																																		
	<i>\$100,001-\$250,000</i>																																		
	<i>\$250,001-\$500,000</i>																																		
	<i>\$500,001-\$1,000,000</i>																																		
	<i>\$1,000,001-\$5,000,000</i>																																		
	<i>\$5,000,001-\$25,000,000</i>																																		
	<i>\$25,000,001-\$50,000,000</i>																																		
	<i>Over \$50,000,000</i>																																		
	<i>Spouse/DC Asset over \$1,000,000*</i>																																		
	<i>None</i>																																		
	<i>DIVIDENDS</i>																																		
	<i>RENT</i>																																		
	<i>INTEREST</i>																																		
	<i>CAPITAL GAINS</i>																																		
	<i>EXCEPTED/BLIND TRUST</i>																																		
	<i>TAX-DEFERRED</i>																																		
	<i>Other Type of Income (Specify: e.g., Partnership Income or Farm Income)</i>																																		
	<i>None</i>																																		
	<i>\$1-\$200</i>																																		
	<i>\$201-\$1,000</i>																																		
	<i>\$1,001-\$2,500</i>																																		
	<i>\$2,501-\$5,000</i>																																		
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	<i>\$100,001-\$1,000,000</i>																																		
	<i>\$1,000,001-\$5,000,000</i>																																		
	<i>Over \$5,000,000</i>																																		
	<i>Spouse/DC Asset with income over \$1,000,000*</i>																																		
	<i>P, S, S(part), or E</i>																																		

SCHEDULE A - ASSETS & " UNEARNED

Name: *W. Kurt Schneider*

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BLOCK A		BLOCK B													BLOCK C							BLOCK D												BLOCK E		
Assets and/or Income Sources		Value of Asset													Type of Income							Amount of Income												Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, Spouse, or E	
	None																																			
	Sea Ltd Inc																																			
	WTH Prothomay Ltd																																			
	ASME Holding																																			
	Alison Inc																																			
	Victoria Inc																																			
	Meta Platforms Inc																																			
	Apiv Plc																																			
	JP Morgan Chase & Co																																			
	MSGT Inc																																			
	Catalent Inc																																			
	Boehr Corp																																			
	Northrup Grumman Corp																																			
	Alidia Corp																																			
	Vulcan Materials																																			

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Use additional sheets if more space is required

SCHEDULE A - ASSETS & "UNEARNED"

Name:

W. Kurt Schneider

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income								BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E		
	IShares Russell 2000																																				
	IShares SP 500																																				
	Select Sector SPDR																																				
	Vanguard Growth																																				
	Vanguard Growth																																				
	Blackstone REIT																																				
	Simon Homecare Int'l																																				
	SP Morgan Strategic																																				
	Western Asset Managers																																				
	Abdine Inc. SHS																																				
	AT&T Inc.																																				
	SPDR Gold Trust																																				
	Adelphi Inc.																																				
	Procter & Gamble																																				
	Citrix Systems Inc.																																				
	W.L. & Co.																																				

SCHEDULE A - ASSETS & "UNEARNED"

Name:

W. Kurt Schneider

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or B	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
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	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	<i>Alordia</i>																																			
	<i>Quelcor Inc</i>																																			
	<i>Blackrock Global Long</i>																																			
	<i>EShaus Midlog Rided</i>																																			
	<i>SP Mega Energy</i>																																			
	<i>Alordia Asset Cor</i>																																			
	<i>Barter International Inc</i>																																			
	<i>Biozon</i>																																			
	<i>Blackstone Inc</i>																																			
	<i>Cherren Corp.</i>																																			
	<i>Enterprise Rpts Pubs</i>																																			
	<i>Sanab's Regn Corp Inc</i>																																			
	<i>Uthagoys</i>																																			

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Use additional sheets if more space is required.

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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED"

Name: W. Kurt Schneider

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income											BLOCK E Transaction			
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	Spachway Fidelity																																			
	Fargo Corp																																			
	Texas Instruments																																			
	Toronto Dominion Bank																																			
	Trust FPL Corp																																			
	United Parcel Service																																			
	VeriHealth Group Inc																																			
	Verizon Communications																																			
	VICI PRYS Inc.																																			
	Wal-Mart Inc																																			
	Williams Companies Div																																			
	Blackrock Strategic																																			
	Brown ADV Sustainable																																			
	Calvert Small Cap																																			
	Calvert US Div Cap Value																																			
	CEI Community Impact																																			

SCHEDULE A - ASSETS & "UNEARNED"

Name:

W. Kurt Schneider

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: s.p., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	Delaware Corporate Bond																																			
	ISH USd Hgh Yield																																			
	IShares Int'l Share																																			
	IShares Int'l Share																																			
	JP Morgan Strategic																																			
	Novus ESG Large																																			
	Novus ESG Large																																			
	Novus ESG Large																																			
	Novus ESG Large																																			
	Novus ESG Large																																			
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Use additional sheets if more space is required

SCHEDULE A - ASSETS & " UNEARNED

Name:

W. Kurt Schneider

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, S(part), or E	
	sp Am exp Fund C																																			
	sp American Mutual Fund C																																			
	sp American Mutual Fund C																																			
	sp Euro Pacific Growth Fd C																																			
	sp Fundamental Investments																																			
	sp Global Balanced Fund C																																			
	sp The Growth Fund of America																																			
	sp International Growth Fund C																																			
	sp The Investment Corp of America																																			
	sp The New Renaissance Fund C																																			
	sp New Renaissance Fund C																																			
	sp Small Cap World Fund C																																			
	sp Washington Mutual Fund C																																			
	sp American Mutual Fund C																																			
	sp Global Balanced Fd C																																			
	sp The Growth Fund of America																																			

SCHEDULE A - ASSETS & " UNEARNED

Name:

W. Kurt Schneider

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BLOCK A		BLOCK B													BLOCK C							BLOCK D												BLOCK E		
Assets and/or Income Sources		Value of Asset													Type of Income							Amount of Income												Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, Gift, or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
	\$50,001-\$100,000																																			
	\$100,001-\$250,000																																			
	\$250,001-\$500,000																																			
	\$500,001-\$1,000,000																																			
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	\$5,000,001-\$25,000,000																																			
	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	Small Cap Fund A																																			
	Small Cap Fund B																																			
	Small Cap Fund C																																			
	Small Cap Fund D																																			
	Small Cap Fund E																																			
	Small Cap Fund F																																			
	Small Cap Fund G																																			
	Small Cap Fund H																																			
	Small Cap Fund I																																			
	Small Cap Fund J																																			
	Small Cap Fund K																																			
	Small Cap Fund L																																			
	Small Cap Fund M																																			
	Small Cap Fund N																																			
	Small Cap Fund O																																			
	Small Cap Fund P																																			
	Small Cap Fund Q																																			
	Small Cap Fund R																																			
	Small Cap Fund S																																			
	Small Cap Fund T																																			
	Small Cap Fund U																																			
	Small Cap Fund V																																			
	Small Cap Fund W																																			
	Small Cap Fund X																																			
	Small Cap Fund Y																																			
	Small Cap Fund Z																																			

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Use additional sheets if more space is required.

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Use additional sheets if more space is required.

SCHEDULE A - ASSETS & "UNEARNED"

Name:

M. Kurt Schneider

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BLOCK A Assets and/or Income Sources		BLOCK B Value of Asset													BLOCK C Type of Income							BLOCK D Amount of Income												BLOCK E Transaction		
SP, DC, JT	ASSET NAME	A	B	C	D	E	F	G	H	I	J	K	L	M	NONE	DIVIDENDS	RENT	INTEREST	CAPITAL GAINS	EXCEPTED/BLIND TRUST	TAX-DEFERRED	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)	I	II	III	IV	V	VI	VII	VIII	IX	X	XI	XII	P, S, Grant, or E	
	None																																			
	\$1-\$1,000																																			
	\$1,001-\$15,000																																			
	\$15,001-\$50,000																																			
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	\$25,000,001-\$50,000,000																																			
	Over \$50,000,000																																			
	Spouse/DC Asset over \$1,000,000*																																			
	None																																			
	DIVIDENDS																																			
	RENT																																			
	INTEREST																																			
	CAPITAL GAINS																																			
	EXCEPTED/BLIND TRUST																																			
	TAX-DEFERRED																																			
	Other Type of Income (Specify: e.g., Partnership Income or Farm Income)																																			
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	\$1,000,001-\$5,000,000																																			
	Over \$5,000,000																																			
	Spouse/DC Asset with income over \$1,000,000*																																			
	P, S, Grant, or E																																			
	Am exp Fund-529A	X																																		
	72 Growth Fund of America	X																																		
	72 Global Economy Fund-529A	X																																		
	72 Global Economy Fund-529A	X																																		
	72 Global Economy Fund-529A	X																																		
	Small Cap World Fund-529A	X																																		

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Use additional sheets if more space is required.

Name: W. Kurt Schradler Page 24 of 28

EXCLUDE: Military pay (such as National Guard or Reserve pay), federal retirement programs, and benefits received under the Social Security Act.

INCOME LIMITS and PROHIBITED INCOME: The 2020 limit on outside earned income for Members and employees compensated at or above the "senior staff" rate was \$28,845. The 2021 limit is \$29,595. In addition, certain types of income (notably honoraria, director's fees, and payments for professional services involving a fiduciary relationship) are totally prohibited.

[illegible]

SCHEDULE D - LIABILITIES

Name: W. Kurt Schneider Page 25 of 28

Report liabilities of over \$10,000 owed to any one creditor at any time during the reporting period by you, your spouse, or your dependent child. Mark the highest amount owed during the reporting period. **Members:** Members are required to report all liabilities secured by real property including mortgages on their personal residence. **Exclude:** Any mortgage on your personal residence (unless you rent it out or are a Member); loans secured by automobiles, household furniture, or appliances; liabilities of a business in which you own an interest (unless you are personally liable); and liabilities owed to you by a spouse or the child, parent, or sibling of you or your spouse. Report a revolving charge account (i.e., credit card) only if the balance at the close of the reporting period exceeded \$10,000. *Column K is for liabilities held solely by your spouse or dependent child.

SP, DC, JT	Creditor	Date Liability Incurred MO/YR	Type of Liability	Amount of Liability										
				A	B	C	D	E	F	G	H	I	J	K
				\$10,001-\$15,000	\$15,001-\$50,000	\$50,001-\$100,000	\$100,001-\$250,000	\$250,001-\$500,000	\$500,001-\$1,000,000	\$1,000,001-\$5,000,000	\$5,000,001-\$25,000,000	\$25,000,001-\$50,000,000	Over \$50,000,000	Over \$1,000,000* (Spouse/DC Liability)
Example	First Bank of Wilmington, DE	5/20	Mortgage on Rental Property, Dover, DE				X							
	Merrill Lynch Credit Corp.	Oct 2012	Mortgage Washington D.C.				X							
	KeyBank L.O.C. - Oregon	June 2015	L.O.C. Candy Oregon				X							
sp	Centlar	March 2015	Mortgage, Maryland House				X							
	Merrill Lynch L.O.C.	Jan 2014	L.O.C. - loan management					X						

SCHEDULE E - POSITIONS

Report all positions, compensated or uncompensated, held during the current or prior calendar year as an officer, director, trustee of an organization, partner, proprietor, representative, employee, or consultant of any corporation, firm, partnership, or other business enterprise, nonprofit organization, labor organization, or educational or other institution other than the United States. **Exclude:** Positions held in any religious, social, fraternal, or political entities (such as political parties and campaign organizations); and positions solely of an honorary nature.

Position	Name of Organization
Partner	Three Rivers Farm LLC
Manager - Owner	Shelke Island LLC

SCHEDULE F - AGREEMENTS

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Identify the date, parties to, and general terms of any agreement or arrangement that you have with respect to: future employment; a leave of absence during the period of government service; continuation or deferral of payments by a former or current employer other than the U.S. government; or continuing participation in an employee welfare or benefit plan maintained by a former employer.

Date	Parties to Agreement	Terms of Agreement
Jan 1997	State of Oregon	Oregon PERKS (continued participation)

SCHEDULE G - GIFTS

Report the source (by name), a brief description, and the value of all gifts totaling more than \$415 received by you, your spouse, or your dependent child from any source during the year. Exclude: Gifts from relatives, gifts of personal hospitality from an individual (which may not include a registered lobbyist or foreign agent), local meals, and gifts to a spouse or dependent child that are totally independent of his or her relationship to you. Gifts with a value of \$165 or less need not be added towards the \$415 disclosure threshold. Note: The gift rule (House Rule 25, clause 5) prohibits acceptance of gifts except as specifically provided in the rule and some gifts require prior approval of the Committee on Ethics.

Source	Description	Value
Example: Mr. Joseph Smith, Arlington, VA	Silver Platter (prior determination of personal friendship received from the Committee on Ethics)	\$500
W.A.		

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EXCLUDE: Travel-related expenses provided by federal, state, and local governments, or by a foreign government required to be separately reported under the Foreign Gifts and Decorations Act (F.G.D.A., 5 U.S.C. § 7542); political travel that is required to be reported under the Federal Election Campaign Act; travel provided to a spouse or dependent child that is totally independent of his or her relationship to the filer.

[illegible]

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[illegible]

All Activity

Multiple Activity Types: Dividends/Interest, Security Transactions, Other

259 Items - Custom - From 1/1/2021 to 12/31/2021 as of Close of Business 4/1/2022

WKS

Total Count: 259
Total Amount: 158.35
Pending Count: 0
Pending Amount: 0.00
Settled Count: 259
Settled Amount: 158.35

MERRILL LYNCH
A BANK OF AMERICA COMPANY

Account / Nickname	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security #	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
CMA	12/31/2021	12/31/2021	D	Dividend WESTERN ASSET MANAGED MUNICIPALS FD 1 PAY DATE 12/31/2021	SMWYX	97561	524693509	7	000					158.35
CMA	12/31/2021	12/31/2021	D	Dividend JP MORGAN STRATEGIC INCOME OPF FUND CL 1 PAY DATE 12/30/2021	J5OSX	99P26	481244351	1	000					11.36
CMA	12/31/2021	12/31/2021	D	Bank Interest ML BANK DEPOSIT PROGRAM FROM 11/30 THRU 12/31	94SK9		090286916	4	AMF					0.09
CMA	12/30/2021	12/30/2021	D	Dividend VANGUARD GROWTH ETF HOLDING 275.0000 PAY DATE 12/30/2021	VUG	31FM4	922908736	2	000					128.98
CMA	12/30/2021	12/30/2021	D	Dividend AMERICAN INTERNATIONAL GROUP INC HOLDING 400.0000 PAY DATE 12/30/2021	AIG	02A17	026874704	1	000					128.00
CMA	12/29/2021	12/29/2021	D	Sale AMERICAN INTERNATIONAL GROUP INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AIG	02A17	026874784	1	0			(100)	56.66	5,665.97
CMA	12/29/2021	12/29/2021	D	Purchase BIOGEN INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BIIB	075W0	09062X103	2	000	0		50	235.41	(11,770.50)
CMA	12/29/2021	12/29/2021	D	Sale VERIZON COMMUNICATIONS COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VZ	79806	92343V104	3	0			(200)	52.69	10,537.95
CMA	12/28/2021	12/28/2021	D	Dividend CME GROUP INC HOLDING 100.0000 PAY DATE 12/28/2021	CME	153G0	12572Q105	1	000					90.00
CMA	12/27/2021	12/27/2021	D	Dividend LOCKHEED MARTIN CORP HOLDING 100.0000 PAY DATE 12/27/2021	LMT	432G4	539830109	2	000					280.00
CMA	12/27/2021	12/27/2021	D	Dividend VANECK GOLD MINERS ETF MINERS ETF HOLDING 1000.0000 PAY DATE 12/27/2021	GDX	39QP6	92189F106	1	000					534.80
CMA	12/23/2021	12/23/2021	D	Reinvestment Share(s) BLACKROCK INC PRINCIPAL REINV AMT \$321.93 REINV PRICE \$913.7727 REINV SHRS .3523	BLK	080L2	09247X101	6	671			0.3523		0.00
CMA	12/23/2021	12/23/2021	D	Dividend SELECT SECTOR SPDR TR HOLDING 525.0000 PAY DATE 12/23/2021	XLK	673G5	81369Y803	5	000					16,460.00
CMA	12/23/2021	12/23/2021	D	Dividend HEALTH CARE SELECT SPDR HOLDING 340.0000 PAY DATE 12/23/2021	XLV	673F6	81369Y209	4	000					1,200.00
CMA	12/23/2021	12/23/2021	D	Dividend NVIDIA HOLDING 112.0000 PAY DATE 12/23/2021	NVDA	528G9	67066G104	3	000					4,000.00
CMA	12/23/2021	12/23/2021	D	Reinvestment Program BLACKROCK INC	BLK	080L2	09247X101	2	000					(321.93)
CMA	12/23/2021	12/23/2021	D	Dividend BLACKROCK INC HOLDING 77.9499 PAY DATE 12/23/2021	BLK	080L2	09247X101	1	000					321.93

REINVESTMENT PROGRAM JP MORGAN EMERGING MARKETS EQ FD CL 1	12/22/2021	12/22/2021	REINVESTMENT PROGRAM JP MORGAN EMERGING MARKETS EQ FD CL 1	JEMSX	9E9K4	4812A0623	2	000	(238.76)
Dividend	12/22/2021	12/22/2021	Dividend	JEMSX	9E9K4	4812A0623	1	000	238.76
REINVESTMENT PROGRAM JP MORGAN EMERGING MARKETS EQ FD CL 1 PAY DATE 12/21/2021	12/22/2021	12/22/2021	REINVESTMENT PROGRAM JP MORGAN EMERGING MARKETS EQ FD CL 1 AGENT REINV AMT \$238.76 REINV PRICE \$ 16.39000 REINV SHRS 6.5610 AS OF 12/21	JEMSX	9E9K4	4812A0623	3	684	6.5610
REINVESTMENT PROGRAM JOHN HANCOCK INTL GROWTH FUND CL 1	12/21/2021	12/21/2021	REINVESTMENT PROGRAM JOHN HANCOCK INTL GROWTH FUND CL 1	GOGIX	9L8M3	47803T627	6	000	(760.72)
Short Term Capital Gain	12/21/2021	12/21/2021	Short Term Capital Gain	GOGIX	9L8M3	47803T627	5	000	2,934.16
REINVESTMENT PROGRAM JOHN HANCOCK INTL GROWTH FUND CL 1 PAY DATE 12/20/2021	12/21/2021	12/21/2021	REINVESTMENT PROGRAM JOHN HANCOCK INTL GROWTH FUND CL 1	GOGIX	9L8M3	47803T627	4	000	(2,934.16)
Long Term Capital Gain	12/21/2021	12/21/2021	Long Term Capital Gain	GOGIX	9L8M3	47803T627	3	000	2,934.16
REINVESTMENT PROGRAM JOHN HANCOCK INTL GROWTH FUND CL 1	12/21/2021	12/21/2021	REINVESTMENT PROGRAM JOHN HANCOCK INTL GROWTH FUND CL 1	GOGIX	9L8M3	47803T627	2	000	(181.77)
Dividend	12/21/2021	12/21/2021	Dividend	GOGIX	9L8M3	47803T627	1	000	181.77
REINVESTMENT PROGRAM JOHN HANCOCK INTL GROWTH FUND CL 1 PAY DATE 12/20/2021	12/21/2021	12/21/2021	REINVESTMENT PROGRAM JOHN HANCOCK INTL GROWTH FUND CL 1 AGENT REINV AMT \$260.72 REINV PRICE \$33.56000 REINV SHRS 7.7690 AS OF 12/17	GOGIX	9L8M3	47803T627	9	684	7.7690
REINVESTMENT PROGRAM JOHN HANCOCK INTL GROWTH FUND CL 1	12/21/2021	12/21/2021	REINVESTMENT PROGRAM JOHN HANCOCK INTL GROWTH FUND CL 1	GOGIX	9L8M3	47803T627	8	684	07.4300
Dividend	12/21/2021	12/21/2021	Dividend	GOGIX	9L8M3	47803T627	7	684	5.4160
REINVESTMENT PROGRAM JOHN HANCOCK INTL GROWTH FUND CL 1 AGENT REINV AMT \$181.77 REINV PRICE \$33.56000 REINV SHRS 5.4160 AS OF 12/17	12/21/2021	12/21/2021	REINVESTMENT PROGRAM JOHN HANCOCK INTL GROWTH FUND CL 1 AGENT REINV AMT \$181.77 REINV PRICE \$33.56000 REINV SHRS 5.4160 AS OF 12/17	GOGIX	9L8M3	47803T627	3	684	35.4820
REINVESTMENT PROGRAM BLACKSTONE ALT MULTI STRATEGY FUND CLASS 1	12/20/2021	12/20/2021	REINVESTMENT PROGRAM BLACKSTONE ALT MULTI STRATEGY FUND CLASS 1	BXMIX	9T3L3	09257V201	4	000	0.00
Dividend	12/20/2021	12/20/2021	Dividend	BXMIX	9T3L3	09257V201	3	684	0.00
REINVESTMENT PROGRAM OAKMARK INTL FD CL INSTITUTIONAL	12/20/2021	12/20/2021	REINVESTMENT PROGRAM OAKMARK INTL FD CL INSTITUTIONAL	OANIX	9U0X6	413838723	5	000	(2,934.16)
Dividend	12/20/2021	12/20/2021	Dividend	OANIX	9U0X6	413838723	4	000	2,934.16
REINVESTMENT PROGRAM OAKMARK INTL FD CL INSTITUTIONAL	12/20/2021	12/20/2021	REINVESTMENT PROGRAM OAKMARK INTL FD CL INSTITUTIONAL	OANIX	9U0X6	413838723	2	000	(2,934.16)
Dividend	12/20/2021	12/20/2021	Dividend	OANIX	9U0X6	413838723	1	000	2,934.16
REINVESTMENT PROGRAM OAKMARK INTL FD CL INSTITUTIONAL	12/20/2021	12/20/2021	REINVESTMENT PROGRAM OAKMARK INTL FD CL INSTITUTIONAL	OANIX	9U0X6	413838723	1	000	(2,934.16)

Symbol	Ex Date	Pay Date	Description	Security	Shares	Amount	Cost	Gain/Loss
MSFT	12/17/2021	12/17/2021	Dividend ISHARES S&P 500 GROWTH HOLDING 1850.0000 PAY DATE 12/17/2021	IVW	308E7	464287309	3	000
MSFT	12/17/2021	12/17/2021	Dividend ISHARES BIOTECHNOLOGY ETF HOLDING 150.0000 PAY DATE 12/17/2021	IBB	31CM7	464287556	2	000
MSFT	12/17/2021	12/17/2021	Dividend ISHARES RUSSELL 3000 HOLDING 75.0000 PAY DATE 12/17/2021	IWV	31CK2	464287689	1	000
MSFT	12/16/2021	12/16/2021	Dividend QUALCOMM INC HOLDING 100.0000 PAY DATE 12/16/2021	QCOM	634B7	747525103	1	000
MSFT	12/15/2021	12/15/2021	Reinvestment Program JP MORGAN EMERGING MARKETS EQ FD CL J	JEMSX	9E9K4	4812A0623	4	000
MSFT	12/15/2021	12/15/2021	Short Term Capital Gain JP MORGAN EMERGING MARKETS EQ FD CL J PAY DATE 12/14/2021	JEMSX	9E9K4	4812A0623	3	000
MSFT	12/15/2021	12/15/2021	Reinvestment Program JP MORGAN EMERGING MARKETS EQ FD CL J	JEMSX	9E9K4	4812A0623	2	000
MSFT	12/15/2021	12/15/2021	Long Term Capital Gain JP MORGAN EMERGING MARKETS EQ FD CL J PAY DATE 12/14/2021	JEMSX	9E9K4	4812A0623	1	000
MSFT	12/15/2021	12/15/2021	Reinvestment Share(s) JP MORGAN EMERGING MARKETS EQ FD CL J AGENT REINV AMT \$1674.47 REINV PRICE \$38.26000 REINV SHRS 43.7860 AS OF 12/13	JEMSX	9E9K4	4812A0623	5	684
MSFT	12/15/2021	12/15/2021	Reinvestment Share(s) JP MORGAN EMERGING MARKETS EQ FD CL J AGENT REINV AMT \$1.41 REINV PRICE \$38.26000 REINV SHRS .0370 AS OF 12/13	JEMSX	9E9K4	4812A0623	6	684
MSFT	12/10/2021	12/10/2021	Dividend ELI LILLY & CO HOLDING 140.0000 PAY DATE 12/10/2021	LLY	44534	532457108	2	000
MSFT	12/10/2021	12/10/2021	Dividend CHEVRON CORP HOLDING 200.0000 PAY DATE 12/10/2021	CVX	144X5	166764100	1	000
MSFT	12/09/2021	12/09/2021	Reinvestment Share(s) MICROSOFT CORP PRINCIPAL REINV AMT \$342.20 REINV PRICE \$334.63926 REINV SHRS 1.0226	MSFT	49522	594918104	3	671
MSFT	12/09/2021	12/09/2021	Reinvestment Program MICROSOFT CORP	MSFT	49522	594918104	2	000
MSFT	12/09/2021	12/09/2021	Dividend MICROSOFT CORP HOLDING 551.9328 PAY DATE 12/09/2021	MSFT	49522	594918104	1	000
MSFT	12/07/2021	12/07/2021	Reinvestment Share(s) JOHNSON AND JOHNSON COM PRINCIPAL REINV AMT \$208.55 REINV PRICE \$163.88195 REINV SHRS 1.8217	JNJ	40853	478160104	3	671
MSFT	12/07/2021	12/07/2021	Reinvestment Program JOHNSON AND JOHNSON COM	JNJ	40853	478160104	2	000
MSFT	12/07/2021	12/07/2021	Dividend JOHNSON AND JOHNSON COM HOLDING 381.6488 PAY DATE 12/07/2021	JNJ	40853	478160104	1	000
MSFT	12/02/2021	12/02/2021	Advisory Program Fee INV. ADVISORY FEE DEC				1	674
MSFT	12/01/2021	12/01/2021	Transfer / Adjustment TR TO 73740731 N/O WALTER K SCHRAEDER VS 73740731 UNIT 737 BATCH 5 =				5	737

CMA	12/01/2021	12/01/2021	8-3	Dividend WESTERN ASSET MANAGED MUNICIPALS FD 1 PAY DATE 11/30/2021	SMYX	97561	524691509	1	000		149.58
CMA	11/30/2021	11/30/2021	8-3	Dividend JP MORGAN STRATEGIC INCOME OPF FUND CL 1 PAY DATE 11/29/2021	JSOSY	99P26	4812A4351	1	000		20.48
CMA	11/30/2021	11/30/2021	8-3	Bank Interest ML BANK DEPOSIT PROGRAM FROM 10/29 THRU 11/29	94SK9	990286916	3	AMF			0.16
CMA	11/15/2021	11/15/2021	8-3	Dividend NRG ENERGY INC HOLDING 600.0000 PAY DATE 11/15/2021	NRG	51A76	629377508	2	000		195.00
CMA	11/15/2021	11/15/2021	8-3	Dividend ABBV INC SHS HOLDING 250.0000 PAY DATE 11/15/2021	ABBV	02FC0	00287Y109	1	000		325.00
CMA	11/12/2021	11/12/2021	8-3	Dividend ENTERPRISE PRODS PARTN LP 1 P HOLDING 500.0000 PAY DATE 11/12/2021	EPD	8AGX7	293792107	1	000		225.00
CMA	11/09/2021	11/12/2021	8-3	Purchase BAXTER INTERNL INC PER ADVISORY AGREEMENT ML ACTED AS AGENT	BAX	08470	071813109	1	000	48	77.758
CMA	11/09/2021	11/12/2021	8-3	Sale BLACKROCK INC PER ADVISORY AGREEMENT ML ACTED AS AGENT	BLK	080L2	09247X101	2	0	(5)	959.1345
CMA	11/09/2021	11/12/2021	8-3	Purchase NRG ENERGY INC PER ADVISORY AGREEMENT ML ACTED AS AGENT	NRG	53A76	629377508	4	000	100	35.3185
CMA	11/09/2021	11/12/2021	8-3	Sale PEPSICO INC PER ADVISORY AGREEMENT ML ACTED AS AGENT	PEP	60739	713448108	5	0	(17)	162.872
CMA	11/11/2021	11/11/2021	8-3	Dividend APPLE INC HOLDING 1400.0000 PAY DATE 11/11/2021	APPL	05001	037833100	1	000		308.00
CMA	11/02/2021	11/02/2021	8-3	Transfer / Adjustment TR TO 73740731 N/O WALTER K SCHRAEDER VS 23740731 UNIT 737 BATCH #				8	737		(1,076.59)
CMA	11/02/2021	11/02/2021	8-3	Advisory Program Fee INV. ADVISORY FEE NOV				4	674		(1,043.82)
CMA	10/29/2021	11/02/2021	8-3	Purchase BAXTER INTERNL INC PER ADVISORY AGREEMENT ML ACTED AS AGENT	BAX	008470	071813109	3	000	252	79.1869
CMA	10/29/2021	11/02/2021	8-3	Purchase BLACKSTONE INC PER ADVISORY AGREEMENT ML ACTED AS AGENT	BX	07050	09260D107	2	000	145	137.8624
CMA	10/29/2021	11/02/2021	8-3	Sale AT&T INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT ML ACTED AS AGENT	T	02337	00206R102	1	0	(600)	25.2128
CMA	11/01/2021	11/01/2021	8-3	Dividend WESTERN ASSET MANAGED MUNICIPALS FD 1 PAY DATE 10/29/2021	SMYX	97561	524691509	4	000		157.21
CMA	11/01/2021	11/01/2021	8-3	Dividend VERIZON COMMUNICATIONS COM HOLDING 700.0000 PAY DATE 11/01/2021	VZ	79B06	92343V104	3	000		448.00
CMA	11/01/2021	11/01/2021	8-3	Dividend JP MORGAN CHASE & CO HOLDING 900.0000 PAY DATE 10/31/2021	JPM	40555	46629H100	2	000		900.00
CMA	11/01/2021	11/01/2021	8-3	Dividend AET INC HOLDING 600.0000 PAY DATE 11/01/2021	T	02337	00206R102	1	000		312.00

██████████	10/29/2021	11/01/2021	SALE	BDMIX	97M27	091936526	5	0	(2,160,8620)	11.56	24,979.56
██████████	10/29/2021	10/29/2021	Dividend JP MORGAN STRATEGIC INCOME OPP FUND CL I PAY DATE 10/28/2021	JSOSY	9PP26	4812M4351	2	000			26.65
██████████	10/29/2021	10/29/2021	Dividend JACOBS ENGN GRP INC DE/A HOLDING 100.0000 PAY DATE 10/29/2021	1	40469	469814107	1	000			
██████████	10/29/2021	10/29/2021	Bank Interest ML BANK DEPOSIT PROGRAM FROM 09/30 THRU 10/28		945K9	990286916	4	AMF			
██████████	10/08/2021	10/08/2021	Transfer / Adjustment TR TO 73740731 N/O WALTER K SCHRAEDER VS 73740731 UNIT 737 BATCH # = ██████████	4	737						(1,042.97)
██████████	10/04/2021	10/04/2021	Advisory Program Fee UCT INV. ADVISORY FEE UCT	1	674						(1,834.49)
██████████	10/01/2021	10/01/2021	Dividend WESTERN ASSET MANAG/EL MUNICIPALS FD I PAY DATE 09/30/2021	SMNYX	97561	52469J509	1	000			152.68
██████████	09/30/2021	09/30/2021	Reinvestment Shares(s) PEPSICO INC PRINCIPAL REINV AMT \$189.80 REINV PRICE \$153.22540 REINV SHRS 1.2387	PEP	60739	713448108	13	671	1.2387		0.00
██████████	09/30/2021	09/30/2021	Dividend PEPSICO INC PEPSICO INC	PEP	60739	713448108	6	000			189.80
██████████	09/30/2021	09/30/2021	Dividend PEPSICO INC HOLDING 176.5538 PAY DATE 09/30/2021	PEP	60739	713448108	5	000			209.25
██████████	09/30/2021	09/30/2021	Dividend ISHARES S&P 500 GROWTH HOLDING 1850.0000 PAY DATE 09/30/2021	IVW	388E7	464287309	4	000			18.66
██████████	09/30/2021	09/30/2021	Dividend ISHARES BIOTECHNOLOGY ETF HOLDING 150.0000 PAY DATE 09/30/2021	IBB	31CM7	464287556	3	000			63.05
██████████	09/30/2021	09/30/2021	Dividend ISHARES RUSSELL 3000 HOLDING 75.0000 PAY DATE 09/30/2021	ITW	31CK2	464287689	2	000			128.00
██████████	09/30/2021	09/30/2021	Dividend AMERICAN INTERNATIONAL GROUP INC HOLDING 400.0000 PAY DATE 09/30/2021	AIG	02A17	026874784	1	000			39.06
██████████	09/30/2021	09/30/2021	Dividend JP MORGAN STRATEGIC INCOME OPP FUND CL I PAY DATE 09/29/2021	JSOSX	9PP26	4812M4351	7	000			0.24
██████████	09/30/2021	09/30/2021	Bank Interest ML BANK DEPOSIT PROGRAM FROM 08/31 THRU 09/29	VUG	31FM4	922908736	1	000			
██████████	09/29/2021	09/29/2021	Dividend VANGUARD GROWTH ETF HOLDING 225.0000 PAY DATE 09/29/2021	CME	153G0	12572Q105	1	000			
██████████	09/24/2021	09/24/2021	Dividend CNE GROUP INC HOLDING 100.0000 PAY DATE 09/27/2021	LMT	432G4	539830109	1	000			260.00
██████████	09/23/2021	09/23/2021	Dividend LOCKHEED MARTIN CORP HOLDING 100.0000 PAY DATE 09/24/2021	XLK	67JG5	81369Y803	4	000			143.25

CMA	09/23/2021	09/23/2021	(F)	Dividend HEALTH CARE SELECT SVCH HOLDING 340.0000 PAY DATE 09/23/2021	XLV	673F6	81369V209	3	000	158.62
CMA	09/23/2021	09/23/2021	(F)	Dividend QUALCOMM INC HOLDING: 100.0000 PAY DATE 09/23/2021	QCOM	634B7	747525103	2	000	68.00
CMA	09/23/2021	09/23/2021	(F)	Dividend NVIDIA HOLDING 112.0000 PAY DATE 09/23/2021	NVDA	528G9	67066G104	1	000	4.48
CMA	09/22/2021	09/22/2021	(F)	Reinvestment Share(s) BLACKROCK INC PRINCIPAL REINV AMT \$340.94 REINV PRICE \$856.45635 REINV SHRS .3931	BLK	080L2	09247X101	3	671	0.3981
CMA	09/22/2021	09/22/2021	(F)	Reinvestment Program BLACKROCK INC	BLK	080L2	09247X101	2	000	
CMA	09/22/2021	09/22/2021	(F)	Dividend BLACKROCK INC HOLDING 82.5518 PAY DATE 09/22/2021	BLK	080L2	09247X101	1	000	340.94
CMA	09/10/2021	09/10/2021	(F)	Dividend EIL LILLY & CO HOLDING 140.0000 PAY DATE 09/10/2021	LLY	44534	532457108	2	000	119.00
CMA	09/10/2021	09/10/2021	(F)	Dividend CHEVRON CORP HOLDING: 100.0000 PAY DATE 09/10/2021	CVX	144X5	166764100	1	000	134.00
CMA	09/09/2021	09/09/2021	(F)	Reinvestment Share(s) MICROSOFT CORP PRINCIPAL REINV AMT \$360.49 REINV PRICE \$300.75895 REINV SHRS 1.1906	MSFT	40522	594918104	3	671	1.1986
CMA	09/09/2021	09/09/2021	(F)	Reinvestment Program MICROSOFT CORP	MSFT	49522	594918104	2	000	(360.49)
CMA	09/09/2021	09/09/2021	(F)	Dividend MICROSOFT CORP HOLDING: 643.7342 PAY DATE 09/09/2021	MSFT	49522	594918104	1	000	560.00
CMA	09/07/2021	09/07/2021	(F)	Reinvestment Share(s) JOHNSON AND JOHNSON COM PRINCIPAL REINV AMT \$290.74 REINV PRICE \$174.32139 REINV SHRS 1.7023	JNJ	40853	478160104	4	671	1.7023
CMA	09/07/2021	09/07/2021	(F)	Reinvestment Program JOHNSON AND JOHNSON COM	JNJ	40853	478160104	2	000	(296.74)
CMA	09/07/2021	09/07/2021	(F)	Dividend JOHNSON AND JOHNSON COM HOLDING 279.9465 PAY DATE 09/07/2021	JNJ	40853	478160104	1	000	296.74
CMA	09/02/2021	09/02/2021	(F)	Sale AT&T INC PER ADVISORY AGREEMENT. NL ACTED AS AGENT	T	02337	00206R102	3	0	(100)
CMA	09/02/2021	09/02/2021	(F)	Transfer / Adjustment TR TO 73740731 N/D WALTER A SCHRADER VS 73740731 UNIT 737 BATCH # =						27.2221
CMA	09/02/2021	09/02/2021	(F)	Advisory Program Fee INV. ADVISORY FEE SEP	SMMYX	97561	524691509	2	000	2,722.20
CMA	09/01/2021	09/01/2021	(F)	Dividend WESTERN ASSET MANAGED MUNICIPALS FD 1 PAY DATE 08/31/2021	SMMYX	97561	524691509	2	000	(1,002.18)
CMA	09/01/2021	09/01/2021	(F)	Dividend WESTERN ASSET CORE BOND FD CL 1 PAY DATE 08/31/2021	WATX	91D29	957663105	1	000	152.95
CMA	08/31/2021	08/31/2021	(F)	Dividend JP MORGAN STRATEGIC INCOME OPP FUND CL 1 PAY DATE 08/30/2021	J5OSX	9PP26	4812A4351	1	000	(1,938.23)
CMA	08/31/2021	08/31/2021	(F)	Bank Interest NL BANK DEPOSIT PROGRAM FROM 07/30 THRU 08/30		945K9	990286916	3	AMF	0.18

CMA	08/26/2021	08/30/2021	Purchase CHEVRON CORP PER ADVISORY AGREEMENT. NL ACTED AS AGENT	CVX	144X5	166764100	1	000	0	100	97.9271	(9,792.71)
CMA	08/26/2021	08/30/2021	Purchase JACOBS ENGN GRP INC DELIA PER ADVISORY AGREEMENT. NL ACTED AS AGENT	I	40469	169814107	2	000	0	100	135.2647	(13,526.47)
CMA	08/26/2021	08/30/2021	Sale MICROSOFT CORP PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MSFT	49522	594918104	3	0	0	(93)	301.5827	28,047.05
CMA	08/26/2021	08/30/2021	Purchase ULTRAGENYX PHARMACEUTICAL INC SHS PER ADVISORY AGREEMENT. NL ACTED AS AGENT	RARE	76E18	90400D108	4	000	0	100	91.7667	(9,176.67)
CMA	08/16/2021	08/16/2021	Sale CITRIX SYSTEMS INC COM PER ADVISORY AGREEMENT. NL ACTED AS AGENT	CTXS	132R0	177276100	1	0	0	(100)	102.32	10,231.95
CMA	08/16/2021	08/17/2021	Sale WESTERN ASSET CORE BOND FD CL I FRAC SHR QUANTITY .987 THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	WATFX	9LD29	957663305	1	0	0	(1,098.9870)	13.33	14,649.50
CMA	08/16/2021	08/16/2021	Dividend NRG ENERGY INC HOLDING: 600.0000 PAY DATE 08/16/2021	NRG	53A76	629377508	2	000				195.00
CMA	08/16/2021	08/16/2021	Dividend ABBVIE INC SHS HOLDING: 250.0000 PAY DATE 08/16/2021	ABBV	02FC0	00287Y109	1	000				325.00
CMA	08/12/2021	08/12/2021	Dividend ENTERPRISE PRODS PRTH LP L P HOLDING 500.0000 PAY DATE 08/12/2021	EPD	8AGX7	293792107	2	000				
CMA	08/12/2021	08/12/2021	Dividend AAPLE INC HOLDING 1400.0000 PAY DATE 08/12/2021	AAPL	05001	037833100	1	000				
CMA	08/05/2021	08/05/2021	Transfer / Adjustment TR TO 73740731 N/D WALTER K SCHRADER VS 73740731 UNIT 737 BATCH # [REDACTED]				4	737				(1,084.12)
CMA	08/03/2021	08/03/2021	Advisory Program Fee INV. ADVISORY FEE AUG				1	674				(1,874.53)
CMA	08/02/2021	08/02/2021	Dividend WESTERN ASSET MANAGED MUNICIPAL S FD 1 PAY DATE 07/30/2021	SMNYX	97S61	534691509	5	000				165.41
CMA	08/02/2021	08/02/2021	Dividend WESTERN ASSET CORE BOND FD CL I PAY DATE 07/30/2021	WATFX	9LD29	957663305	4	000				24.13
CMA	08/02/2021	08/02/2021	Dividend VERIZON COMMUNICATIONS COM HOLDING 700.0000 PAY DATE 08/02/2021	VZ	79R06	92343V104	3	000				439.25
CMA	08/02/2021	08/02/2021	Dividend JPMORGAN CHASE & CO HOLDING 900.0000 PAY DATE 07/31/2021	JPM	40S55	46625H100	2	000				810.00
CMA	08/02/2021	08/02/2021	Dividend ATRT INC HOLDING 700.0000 PAY DATE 08/02/2021	T	02337	00206R102	1	000				364.00
CMA	07/30/2021	07/30/2021	Dividend JP MORGAN STRATEGIC INCOME OPP FUND CL I PAY DATE 07/29/2021	J50SX	9PP26	481244351	1	000				44.64
CMA	07/30/2021	07/30/2021	Bank Interest NL BANK DEPOSIT PROGRAM FROM 06/30 THRU 07/29	94SK9		990286916	3	AMF				0.10
CMA	07/22/2021	07/22/2021	Stock Dividend Due Bill NV/DIA	NVDA	52BG9	67066G104	2					0.00

CMA	07/22/2021	07/22/2021	Dividend NVIDIA HOLDING 29.0000 PAY DATE 07/19/2021	NVDA	528G9	67066G104	1	000	84	0.00
CMA	07/20/2021	07/20/2021	Stock Dividend Due RMI NVIDIA	NVDA	528G9	67066G104	1	000	84	0.00
CMA	07/19/2021	07/19/2021	Long Term Capital Gain BLACKROCK GLOBAL LONG SHORT EQUITY FD INSTL CL PAY DATE 07/16/2021	BDMX	97MZ7	091D36526	1	000	308.65	
CMA	07/06/2021	07/06/2021	Advisory Program Fee INV. ADVISORY FEE JUL				1	674		
CMA	07/02/2021	07/02/2021	Transfer / Adjustment TR TO 7340731 N/O WALTER K SCHRADER VS 7340731 UNIT 737 BATCH 2				4	737		(1.042.84)
CMA	07/01/2021	07/01/2021	Dividend WESTERN ASSET MANAGED MUNICIPALS FD I PAY DATE 06/30/2021	SMNYX	97561	524691509	3	000	159.49	
CMA	07/01/2021	07/01/2021	Dividend WESTERN ASSET CORE BOND FD CL I PAY DATE 06/30/2021	WATFX	9LD29	957663305	2	000	23.31	
CMA	07/01/2021	07/01/2021	Dividend NVIDIA HOLDING 29.0000 PAY DATE 07/01/2021	NVDA	528G9	67066G104	1	000	4.48	
CMA	06/30/2021	06/30/2021	Reinvestment Share(s) PEPSICO INC PRINCIPAL REINV AMT \$217.24 REINV PRICE \$147.43000 REINV SHRS 1.4735	PEP	60739	713448108	9	671	0.00	1.4735
CMA	06/30/2021	06/30/2021	Dividend JP MORGAN STRATEGIC INCOME OPF FUND CL I PAY DATE 06/29/2021	JSOXK	9PP26	4812A4351	3	000		
CMA	06/30/2021	06/30/2021	Reinvestment Program PEPSICO INC	PEP	60739	713448108	2	000		
CMA	06/30/2021	06/30/2021	Dividend PEPSICO INC HOLDING 202.0803 PAY DATE 06/30/2021	PEP	60739	713448108	1	000	217.24	
CMA	06/30/2021	06/30/2021	Bank Interest MT. BANK DEPOSIT PROGRAM FROM 05/28 THRU 06/29		94SK9	490286916	6	AMF	0.22	
CMA	06/29/2021	06/29/2021	Dividend VANGUARD GROWTH ETF HOLDING 275.0000 PAY DATE 06/29/2021	VUG	31FM4	922908736	2	000	102.74	
CMA	06/29/2021	06/29/2021	Dividend AMERICAN INTERNATIONAL GROUP INC HOLDING 400.0000 PAY DATE 06/29/2021	AIG	02AL7	026874784	1	000	128.00	
CMA	06/25/2021	06/25/2021	Dividend LOCANHEED MARTIN CORP HOLDING 100.0000 PAY DATE 06/25/2021	LNT	432G4	539830109	3	000	260.00	
CMA	06/25/2021	06/25/2021	Dividend CME GROUP INC HOLDING 100.0000 PAY DATE 06/25/2021	CME	153G0	12572Q105	2	000	90.00	
CMA	06/25/2021	06/25/2021	Dividend CITRIX SYSTEMS INC COM HOLDING 100.0000 PAY DATE 06/25/2021	CTXS	132R0	177376100	1	000	37.00	
CMA	06/24/2021	06/24/2021	Dividend SELECT SECTOR SPDR TR HOLDING 525.0000 PAY DATE 06/24/2021	XLK	673G5	81369Y803	3	000	136.23	
CMA	06/24/2021	06/24/2021	Dividend HEALTH CARE SELECT SPDR HOLDING 340.0000 PAY DATE 06/24/2021	XLV	673F6	81369Y209	2	000	163.48	
CMA	06/24/2021	06/24/2021	Dividend QUALCOMM INC HOLDING 100.0000 PAY DATE 06/24/2021	QCOM	634B7	747525103	1	000	68.00	

CMA	06/23/2021	06/23/2021	Reinvestment Share(s) BLACKROCK INC PRINCIPAL REINV AMT \$339.32 REINV PRICE \$866.48000 REINV SHRS .3916	BLK	080L2	09247X101	3	671	0.3916	0.00
CMA	06/23/2021	06/23/2021	Reinvestment Program BLACKROCK INC	BLK	080L2	09247X101	2	000	(339.32)	40.23
CMA	06/23/2021	06/23/2021	Dividend BLACKROCK INC HOLDING 82.1602 PAY DATE 06/23/2021	BLK	080L2	09247X101	1	000	339.32	339.32
CMA	06/18/2021	06/18/2021	Short Term Capital Gain WESTERN ASSET CORE BOND FD CL I PAY DATE 06/17/2021	WATFX	9LD29	957663305	2	000	3.500	3.500
CMA	06/18/2021	06/18/2021	Long Term Capital Gain WESTERN ASSET CORE BOND FD CL I PAY DATE 06/17/2021	WATFX	9LD29	957663305	1	000	11.37	11.37
CMA	06/16/2021	06/16/2021	Dividend ISHARES S&P 500 GROWTH HOLDING 1850.0000 PAY DATE 06/16/2021	IWV	388E7	464287309	3	000	1.5555	1.5555
CMA	06/16/2021	06/16/2021	Dividend ISHARES NASDAQ BIOTECH ETF HOLDING 150.0000 PAY DATE 06/16/2021	IBB	31CM7	464287556	2	000	3.49	3.49
CMA	06/16/2021	06/16/2021	Dividend ISHARES RUSSELL 1000 HOLDING 75.0000 PAY DATE 06/16/2021	IWV	31CK2	464287689	1	000	40.23	40.23
CMA	06/14/2021	06/16/2021	Purchase NRG ENERGY INC EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NRG	53A76	629377508	4	000	200	(7,421.00)
CMA	06/14/2021	06/14/2021	Sale VERIZON COMMUNICATIONS COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VZ	79B06	92343V104	5	0	(100)	56.935
CMA	06/10/2021	06/14/2021	Purchase NRG ENERGY INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NRG	53A76	629377508	1	000	400	36.4257
CMA	06/10/2021	06/14/2021	Sale PEPSICO INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PEP	60739	7134AR108	2	0	(27)	147.6953
CMA	06/10/2021	06/14/2021	Sale VERIZON COMMUNICATIONS COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VZ	79B06	92343V104	3	0	(100)	57.3662
CMA	06/10/2021	06/10/2021	Reinvestment Share(s) MICROSOFT CORP PRINCIPAL REINV AMT \$359.70 REINV PRICE \$254.00000 REINV SHRS 1.4161	MSFT	49522	594918104	5	671	1.4161	0.00
CMA	06/10/2021	06/10/2021	Reinvestment Program MICROSOFT CORP	MSFT	49522	594918104	4	000	(359.70)	(359.70)
CMA	06/10/2021	06/10/2021	Dividend MICROSOFT CORP HOLDING 642.3181 PAY DATE 06/10/2021	MSFT	49522	594918104	3	000	359.70	359.70
CMA	06/10/2021	06/10/2021	Dividend ELI LILLY & CO HOLDING 140.0000 PAY DATE 06/10/2021	LLY	44534	532457108	2	000	119.00	119.00
CMA	06/10/2021	06/10/2021	Dividend CHEVRON CORP HOLDING 100.0000 PAY DATE 06/10/2021	CVX	144X5	166764100	1	000	134.00	134.00
CMA	06/08/2021	06/08/2021	Reinvestment Share(s) JOHNSON AND JOHNSON COM PRINCIPAL REINV AMT \$294.85 REINV PRICE \$165.21475 REINV SHRS 1.7846	JNJ	40853	478160104	3	671	1.7846	0.00
CMA	06/08/2021	06/08/2021	Reinvestment Program JOHNSON AND JOHNSON COM	JNJ	40853	478160104	2	000	(294.85)	(294.85)

CMA	06/08/2021	06/08/2021	Dividend JOHNSON AND JOHNSON COM HOLDING 278.1619 PAY DATE 06/08/2021	JNJ	40853	478160104	1	000	294.85
CMA	06/02/2021	06/02/2021	Transfer / Adjustment TR TO 7340731 N/O WALTER K SCHRAEDER VS 7340731 UNIT 737 BATCH # -				5	737	(1,088.57)
CMA	06/02/2021	06/02/2021	Advisory Program Fee INV. ADVISORY FEE JUN	SMHXX	97561	52469509	2	674	(1,296.59)
CMA	06/01/2021	06/01/2021	Dividend WESTERN ASSET MANAGED MUNICIPALS FD 1 PAY DATE 05/28/2021	WATFX	97561	957663305	1	000	156.60
CMA	06/01/2021	06/01/2021	Dividend WESTERN ASSET CORE BOND FD CL 1 PAY DATE 05/28/2021	WATFX	9LD29	957663305	1	000	23.43
CMA	05/28/2021	05/28/2021	Dividend JP MORGAN STRATEGIC INCOME OPF FUND CL 1 PAY DATE 05/27/2021	J5OSX	9PP26	4812A4351	1	000	39.71
CMA	05/28/2021	05/28/2021	Bank Interest ML BANK DEPOSIT PROGRAM FROM 04/30 THRU 05/27		945K9	090286916	3	AMF	0.27
CMA	05/14/2021	05/14/2021	Dividend ABBVIE INC SHS HOLDING 250.0000 PAY DATE 05/14/2021	ABBY	02FC0	002877109	1	000	325.00
CMA	05/13/2021	05/13/2021	Dividend APPLE INC HOLDING 1400.0000 PAY DATE 05/13/2021	AAPL	05001	037833100	1	000	308.80
CMA	05/12/2021	05/12/2021	Dividend ENTERPRISE PRDTS PRTN LP L P HOLDING 500.0000 PAY DATE 05/12/2021	EPD	BAGX7	293792402	1	000	225.00
CMA	05/06/2021	05/06/2021	Transfer / Adjustment TR TO 7340731 N/O WALTER K SCHRAEDER VS 7340731 UNIT 737 BATCH # -				2	737	(1,061.23)
CMA	05/05/2021	05/05/2021	Sale ISHARES S&P 500 GROWTH PER ADVISORY AGREEMENT ML ACTED AS AGENT	IWN	308E7	464287309	1	0	3,494.48
CMA	05/04/2021	05/04/2021	Advisory Program Fee INV. ADVISORY FEE MAY				2	674	(1,789.43)
CMA	05/03/2021	05/03/2021	Sale WESTERN ASSET CORE BOND FD CL 1 FRAC SHR QUANTITY .651 THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	WATFX	9LD29	957663305	1	0	5,000.00
CMA	05/03/2021	05/03/2021	Dividend WESTERN ASSET MANAGED MUNICIPALS FD 1 PAY DATE 04/30/2021	SMHXX	97561	52469509	4	000	175.42
CMA	05/03/2021	05/03/2021	Dividend WESTERN ASSET CORE BOND FD CL 1 PAY DATE 04/30/2021	WATFX	9LD29	957663305	3	000	33.98
CMA	05/03/2021	05/03/2021	Dividend VERIZON COMMUNICATNS COM HOLDING 900.0000 PAY DATE 05/03/2021	VZ	79BD6	92347V104	2	000	564.75
CMA	05/03/2021	05/03/2021	Dividend AT&T INC HOLDING 700.0000 PAY DATE 05/03/2021	T	02337	00206R102	1	000	364.00
CMA	04/30/2021	04/30/2021	Dividend JP MORGAN STRATEGIC INCOME OPF FUND CL 1 PAY DATE 04/29/2021	J5OSX	9PP26	4812A4351	2	000	46.48
CMA	04/30/2021	04/30/2021	Dividend JP MORGAN CHASE & CO HOLDING 900.0000 PAY DATE 04/30/2021	JPM	40555	46635H100	1	000	810.00

Symbol	Date	Description	Quantity	Price	Value	Cost	Gain/Loss
AMF	04/30/2021	Bank Interest	4	94SK9	990286916	0.25	
AMF	04/30/2021	NI BANK DEPOSIT PROGRAM FROM 03/31 THRU 04/29	4	94SK9	990286916	0.25	
AMF	04/07/2021	Transfer / Adjustment TR TO 73740731 N/D WALTER A SCHRAEDER VS 73740731 UNIT 737 BATCH # =	4	737		(1,095.16)	
AMF	04/05/2021	Advisory Program Fee INV. ADVISORY FEE APR	3	674		(1,708.35)	
AMF	04/05/2021	Exchange OAKMARK INTL FD CL INSTITUTIONAL FRAC QUANTITY IS .262 SHARE CLASS CONVERSION SHARES AS OF 04/01/2021	1	TLF	413838723	3,413.2620	
AMF	04/05/2021	Exchange OAKMARK INTL FD CL ADV FRAC QUANTITY IS .829 SHARE CLASS CONVERSION SHARES AS OF 04/01/2021	2	TLF	413838731	(3,416.8290)	
AMF	04/01/2021	Dividend WESTERN ASSET MANAGED MUNICIPALS FD 1 PAY DATE 03/31/2021	2	SMNYX	97561	524691509	
AMF	04/01/2021	Dividend WESTERN ASSET CORE BOND FD CL 1 PAY DATE 03/31/2021	1	WATFX	9LD29	957663305	
AMF	03/31/2021	Reinvestment Share(s) PEPSCO INC PRINCIPAL REINV ANT \$205.16 REINV PRICE \$143.32190 REINV SHRS 1.4315	13	PEP	60739	713448108	1.4315
AMF	03/31/2021	Dividend JP MORGAN STRATEGIC INCOME OPP FUND CL 1 PAY DATE 03/30/2021	7	J5OSX	9PP26	481244351	
AMF	03/31/2021	Reinvestment Program PEPSCO INC	6	PEP	60739	713448108	
AMF	03/31/2021	Dividend PEPSCO INC HOLDING 200 S-HS PAY DATE 03/31/2021	5	PEP	60739	713448108	
AMF	03/31/2021	Dividend NVIDIA HOLDING 28.00000 PAY DATE 03/31/2021	4	NVDA	528G9	670666104	
AMF	03/31/2021	Dividend ISHARES S&P 500 GROWTH HOLDING 1900.0000 PAY DATE 03/31/2021	3	IWW	388E7	464287309	
AMF	03/31/2021	Dividend ISHARES NASDAQ BIOTECH ETF HOLDING 150.0000 PAY DATE 03/31/2021	2	IIBR	31CM7	464287556	
AMF	03/31/2021	Dividend ISHARES RUSSELL 2000 HOLDING 75.0000 PAY DATE 03/31/2021	1	IIVV	31CK2	464287689	
AMF	03/31/2021	Bank Interest NI BANK DEPOSIT PROGRAM FROM 02/26 THRU 03/30	10	94SK9	990286916	0.20	
AMF	03/30/2021	Dividend VANGUARD GROWTH ETF HOLDING 275.0000 PAY DATE 03/30/2021	2	VUG	31FM4	922908736	
AMF	03/30/2021	Dividend AMERICAN INTERNATIONAL GROUP INC HOLDING 100.0000 PAY DATE 03/30/2021	1	AIG	02A17	026874784	
AMF	03/29/2021	Sale WESTERN ASSET CORE BOND FD CL 1 FRAC SHR QUANTITY .871 THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT, NI ACTED AS AGENT.	3	WATFX	9LD29	957663305	(766.8710)

CMA	03/26/2021	03/26/2021	Dividend LOCKHEED MARTIN CORP HOLDING 100.0000 PAY DATE 03/26/2021	LMT	432G4	539830109	2	000				260.00
CMA	03/26/2021	03/26/2021	Dividend LITTECH SYSTEMS INC COM HOLDING 100.0000 PAY DATE 03/26/2021	CTXS	132R9	177376100	1	000				37.00
CMA	03/25/2021	03/25/2021	Dividend SELECT SECTOR SPDR TR HOLDING 525.0000 PAY DATE 03/25/2021	XLK	673G5	81369Y803	4	000				143.40
CMA	03/25/2021	03/25/2021	Dividend HEALTH CARE SELECT SPDR HOLDING 340.0000 PAY DATE 03/25/2021	XLV	673F6	81369Y209	3	000				135.14
CMA	03/25/2021	03/25/2021	Dividend QUALCOMM INC HOLDING: 100.0000 PAY DATE 03/25/2021	QCOM	634B7	747525103	2	000				65.00
CMA	03/25/2021	03/25/2021	Dividend CNE GROUP INC HOLDING 100.0000 PAY DATE 03/25/2021	CNE	153G0	125720105	1	000				90.00
CMA	03/23/2021	03/23/2021	Reinvestment Share(s) BLACKROCK INC PRINCIPAL REINV AMT \$337.41 REINV PRICE \$729.78000 REINV SHRS .4623	BLK	080L2	09247X101	3	671	0.4623			0.00
CMA	03/23/2021	03/23/2021	Reinvestment Program BLACKROCK INC	BLK	080L2	09247X101	2	000				(337.41)
CMA	03/23/2021	03/23/2021	Dividend BLACKROCK INC HOLDING 81.6979 PAY DATE 03/23/2021	BLK	080L2	09247X101	1	000				337.41
CMA	03/16/2021	03/18/2021	Purchase CHEVRON CORP PER ADVISORY AGREEMENT. NL ACTED AS AGENT	CVX	144X5	166764110	1	000	100	107.7897	(106.00)	
CMA	03/16/2021	03/18/2021	Purchase ENTERPRISE PRDTS PRIN LP L P PER ADVISORY AGREEMENT. NL ACTED AS AGENT	EPD	0A6X7	293792107	3	000	500	23.3799	(11,669.95)	
CMA	03/16/2021	03/18/2021	Sale SELECT SECTOR SPDR TR PER ADVISORY AGREEMENT. NL ACTED AS AGENT	XLK	673G5	81369Y803	2	0	(175)	134.635	23,561.01	
CMA	03/16/2021	03/16/2021	Transfer / Adjustment TR TO 73740731 N/O WALTER K SCHRADER VS 73740731 UNIT 737 BATCH 2				2	737			(993.07)	
CMA	03/11/2021	03/11/2021	Reinvestment Share(s) MICROSOFT CORP PRINCIPAL REINV AMT \$369.46 REINV PRICE \$234.77990 REINV SHRS 1.5736	MSFT	49522	594918104	4	671	1.5736		0.00	
CMA	03/11/2021	03/11/2021	Reinvestment Program MICROSOFT CORP	MSFT	49522	594918104	2	000			(369.46)	
CMA	03/11/2021	03/11/2021	Dividend MICROSOFT CORP HOLDING 659.7445 PAY DATE 03/11/2021	MSFT	49522	594918104	1	000			369.46	
CMA	03/10/2021	03/10/2021	Dividend EU LILLY & CO HOLDING 140.0000 PAY DATE 03/10/2021	LLY	44534	532457108	1	000			119.00	
CMA	03/09/2021	03/09/2021	Reinvestment Share(s) JOHNSON AND JOHNSON COM PRINCIPAL REINV AMT \$279.16 REINV PRICE \$158.15460 REINV SHRS 1.7651	JNJ	40853	478160104	3	671	1.7651		0.00	
CMA	03/09/2021	03/09/2021	Reinvestment Program JOHNSON AND JOHNSON COM	JNJ	40853	478160104	2	000			(279.16)	
CMA	03/09/2021	03/09/2021	Dividend JOHNSON AND JOHNSON COM HOLDING 276.3968 PAY DATE 03/09/2021	JNJ	40853	478160104	1	000			279.16	
CMA	03/01/2021	03/03/2021	Sale MICROSOFT CORP PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MSFT	49522	594918104	1	0	(19)	235.97	4,483.41	

CMA	03/01/2021	03/03/2021	PEP	60739	713448108	2	0	(16)	130.54	2,088.63
CMA	03/02/2021	03/02/2021	SALE PEPSCO INC PER ADVISORY AGREEMENT. N/A. ACTED AS AGENT							
CMA	03/01/2021	03/01/2021	INVESTMENT PROGRAM FEE INV. ADVISORY FEE MAR			1	674	(1,683.27)		
CMA	03/01/2021	03/01/2021	Dividend WESTERN ASSET MANAGED MUNICIPAL FUND I PAY DATE 02/26/2021	5MNYX	97561	524691509	2	000		155.55
CMA	03/01/2021	03/01/2021	Dividend WESTERN ASSET CORE BOND FUND I PAY DATE 02/16/2021	WATFX	9LD29	957663305	1	000		44.83
CMA	02/26/2021	02/26/2021	Dividend JP MORGAN STRATEGIC INCOME OPP FUND CL I PAY DATE 02/25/2021	ISOSX	9PP26	481244351	1	000		38.53
CMA	02/26/2021	02/26/2021	Bank Interest ML BANK DEPOSIT PROGRAM FROM 01/29 THRU 02/25	94SK9	990286916	3	AMF	0.17		
CMA	02/16/2021	02/16/2021	Dividend ABBVIE INC SHS HOLDING 250,0000 PAY DATE 02/16/2021	ABBV	02FC0	00287Y109	3	000		325.00
CMA	02/12/2021	02/12/2021	Transfer / Adjustment TR TO 73740731 N/O WALTER K. SCHRADER VS 73740731 UNIT 737 MATCH #				5	737		(606.89)
CMA	02/11/2021	02/11/2021	Dividend AAPLE INC HOLDING 1400,0000 PAY DATE 02/11/2021	AAPL	05001	037833100	1	000		287.00
CMA	02/02/2021	02/02/2021	INVESTMENT PROGRAM FEE INV. ADVISORY FEE FEB				1	674	(1,662.30)	
CMA	02/01/2021	02/01/2021	Dividend WESTERN ASSET MANAGED MUNICIPAL FUND I PAY DATE 01/29/2021	5MNYX	97561	524691509	5	000		157.49
CMA	02/01/2021	02/01/2021	Dividend WESTERN ASSET CORE BOND FUND I PAY DATE 01/29/2021	WATFX	9LD29	957663305	4	000		40.49
CMA	02/01/2021	02/01/2021	Dividend VERIZON COMMUNICATIONS COMMON HOLDING 900,0000 PAY DATE 02/01/2021	VZ	79B06	92343V104	3	000		564.75
CMA	02/01/2021	02/01/2021	Dividend JPMORGAN CHASE & CO HOLDING 900,0000 PAY DATE 01/31/2021	JPM	40555	46625H100	2	000		810.00
CMA	02/01/2021	02/01/2021	Dividend ATRT INC HOLDING 700,0000 PAY DATE 02/01/2021	T	02337	00206R102	1	000		364.00
CMA	01/29/2021	01/29/2021	Dividend JP MORGAN STRATEGIC INCOME OPP FUND CL I PAY DATE 01/28/2021	ISOSX	9PP26	481244351	1	000		20.87
CMA	01/29/2021	01/29/2021	Bank Interest ML BANK DEPOSIT PROGRAM FROM 01/01 THRU 01/28	94SK9	990286916	3	AMF	0.21		
CMA	01/13/2021	01/13/2021	Dividend CME GROUP INC HOLDING 100,0000 PAY DATE 01/13/2021	CME	153G0	12572Q105	1	000		250.00
CMA	01/07/2021	01/07/2021	Reinvestment Shares(s) PEPSCO INC PRINCIPAL REINV AMT \$219.95 REINV PRICE \$143.07000 REINV SHRS 1.5374	PEP	60739	713448108	3	671		0.00
CMA	01/07/2021	01/07/2021	Reinvestment Program PEPSCO INC	PEP	60739	713448108	2	000		(219.95)
CMA	01/07/2021	01/07/2021	Dividend PEPSCO INC HOLDING 215,1114 PAY DATE 01/07/2021	PEP	60739	713448108	1	000		219.95
CMA	01/05/2021	01/05/2021	INVESTMENT PROGRAM FEE INV. ADVISORY FEE JAN				1	674	(1,666.15)	

Activity is shown in the currency of the account

Pending refunds are not reflected in your available balance. Once funds from the merchant are received, your available balance will be adjusted.

Pending Visa debit transaction(s): The amount may change when the transaction is finalized (i.e. gratuity).

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Are Not Deposits	Are Not Insured by Any Federal Government Agency	Are Not a Condition to Any Banking Service or Activity

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Accounts included in this report: (b) (6) (CMAA Pledged) "CMA"

For Informational Purposes Only - Account Statement is Official Record of Holdings, Balances, and Security Values

Report Created 12:50:58PM, April 4, 2022 for SCHRADER WALTER, K

All Activity

Multiple Activity Types: Dividends/Interest, Security Transactions, Other

970 Items - Custom - From 1/1/2021 to 12/31/2021 as of Close of Business 4/1/2022

Total Count:
Total Amount: 0
Pending Amount: 0.00
Settled Count: 0
Settled Amount: 0














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Account / Nickname	Trade/Transaction Date	Settle Date	Type	Description	Symbol	Security #	CUSIP	Entry #	JE ID	SOL	Client Entered	Quantity	Price	Amount
	12/31/2021	01/04/2022	P	Purchase BANK OF NOVA SCOTIA EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BNS	07529	064149107	2	000	0		3	71.568714	(214.68)
	12/30/2021	01/03/2022	P	Purchase BANK OF NOVA SCOTIA EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BNS	07529	064149107	2	000	0		3	71.559506	(214.68)
	12/31/2021	12/31/2021	H	Dividend TIAA CREF CORE IMPACT BOND FUND CL ADV PAY DATE 12/31/2021	TSBHX	9UFE2	87245P6J5	12	000					35.81
	12/31/2021	12/31/2021	H	Dividend BLACKROCK STRATEGIC INCOME OPPORTNITS PTF INST PAY DATE 12/31/2021	BSHX	9PG49	09260B382	11	000					76.79
	12/31/2021	12/31/2021	H	Dividend DELAWARE CORPORATE BOND FD CL INSTL PAY DATE 12/31/2021	DGCLX	9KTM0	245908751	10	000					13.05
	12/31/2021	12/31/2021	H	Dividend JP MORGAN STRATEGIC INCOME OPF FUND CL 1 PAY DATE 12/30/2021	J5OSX	9PP26	4812A4351	3	000					5.99
	12/31/2021	12/31/2021	H	Dividend CROWN CASTLE REIT INC SHS HOLDING 16.0000 PAY DATE 12/31/2021	CC1	16681	22822V101	2	000					23.52
	12/31/2021	12/31/2021	H	Dividend BROADCOM INC HOLDING 11.0000 PAY DATE 12/31/2021	AVGO	070UB	11135F101	1	000					15.10
	12/29/2021	12/31/2021	P	Purchase BANK OF NOVA SCOTIA EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BNS	07529	064149107	4	000	0		1	71.154313	(71.15)
	12/31/2021	12/31/2021	H	Bank Interest - ML BANK DEPOSIT PROGRAM FROM 11/30 THRU 12/31	94SK0	990286916	6	AMF						0.50
	12/28/2021	12/30/2021	P	Purchase BANK OF NOVA SCOTIA EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BNS	07529	064149107	1	000	0		5	71.231025	(356.16)

	12/23/2021	12/28/2021		Purchase BANK OF MONTGOMERY EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BNS	07529	064149107	1	000	0	3	69,755,149	(209,27)
	12/27/2021	12/27/2021		Withdrawal CK L 05515-54592 OPTIMUM SEDDIO				33	55F				(17,095,04)
	12/27/2021	12/27/2021		Withdrawal CK L 05515-54592 OPTIMUM				32	55F				(17,095,04)
	12/27/2021	12/27/2021		Short Term Capital Gain BLACKROCK STRATEGIC INCOME OPPORTNIS PTF INST PAY DATE 12/23/2021	BSLIX	9PG49	092608302	3	000				239,49
	12/27/2021	12/27/2021		Long Term Capital Gain BLACKROCK STRATEGIC INCOME OPPORTNIS PTF INST PAY DATE 12/23/2021	BSLIX	9PG49	092608382	2	000				147,79
	12/27/2021	12/27/2021		Dividend WILLIAMS COMPANIES DEL HOLDING 116,0000 PAY DATE 12/27/2021	WINB	02902	969457100	1	000				47,56
	12/22/2021	12/27/2021		Purchase BANK OF NOVA SCOTIA EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BNS	07529	064149107	4	000	0	2	68,771,059	(137,54)
	12/22/2021	12/27/2021		Sale ISHARES TRUST ISHRS ESG AWARE MSCI EAFE ETF PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ESGD	39Q08	40435G516	13	0	0	(7)	77,9603	545,71
	12/22/2021	12/27/2021		Sale UNITED PARCEL SVC CL B PER ADVISORY AGREEMENT. NL ACTED AS AGENT	UPS	76BN1	911312106	25	0	0	(2)	206,8905	413,77
	12/22/2021	12/27/2021		Sale TEXAS INSTRUMENTS PER ADVISORY AGREEMENT. NL ACTED AS AGENT	TXN	73941	882508104	24	0	0	(2)	186,0195	372,03
	12/22/2021	12/27/2021		Sale CHEVRON CORP PER ADVISORY AGREEMENT. NL ACTED AS AGENT	CVX	144X5	166764100	1	0	0	(3)	114,99	344,96
	12/22/2021	12/27/2021		Sale JPMORGAN CHASE & CO PER ADVISORY AGREEMENT. NL ACTED AS AGENT	JPM	40555	46625H100	15	0	0	(2)	155,665	314,34
	12/22/2021	12/27/2021		Sale MEDTRONIC PLC SHS PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MDT	46WTO	G3960L103	18	0	0	(3)	101,205	303,61
	12/22/2021	12/27/2021		Sale PRINCIPAL FINANCIAL GRP PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PFJ	59562	74251V102	20	0	0	(4)	69,89	339,55
	12/22/2021	12/27/2021		Sale VICI PRPTS INC PER ADVISORY AGREEMENT. NL ACTED AS AGENT	VICI	79G52	925652109	28	0	0	(11)	28,54	313,93
	12/22/2021	12/27/2021		Sale SPDR BLOOMBERG 1-3 MONTH T BILL ETF EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BIL	39Y86	76468R663	14	0	0	(5)	91,43	457,14

	12/22/2021	12/27/2021	📄	Sale KINDER MORGAN INC. DEL PER ADVISORY AGREEMENT. ML ACTED AS AGENT	KMI	419Y4	49456B101	17	0	(15)	15.4628	231.93
	12/22/2021	12/27/2021	📄	Sale SEAGATE TECH HLDGS PUB LTD CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	STX	667D2	G7997R103	22	0	(4)	107.8292	431.31
	12/22/2021	12/27/2021	📄	Sale TRUST FINL CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TFC	734M4	89832Q109	23	0	(4)	56.97	227.87
	12/22/2021	12/27/2021	📄	Sale HOME DEPOT INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	HD	36780	43707G102	12	0	(1)	389.44	389.43
	12/22/2021	12/27/2021	📄	Sale FIFTH THIRD BANCORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	FITB	28641	316773100	10	0	(7)	42.3764	296.62
	12/22/2021	12/27/2021	📄	Sale CROWN CASTLE REIT INC SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CCL	166B1	22822V101	7	0	(2)	198.26	430.00
	12/22/2021	12/27/2021	📄	Sale UNITEDHEALTH GROUP INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	UNH	768T5	91324P102	26	0	(1)	487.67	487.66
	12/22/2021	12/27/2021	📄	Sale VERIZON COMMUNICATIONS COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VZ	79806	92343V104	27	0	(5)	52.0736	400.00
	12/22/2021	12/27/2021	📄	Sale EXXON MOBIL CORP COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	XOM	257D4	30231G102	8	0	(6)	60.367	296.00
	12/22/2021	12/27/2021	📄	Sale HEWLETT PACKARD ENTERPRISE CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	HPE	35HP6	42824C109	11	0	(21)	15.3209	321.73
	12/22/2021	12/27/2021	📄	Sale BRISTOL-MYERS SQUIBB CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BMJ	11011	110122108	5	0	(4)	60.9852	243.99
	12/22/2021	12/27/2021	📄	Sale REALTY INCM CRP MID PVSJ. REIT PER ADVISORY AGREEMENT. ML ACTED AS AGENT	O	636R5	756109104	21	0	(7)	68.7731	481.40
	12/22/2021	12/27/2021	📄	Sale NUTRIEN LTD REG SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NTR	53JB2	67077M108	19	0	(6)	72.89	437.33
	12/22/2021	12/27/2021	📄	Sale JOHNSON AND JOHNSON COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	JNJ	40853	470160104	16	0	(2)	166.1717	332.33
	12/22/2021	12/27/2021	📄	Sale EATON CORP PLC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ETN	26G06	G39183103	9	0	(2)	166.76	333.51
	12/23/2021	12/23/2021	📄	Dividend BLACKROCK INC HOLDING 4.0000 PAY DATE 12/23/2021	BLK	080L2	09247X101	1	000			
	12/22/2021	12/23/2021	📄	Sale CCM COMMUNITY IMPACT BOND FUND CL INSTL. THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CRANX	9MHU1	20368N202	3	0	(27)	10.54	284.58

DATE	DATE	DESCRIPTION	SYMBOL	PRICE	QUANTITY	AMOUNT	STATUS	DATE
12/22/2021	12/23/2021	Sale JP MORGAN STRATEGIC INCOME OPR FUND CL I THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	J50SX	99P26	48124351	5	(77)	11.52
12/22/2021	12/23/2021	Sale TIAA CREF CORE IMPACT BOND FUND CL ADV THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	TSBHX	9UF22	87245P635	6	(140)	10.58
12/22/2021	12/23/2021	Sale BLACKROCK STRATEGIC INCOME OPRPTS PTF INST THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	BS11X	9PG49	09260B382	4	(45)	10.14
12/21/2021	12/23/2021	Purchase BANK OF NOVA SCOTIA EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	BNS	07529	064149107	2	0	67.951924
12/22/2021	12/22/2021	Dividend ISH USD HIGH YIELD CORPORATE BOND ETF SH HOLDING 64.0000 PAY DATE 12/22/2021	USHY	39X10	46435U853	1	000	66.670752
12/20/2021	12/22/2021	Purchase BANK OF NOVA SCOTIA EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	BNS	07529	064149107	2	0	67.747262
12/20/2021	12/22/2021	Sale CITIGROUP INC COM NEW EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	C	161N2	172967424	3	(20)	58.021391
12/21/2021	12/21/2021	Dividend PRINCIPAL FINANCIAL GRP HOLDING 25.0000 PAY DATE 12/21/2021	PF5	50562	74251V102	1	000	16.09
12/17/2021	12/21/2021	Purchase BANK OF NOVA SCOTIA EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	BNS	07529	064149107	2	0	67.747262
12/17/2021	12/21/2021	Sale CITIGROUP INC COM NEW EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	C	161N2	172967424	3	(46)	59.543475

	12/17/2021	12/21/2021		Purchase WILLIAMS COMPANIES DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	WMB	82902	969457100	6	000	0	6	25,759093	(154.55)
	12/17/2021	12/21/2021		Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PF6	59562	74251V102	4	000	0	4	72,402686	(289.61)
	12/17/2021	12/21/2021		Purchase UNITEDHEALTH GROUP INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	UNH	76875	91324P102	5	000	0	1	490,402256	600,000.00
	12/20/2021	12/20/2021		Short Term Capital Gain NUVEEN ESG LARGE-CAP VALUE ETF HOLDING 979,0000 PAY DATE 12/20/2021	NULV	39TV2	67092P300	8	000				1,032,000
	12/20/2021	12/20/2021		Long Term Capital Gain NUVEEN ESG LARGE-CAP VALUE ETF HOLDING 979,0000 PAY DATE 12/20/2021	NULV	39TV2	67092P300	7	000				73.62
	12/20/2021	12/20/2021		Dividend NUVEEN ESG LARGE-CAP VALUE ETF HOLDING 979,0000 PAY DATE 12/20/2021	NULV	39TV2	67092P300	6	000				615.06
	12/20/2021	12/20/2021		Short Term Capital Gain NUVEEN ESG LARGE CAP GROWTH ETF HOLDING 236,0000 PAY DATE 12/20/2021	NULG	39TV1	67092P201	5	000				588.73
	12/20/2021	12/20/2021		Long Term Capital Gain NUVEEN ESG LARGE CAP GROWTH ETF HOLDING 236,0000 PAY DATE 12/20/2021	NULG	39TV1	67092P201	4	000				200.15
	12/20/2021	12/20/2021		Dividend NUVEEN ESG LARGE CAP GROWTH ETF HOLDING 236,0000 PAY DATE 12/20/2021	NULG	39TV1	67092P201	3	000				33.13
	12/16/2021	12/20/2021		Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PF6	59562	74251V102	1	000	0	3	73,313937	(219.94)
	12/17/2021	12/17/2021		Dividend ISHARES TRUST ISHRS ESG AWARE MSCI EAFE ETF HOLDING 560,0000 PAY DATE 12/17/2021	ESGD	39Q08	46435G516	2	000				563.80
	12/17/2021	12/17/2021		Dividend ISHARES INC ISHARES ESG AWARE MSCI EM ETF HOLDING 505,0000 PAY DATE 12/17/2021	ESGE	39Q07	46434G863	1	000				419.47
	12/15/2021	12/17/2021		Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PF6	59562	74251V102	3	000	0	1	72,006189	(72.01)

	12/16/2021	12/16/2021	Dividend HOME DEPOT INC HOLDING 9.0000 PAY DATE 12/16/2021	HD	36780	437076102	1	000		14.85
	12/14/2021	12/16/2021	Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	FIG	59562	74251V102	2	000	0	71.875543 (215.63)
	12/15/2021	12/15/2021	Short Term Capital Gain BROWN ADV SUSTAINABLE GROWTH FD CL INSTL PAY DATE 12/14/2021	BAFWX	97D25	115233207	5	000		109.51
	12/15/2021	12/15/2021	Long Term Capital Gain BROWN ADV SUSTAINABLE GROWTH FD CL INSTL PAY DATE 12/14/2021	BAFWX	97D25	115233207	4	000		
	12/15/2021	12/15/2021	Dividend REALTY INCM CRP MD PVS1. REIT HOLDING 81.0000 PAY DATE 12/15/2021	O	63685	756109104	3	000		19.93
	12/15/2021	12/15/2021	Dividend MCDONALDS CORP COM HOLDING 7.0000 PAY DATE 12/15/2021	MCD	47649	580135101	2	000		9.66
	12/15/2021	12/15/2021	Dividend CSX CORP HOLDING 51.0000 PAY DATE 12/15/2021	CSX	12568	126408103	1	000		4.76
	12/13/2021	12/15/2021	Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	FIG	59562	74251V102	6	000	0	71.540768 (357.70)
	12/14/2021	12/14/2021	Dividend UNITEDHEALTH GROUP INC HOLDING 14.0000 PAY DATE 12/14/2021	UNH	76875	91324P102	1	000		20.30
	12/10/2021	12/14/2021	Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	FIG	59562	74251V102	2	000	0	71.839322 (143.68)
	12/13/2021	12/13/2021	Long Term Capital Gain TIAA CREF CORE IMPACT BOND FUND CL ADV PAY DATE 12/10/2021	TSBHX	9UFE2	87245P635	1	000		4.04
	12/09/2021	12/13/2021	Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	FIG	59562	74251V102	2	000	0	71.583222 (71.56)
	12/10/2021	12/10/2021	Dividend TARGET CORP COM HOLDING 7.0000 PAY DATE 12/10/2021	TGT	74DG3	87612E106	5	000		6.30
	12/10/2021	12/10/2021	Dividend ELI LILLY & CO HOLDING 7.0000 PAY DATE 12/10/2021	LLY	44534	532457109	4	000		5.95
	12/10/2021	12/10/2021	Dividend EMERSON ELEC CO HOLDING 38.0000 PAY DATE 12/10/2021	EMR	26435	291D11104	3	000		19.57

	12/10/2021	12/10/2021	Dividend EXXON MOBIL CORP COM HOLDING 64.0000 PAY DATE 12/10/2021	XOM	257D4	30231G102	2	000		56.32
	12/10/2021	12/10/2021	Dividend CHEVRON CORP HOLDING 30.0000 PAY DATE 12/10/2021	CVX	144X5	166764100	1	000		40.20
	12/08/2021	12/10/2021	Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PEG	59562	74251V102	6	000	2	71.573441 (143.15)
	12/09/2021	12/09/2021	Dividend MICROSOFT CORP HOLDING 10.0000 PAY DATE 12/09/2021	MSFT	49522	59491B104	1	000		
	12/07/2021	12/09/2021	Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PEG	59562	74251V102	2	000	1	71.281014 (71.28)
	12/08/2021	12/08/2021	Dividend UNITED PARCEL SVC CL B HOLDING 19.0000 PAY DATE 12/08/2021	UPS	76BN1	911312106	5	000		
	12/08/2021	12/08/2021	Short Term Capital Gain CALVERT SMALL CAP FUND CL I PAY DATE 12/07/2021	CSVIX	7GEH6	13161P862	4	000		547.85
	12/08/2021	12/08/2021	Long Term Capital Gain CALVERT SMALL CAP FUND CL I PAY DATE 12/07/2021	CSVIX	7GE66	13161P862	3	000		683.64
	12/08/2021	12/08/2021	Dividend CALVERT SMALL CAP FUND CL I PAY DATE 12/07/2021	CSVIX	7GE66	13161P862	2	000		17.97
	12/08/2021	12/08/2021	Dividend AMGEN INC COM HOLDING 6.0000 PAY DATE 12/08/2021	AMGN	04491	031162100	1	000		10.56
	12/06/2021	12/08/2021	Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PEG	59562	74251V102	6	000	2	70.34216 (140.68)
	12/07/2021	12/07/2021	Transfer / Adjustment RCRD STALE OMCA CHECK 8322137078 ISSD 06/08/21				8	626		150.00
	12/07/2021	12/07/2021	Short Term Capital Gain CALVERT US LRG CAP VALUE RESPONSIBLE INDX FD CL I PAY DATE 12/06/2021	CFJIX	9UAV4	13162A708	5	000		491.93
	12/07/2021	12/07/2021	Long Term Capital Gain CALVERT US LRG CAP VALUE RESPONSIBLE INDX FD CL I PAY DATE 12/06/2021	CFJIX	9UAV4	13162A708	4	000		327.03
	12/07/2021	12/07/2021	Dividend CALVERT US LRG CAP VALUE RESPONSIBLE INDX FD CL I PAY DATE 12/06/2021	CFJIX	9UAV4	13162A708	3	000		414.72
	12/07/2021	12/07/2021	Dividend JOHNSON AND JOHNSON COM HOLDING 20.0000 PAY DATE 12/07/2021	JNJ	40853	478160104	2	000		21.20
	12/07/2021	12/07/2021	Dividend ISH USD HIGH YIELD CORPORATE BOND ETF SH HOLDING 64.0000 PAY DATE 12/07/2021	UHSY	39X10	46435U853	1	000		10.37

	12/03/2021	12/07/2021		Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PHG	59562	74251V102	6	000	0	H	69,24743	(553.98)
	12/06/2021	12/06/2021		Withdrawal CK J 05515-90522 WALTER K SCHRADER				6	55F			(981.28)	
	12/06/2021	12/06/2021		Withdrawal CK J 05515-90502 ADAM JOHNSON				5	55F			(300.00)	
	12/02/2021	12/06/2021		Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PHG	59562	74251V102	1	000	0	2	69,749564	
	12/03/2021	12/03/2021		Foreign Tax Withholding MAGNA INTL INC CL A VTG PAY DATE 12/03/2021	MGA	46157	559222401	6	000			(3.55)	
	12/03/2021	12/03/2021		Foreign Dividend MAGNA INTL INC CL A VTG HOLDING 33.0000 PAY DATE 12/03/2021	MGA	46157	559222401	5	000				
	12/01/2021	12/03/2021		Purchase TORONTO DOMINION BANK EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	TD	74917	891160509	3	000	0	8	72,427057	(579.42)
	12/01/2021	12/03/2021		Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PHG	59562	74251V102	1	000	0	5	68,85575	(344.28)
	12/01/2021	12/03/2021		Sale ROYAL BANK CANADA PVS1 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	RY	65052	780087102	2		0	(2)	99,416087	198.82
	12/02/2021	12/02/2021		Advisory Program Fee INV. ADVISORY FEE DEC				5	674				(429.95)
	12/02/2021	12/02/2021		Dividend CCN COMMUNITY IMPACT BOND FUND CL INSTL PAY DATE 12/01/2021	CRANK	9MMU1	20368N202	1	000				6.43
	11/30/2021	12/02/2021		Purchase TORONTO DOMINION BANK EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	TD	74917	891160509	4	000	0	14	72,18787	(1,010.63)

	11/30/2021	12/02/2021	☐	Sale ROYAL BANK CANADA PV51 EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	RY	65852	780087102	3		(2)	99.911502	199.81
	11/30/2021	12/02/2021	☐	Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PGG	59562	74251V102	2	0	5	68.878758	(344.39)
	12/01/2021	12/01/2021	☐	Withdrawal CK J 05515-76854 PSEG LONG ISLAND				13	55F			(3.09)
	12/01/2021	12/01/2021	☐	Withdrawal CK J 05515-76848 PSEG LONG ISLAND				12	55F			(43.22)
	12/01/2021	12/01/2021	☐	Dividend TIAA CREF CORE IMPACT BOND FUND CL ADV PAY DATE 11/30/2021	TSBHX	9UFE2	07245P635	5	000			35.03
	12/01/2021	12/01/2021	☐	Dividend BLACKROCK STRATEGIC INCOME OPPRNTS PTF INST PAY DATE 11/30/2021	BSIIX	9PG49	09240B382	4	000			65.56
	12/01/2021	12/01/2021	☐	Dividend DELAWARE CORPORATE BOND FD CL INSTL PAY DATE 11/30/2021	DGCIY	9KTM0	24590R751	3	000			12.25
	12/01/2021	12/01/2021	☐	Dividend TRUIST FNL CORP HOLDING 72.0000 PAY DATE 12/01/2021	TFC	734M4	09032Q109	2	000			34.56
	12/01/2021	12/01/2021	☐	Dividend ENTERGY CORP NEW HOLDING 42.0000 PAY DATE 12/01/2021	ETR	253P1	24364G103	1	000			42.42
	11/29/2021	12/01/2021	☐	Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PGG	59562	74251V102	4	000	3	70.917262	(212.75)
	11/29/2021	12/01/2021	☐	Sale ROYAL BANK CANADA PV51 EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	RY	65852	780087102	7	0	(2)	101.87581	203.74
	11/29/2021	12/01/2021	☐	Purchase TORONTO DOMINION BANK EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	TD	74917	891160509	8	000	3	73.537029	(220.61)
	11/30/2021	11/30/2021	☐	Foreign Dividend EATON CORP PLC HOLDING 24.0000 PAY DATE 11/30/2021	ETN	26GD6	G29183103	11	000			18.24
	11/30/2021	11/30/2021	☐	Dividend JP MORGAN STRATEGIC INCOME OPR FUND CL I PAY DATE 11/29/2021	JSOSX	9PP26	4812A4351	1	000			11.05

	11/26/2021	11/30/2021		Purchase TORONTO DOMINION BANK EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	TD	74917	891160509	5	000	0	7	73.843647	(516.91)
	11/26/2021	11/30/2021		Sale ROYAL BANK CANADA PVS1 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	RY	65852	780087102	4	000	0	(2)	101.811992	203.61
	11/26/2021	11/30/2021		Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PCG	59562	74251V102	3	000	0	3	70.560042	(211.68)
	11/26/2021	11/30/2021		Sale ORION OFFICE REIT INC REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ONL	57CF0	68629Y103	2	000	0	(2)	17.779892	35.55
	11/30/2021	11/30/2021		Bank Interest NL BANK DEPOSIT PROGRAM FROM 10/29 THRU 11/29		94SK9	990286916	7	AMF				0.28
	11/24/2021	11/29/2021		Purchase TORONTO DOMINION BANK EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	TD	74917	891160509	3	000	0	4	75.504992	(302.02)
	11/24/2021	11/29/2021		Sale ROYAL BANK CANADA PVS1 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	RY	65852	780087102	2	000	0	(8)	104.262688	834.09
	11/24/2021	11/29/2021		Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PCG	59562	74251V102	1	000	0	2	73.62757	(147.26)
	11/23/2021	11/26/2021		Purchase ENERGY CORP NEW EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ETR	253P1	29364G103	1	000	0	2	106.295717	(212.59)











11/23/2021	11/26/2021		Sale ROYAL BANK CANADA PWS1 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	RY	65852	780087102	4	0	(12)	103.669778	1,244.03
11/23/2021	11/26/2021		Sale ORION OFFICE REIT INC REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ORNL	57CF0	68629Y103	2	0	(6)	18.816608	112.89
11/23/2021	11/26/2021		Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PF6	59562	74251V102	3	0	4	72.698148	(290.79)
11/23/2021	11/26/2021		Purchase TORONTO DOMINION BANK EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	TD	74917	891160507	5	0	8	74.879129	(599.03)
11/24/2021	11/24/2021		Foreign Tax Withholding ROYAL BANK CANADA PWS1 PAY DATE 11/24/2021	RY	65852	780087102	7	0			(7.02)
11/24/2021	11/24/2021		Foreign Dividend ROYAL BANK CANADA PWS1 HOLDING 33.0000 PAY DATE 11/24/2021	RY	65852	780087102	6	0			28.07
11/24/2021	11/24/2021		Short Term Capital Gain DELAWARE CORPORATE BOND FD CL INSTL PAY DATE 11/23/2021	DGCIX	9KTM0	245908751	3	0			45.79
11/24/2021	11/24/2021		Long Term Capital Gain DELAWARE CORPORATE BOND FD CL INSTL PAY DATE 11/23/2021	DGCIX	9KTM0	245908751	2	0			37.31
11/24/2021	11/24/2021		Dividend CITIGROUP INC COM NEW HOLDING 66.0000 PAY DATE 11/24/2021	C	161N2	172967424	1	0			33.66
11/24/2021	11/24/2021		Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PF6	59562	74251V102	4	0	1	72.204787	(72.20)
11/24/2021	11/24/2021		Sale ROYAL BANK CANADA PWS1 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	RY	65852	780087102	5	0	(5)	104.17317	520.86
11/19/2021	11/23/2021		Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PF6	59562	74251V102	2	0	2	70.316847	(140.63)

	11/19/2021	11/23/2021	Purchase ENERGY CORP NEW EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ETR	253P1	29364G103	1	000	0	2	105.775763	(211.35)
	11/19/2021	11/23/2021	Purchase VICI PRPTS INC EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VICI	79G52	925652109	3	000	0	6	28.725023	(172.35)
	11/18/2021	11/22/2021	Purchase ENERGY CORP NEW EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ETR	253P1	29364G103	2	000	0	2	105.415138	(210.83)
	11/18/2021	11/22/2021	Purchase PRINCIPAL FINANCIAL CORP EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PEG	59S62	74251V102	4	000	0	3	71.085331	(213.26)
	11/18/2021	11/22/2021	Purchase VICI PRPTS INC EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VICI	79G52	925652109	5	000	0	14	29.156612	(408.15)
	11/18/2021	11/22/2021	Sale BLACKROCK INC EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BLK	00UL2	0924YX101	1	0	0	(1)	928.074195	928.06
	11/18/2021	11/22/2021	Sale GENERAL ELEC CO REG SHS EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GE	314J2	3620U4301	3	0	0	(8)	99.945026	799.56
	11/17/2021	11/19/2021	Purchase CALVERT SMALL CAP FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	CSVIX	7GSE66	13161P862	12	000	0	40	39.04	(1,561.60)

	11/17/2021	11/19/2021		Purchase CALVERT US LRG CAP VALUE RESPONSIBLE INDX FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	CFIX	9UANV4	13162A708	14	000	0	7	32.99	(230.93)
	11/17/2021	11/19/2021		Sale BLACKROCK INC EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BLK	00NL2	09247X101	2	0	0	(1)	912.803813	912.79
	11/17/2021	11/19/2021		Sale AIR PRODUCTSACHEM EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	APD	00061	009158106	1	0	0	(3)	300.038776	900.11
	11/17/2021	11/19/2021		Purchase VICI PRYS INC EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	VICI	79G52	925652109	13	000	0	9	28.945125	(260.51)
	11/17/2021	11/19/2021		Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PRG	59562	74251V102	11	000	0	3	71.593791	(214.78)
	11/17/2021	11/19/2021		Purchase ENTERGY CORP NEW EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ETR	253P1	29364G103	3	000	0	1	105.277495	(105.28)
	11/17/2021	11/19/2021		Sale GENERAL ELEC CO REG SHS EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	GE	31412	369604201	5	0	0	(10)	102.251787	1,022.51
	11/17/2021	11/19/2021		Purchase EXXON MOBIL CORP COM EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	XOM	257D4	30221G102	4	000	0	12	64.694506	(776.33)
	11/17/2021	11/19/2021		Purchase NUVEEN ESG LARGE-CAP VALUE ETF PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NULV	39TV2	67092P300	9	000	0	26	39.71	(1,032.46)

	11/17/2021	11/19/2021		Sale NUVEEN ESG LARGE CAP GROWTH ETF PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NULG	39TV1	67092P201	8	0	(30)	73.47	(2,204.09)	
	11/17/2021	11/19/2021		Purchase SPDR BLOOMBERG 1-3 MONTH T BILL ETF EXECUTED 100% AGENCY PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BIL	39YB6	7846BR663	10	000	0	4	91.44	(365.76)
	11/17/2021	11/19/2021		Purchase ISHARES INC ISHARES ESG AWARE MSCI EM ETF PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ESGE	39Q07	40434G863	6	000	0	14	42.33	(592.62)
	11/17/2021	11/19/2021		Purchase ISHARES TRUST ISHRS ESG AWARE MSCI EAFE ETF EXECUTED 100% AGENCY PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ESGD	39Q08	40435G516	7	000	0	17	81.287295	(1,381.88)
	11/18/2021	11/18/2021		Cash In Lieu of Shares ORION OFFICE REIT INC REG SHS HOLDING 81.0000 PAID BY REALTY INCOME CO FORM 1099-B SUBSTITUTE PAY DATE 11/17/2021	ONL	57CF0	60629Y103	15				1.81	
	11/17/2021	11/18/2021		Sale BROWN ADV SUSTAINABLE GROWTH FD CL INSTL THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	BAFWX	97D25	115233207	13	0	(101)	51.76	5,227.76	
	11/17/2021	11/18/2021		Purchase DELAWARE CORPORATE BOND FD CL INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	DGCTX	9KTH0	245908751	10	000	0	53	6.32	(334.96)
	11/17/2021	11/18/2021		Purchase CCM COMMUNITY IMPACT BOND FUND CL INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	CRANX	9NMU1	20368N207	11	000	0	26	10.55	(274.30)

	11/17/2021	11/18/2021		Purchase TIAA CREF CORE IMPACT BOND FUND CL ADV FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS. ML ACTED AS AGENT.	TSBHX	9UFE2	87245P635	12	000	0	139	10.56	(1,467.84)
	11/16/2021	11/18/2021		Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	FIG	59562	74251V102	7	000	0	2	72.244383	(144.49)
	11/16/2021	11/18/2021		Purchase EXXON MOBIL CORP COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	XOM	257D4	30231G102	4	000	0	13	64.988528	
	11/16/2021	11/18/2021		Sale AIR PRODUCTS/SCHEM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	APD	400661	00915B106	1	0	0	(1)	302.950787	302.94
	11/16/2021	11/18/2021		Sale BLACKROCK INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BLK	080L2	09247X101	2	0	0	(1)	952.110552	952.10
	11/16/2021	11/18/2021		Purchase VICI PTY'S INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VICI	79G52	925652109	9	000	0	9	29.33377	(264.00)
	11/16/2021	11/18/2021		Purchase ENERGY CORP NEW EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ETR	253P1	29364G103	3	000	0	3	105.562475	(316.69)
	11/16/2021	11/18/2021		Sale GENERAL ELEC CO REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GE	31412	307604301	5	0	0	(14)	103.438646	1,448.13

	11/16/2021	11/18/2021		Purchase VERIZON COMMUNICATIONS COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VZ	79B06	92343V104	8	000	0	3	52,449,098	(157.35)
	11/16/2021	11/18/2021		Sale HOME DEPOT INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	HD	36780	437076102	6	000	0	(3)	303,537,585	1,150.60
	11/17/2021	11/17/2021		Stock Dividend Due Bill ORION OFFICE REIT INC REG SHS	ONL	57CF0	68629Y103	2	000	0	(8)	0.00	
	11/17/2021	11/17/2021		Dividend ORION OFFICE REIT INC REG SHS, HOLDING \$1,000 PAID BY REALTY INCOME CO PAY DATE 11/17/2021	ONL	57CF0	68629Y103	1	000	0	8		
	11/15/2021	11/17/2021		Purchase ENERGY CORP NEW EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ETR	25BP1	29364G103	5	000	0	2	104,383,957	(208.77)
	11/15/2021	11/17/2021		Purchase EXXON MOBIL CORP COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	XOM	257D4	30231G102	6	000	0	12	64,293,665	(771.52)
	11/15/2021	11/17/2021		Sale GENERAL ELEC CO REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GE	314J2	369604301	7	000	0	(6)	107,052,496	642.30
	11/15/2021	11/17/2021		Purchase VICI PTYS INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VICI	79J552	943652109	11	000	0	7	29,250,28	(204.75)
	11/15/2021	11/17/2021		Sale AIR PRODUCTS CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	APD	00661	009158106	3	000	0	(1)	304,148,561	304.14
	11/15/2021	11/17/2021		Purchase VERIZON COMMUNICATIONS COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VZ	79B06	92343V104	10	000	0	8	52,277,958	(418.22)

	11/15/2021	11/17/2021	📄	Sale HOME DEPOT INC EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HD	36780	437076102	8	0	(3)	371.701715	1115.10
	11/15/2021	11/17/2021	📄	Purchase PRINCIPAL FINANCIAL GRP EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PEG	59562	74251V102	9	000	2	71.134557	(142.27)
	11/15/2021	11/17/2021	📄	Sale BROADCOM INC EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	AVGO	07008	11135F101	4	0	(2)	563.176577	
	11/15/2021	11/15/2021	📄	Trade Correction BHP GROUP LTD TRADE OF 9/29 IS CXL	BHP	082E4	088606108	7	0	8	54.2662	(45.12)
	11/15/2021	11/15/2021	📄	Trade Correction BHP GROUP LTD TRADE AS OF 09/29/21 ACCOUNT SETTLES 10/01/21 PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BHP	082E4	088606108	8	0	(8)	52.2662	418.12
	11/15/2021	11/15/2021	📄	Dividend TEXAS INSTRUMENTS HOLDING 24.0000 PAY DATE 11/15/2021	TXN	73841	882508104	5	000			27.80
	11/15/2021	11/15/2021	📄	Dividend REALTY INCM GRP MD PWSL REIT HOLDING 01.0000 PAY DATE 11/15/2021	O	63685	756109104	4	000			13.12
	11/15/2021	11/15/2021	📄	Dividend PROCTER & GAMBLE CO HOLDING 11.0000 PAY DATE 11/15/2021	PG	62726	742718109	3	000			3.37
	11/15/2021	11/15/2021	📄	Dividend KINDER MORGAN INC. DEL HOLDING 236.0000 PAY DATE 11/15/2021	KMI	419V4	49456B101	1	000			61.82
	11/15/2021	11/15/2021	📄	Stock Dividend Due Bill ORION OFFICE REIT INC REG SHS	ONL	57CF0	64629Y103	2		8		0.60
	11/12/2021	11/12/2021	📄	Dividend SYNCHRONY FINL CON HOLDING 84.0000 PAY DATE 11/12/2021	SYF	695D1	87165B103	1	000			18.48
	11/09/2021	11/09/2021	📄	Withdrawal CK J 05515-17022 TERMININX PROCESSING CENT				3	55F			(172.71)
	11/09/2021	11/09/2021	📄	Withdrawal CK J 05515-17015 OPTIMUM				2	55F			(216.50)
	11/08/2021	11/08/2021	📄	Dividend DEERE CO HOLDING 11.0000 PAY DATE 11/08/2021	DE	23029	244199105	2	000			
	11/08/2021	11/08/2021	📄	Dividend AIR PRODUCTS/SCHEM HOLDING 5.0000 PAY DATE 11/08/2021	APD	00661	0094158106	1	000			7.50
	11/05/2021	11/05/2021	📄	Dividend ISH USD HIGH YIELD CORPORATE BOND ETF SH HOLDING 64.0000 PAY DATE 11/05/2021	USHY	39X10	46435UB53	1	000			10.86
	11/02/2021	11/02/2021	📄	Advisory Program Fee INV. ADVISORY FEE NOV				2	674			(440.56)

	11/02/2021	11/02/2021	Dividend CCM COMMUNITY IMPACT BOND FUND CL INSTL PAY DATE 11/01/2021	CRANX	9MNU1	20368N202	1	000	6.28
	11/01/2021	11/01/2021	Dividend BLACKROCK STRATEGIC INCOME OPPRNTS PTF INST PAY DATE 10/29/2021	BSLIX	9PGJ9	09260B382	5	000	77.58
	11/01/2021	11/01/2021	Dividend DELAWARE CORPORATE BOND FD CL INSTL PAY DATE 10/29/2021	DGCLX	9KTM0	24590B751	4	000	12.71
	11/01/2021	11/01/2021	Dividend VERIZON COMMUNICATIONS COM HOLDING 66.0000 PAY DATE 11/01/2021	VZ	70806	92343V104	3	000	42.24
	11/01/2021	11/01/2021	Dividend JPMORGAN CHASE & CO HOLDING 30.0000 PAY DATE 10/31/2021	JPM	40555	46625H100	2	000	22.54
	11/01/2021	11/01/2021	Dividend BRISTOL-MYERS SQUIBB CO HOLDING 46.0000 PAY DATE 11/01/2021	BMV	11011	110122108	1	000	
	11/01/2021	11/01/2021	Dividend TIAA CREF CORE IMPACT BOND FUND CL ADV PAY DATE 10/29/2021	TSBHX	9UFE2	07245P635	6	000	
	10/29/2021	10/29/2021	Dividend JP MORGAN STRATEGIC INCOME OPP FUND CL 1 PAY DATE 10/28/2021	JSOSX	9PP26	4012A4351	1	000	14.38
	10/29/2021	10/29/2021	Bank Interest ML BANK DEPOSIT PROGRAM FROM 09/30 THRU 10/28		945K9	99020G916	3	AMF	0.25
	10/27/2021	10/27/2021	Dividend COMCAST CORP NEW CL A HOLDING 53.0000 PAY DATE 10/27/2021	CMCSA	127J0	20030N101	1	000	13.25
	10/25/2021	10/25/2021	Dividend GENERAL ELEC CO REG SHS HOLDING 38.0000 PAY DATE 10/25/2021	GE	314J2	369604301	1	000	3.04
	10/20/2021	10/20/2021	Withdrawal CK G 05515-62905 TERNINI INC				2	SSF	159.837
	10/19/2021	10/19/2021	Withdrawal CK G 05515-62905 TERNINI PROCESSING CENT				3	SSF	172.71
	10/15/2021	10/19/2021	Purchase WILLIAMS COMPANIES DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	WMB	02902	969457100	1	000	29.571952
	10/14/2021	10/18/2021	Purchase WILLIAMS COMPANIES DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	WMB	82002	969457100	1	000	29.304772
	10/15/2021	10/15/2021	Foreign Tax Withholding NUTRIEN LTD REG SHS PAY DATE 10/15/2021	NTR	53JB2	67077M108	7	000	7.25
	10/15/2021	10/15/2021	Foreign Dividend NUTRIEN LTD REG SHS HOLDING 63.0000 PAY DATE 10/15/2021	NTR	53JB2	67077M108	6	000	28.98

	10/15/2021	10/15/2021	Foreign Dividend MEDTRONIC PLC SHS HOLDING 44,0000 PAY DATE 10/15/2021	MDT	46170	G5960L103	5	000				27.72
	10/15/2021	10/15/2021	Dividend REALTY INCH GRP MID PVSL REIT HOLDING 81.0000 PAY DATE 10/15/2021	O	636R5	756109104	2	000				19.12
	10/15/2021	10/15/2021	Dividend FIFTH THIRD BANCPOR HOLDING 121.0000 PAY DATE 10/15/2021	FTTB	28641	316773100	1	000				36.30
	10/13/2021	10/15/2021	Purchase WILLIAMS COMPANIES DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	WMB	82902	969457100	3	000	0	8	28.829121	(230.63)
	10/12/2021	10/14/2021	Purchase WILLIAMS COMPANIES DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	WMB	82902	969457100	1	000	0	13	28.846645	(375.01)
	10/11/2021	10/13/2021	Purchase WILLIAMS COMPANIES DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	WMB	82902	969457100	4	000	0	14	28.705181	(401.87)
	10/11/2021	10/13/2021	Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	531B2	67077M106	1	000	0	2	71.677207	(143.35)
	10/08/2021	10/13/2021	Purchase WILLIAMS COMPANIES DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	WMB	82902	969457100	3	000	0	10	28.124252	(281.24)
	10/08/2021	10/13/2021	Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	531B2	67077M106	2	000	0	4	70.033259	(210.10)
	10/07/2021	10/12/2021	Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	531B2	67077M106	2	000	0	3	69.49235	(208.48)

	10/07/2021	10/12/2021		Purchase WILLIAMS COMPANIES DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	WMB	82902	969457100	3	000	0	10	27.54873	(275.49)
	10/07/2021	10/12/2021		Sale KINDER MORGAN INC. DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	KMI	419Y4	49456B101	1	0	0	(15)	16.940577	254.10
	10/06/2021	10/08/2021		Purchase WILLIAMS COMPANIES DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	WMB	82902	969457100	3	000	0	16	26.934324	(430.95)
	10/06/2021	10/08/2021		Sale KINDER MORGAN INC. DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	KMI	419Y4	49456B101	1	0	0	(27)	16.681349	450.39
	10/06/2021	10/08/2021		Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NTR	53JB2	67077M108	2	000	0	3	67.410464	(202.23)
	10/07/2021	10/07/2021		Dividend VICI PTYS INC HOLDING 151.0000 PAY DATE 10/07/2021	VICI	70G52	925652109	2	000				54.36
	10/07/2021	10/07/2021		Dividend ISH USD HIGH YIELD CORPORATE BOND ETF SH HOLDING 64.0000 PAY DATE 10/07/2021	USHY	39X10	464350B53	1	000				11.06
	10/05/2021	10/07/2021		Sale KINDER MORGAN INC. DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	KMI	419Y4	49456B101	3	0	0	(27)	16.980835	458.47
	10/05/2021	10/07/2021		Purchase WILLIAMS COMPANIES DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	WMB	82902	969457100	7	000	0	17	27.353262	(465.01)
	10/05/2021	10/07/2021		Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NTR	53JB2	67077M108	5	000	0	3	68.164195	(204.49)

10/05/2021	10/07/2021	Purchase MEDTRONIC PLC SHS EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MDT	46WTO	G5960L103	4	000	0	3	126.66636	(380.00)
10/05/2021	10/07/2021	Purchase TEXAS INSTRUMENTS EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	TXN	73841	882508104	6	000	0	1	193.607262	(193.61)
10/06/2021	10/06/2021	Foreign Dividend SEAGATE TECH HLDGS PUB LTD CO HOLDING 46.0000 PAY DATE 10/06/2021	STX	667D3	G7997R103	8	000				30.82
10/06/2021	10/06/2021	Dividend HEWLETT PACKARD ENTERPRISE CO HOLDING 277.0000 PAY DATE 10/06/2021	HPE	35HP6	42B24C109	1	000				33.24
10/04/2021	10/06/2021	Purchase TEXAS INSTRUMENTS EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	TXN	73841	882508104	6	000	0	2	190.880186	(381.76)
10/04/2021	10/06/2021	Purchase MEDTRONIC PLC SHS EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MDT	46WTO	G5960L103	4	000	0	1	125.864944	(259.86)
10/04/2021	10/06/2021	Purchase WILLIAMS COMPANIES DEL EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	WNB	82902	909457100	7	000	0	11	27.474686	(302.22)
10/04/2021	10/06/2021	Sale KINDER MORGAN INC. DEL EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	KMI	419Y4	444508101	2		0	(40)	17.047516	681.89
10/04/2021	10/06/2021	Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	53JB2	67077M108	5	000	0	3	67.833169	(203.50)
10/04/2021	10/06/2021	Sale CSX CORP EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	CSX	12568	126408103	2		0	(24)	30.56782	733.62

	10/04/2021	10/04/2021	Transfer / Adjustment VOID ISSUED OMCA CHECK ISSD 07/22/21	4	626				542.25
	10/04/2021	10/04/2021	Advisory Program Fee INV. ADVISORY FEE OCT	2	674				(424.36)
	10/04/2021	10/04/2021	Dividend CCH COMMUNITY IMPACT BOND FUND CL INSTL PAY DATE 10/01/2021	1	000				6.32
	10/01/2021	10/01/2021	Dividend TIAA CREF CORE IMPACT BOND FUND CL ADV PAY DATE 09/30/2021	3	000				33.40
	10/01/2021	10/01/2021	Dividend BLACKROCK STRATEGIC INCOME OPPRNTS PTF INST PAY DATE 09/30/2021	2	000				87.82
	10/01/2021	10/01/2021	Dividend DELAWARE CORPORATE BOND FD CL INSTL PAY DATE 09/30/2021	1	000				12.16
	09/29/2021	10/01/2021	Sale BHP GROUP LTD PER ADVISORY AGREEMENT. NL ACTED AS AGENT	4	0		(8)	54.2662	434.12
	09/30/2021	09/30/2021	Dividend JP MORGAN STRATEGIC INCOME OPP FUND CL 1 PAY DATE 09/29/2021	4	000				21.07
	09/30/2021	09/30/2021	Dividend PEPSICO INC HOLDING 11.0000 PAY DATE 09/30/2021	1	000				11.83
	09/30/2021	09/30/2021	Dividend CROWN CASTLE REIT INC SHS HOLDING 15.0000 PAY DATE 09/30/2021	2	000				1.99
	09/30/2021	09/30/2021	Dividend BROADCOM INC HOLDING 13.0000 PAY DATE 09/30/2021	1	000				46.80
	09/28/2021	09/30/2021	Sale BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	5	0		(14)	53.648338	751.07
	09/30/2021	09/30/2021	Bank Interest NL BANK DEPOSIT PROGRAM FROM 08/31 THRU 09/29	1	AMF				0.23
	09/27/2021	09/29/2021	Sale BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	1	0		(16)	55.090153	001.43
	09/28/2021	09/28/2021	Withdrawal CK G OPTIMUM	3	55F				(575.13)
	09/24/2021	09/28/2021	Sale BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	1	0		(15)	54.7274	020.90

	09/23/2021	09/27/2021		Sale BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BHP	082E4	08B606108	1	0		(10)	55.101082	354.00
	09/22/2021	09/24/2021		Sale CALVERT SMALL CAP FUND CL I THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CSVIX	7GE66	13161P862	16	0		(9)	36.32	
	09/22/2021	09/24/2021		Sale CALVERT US LRG CAP VALUE RESPONSIBLE INDV FD CL I THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CFJIX	9UAV4	12162A708	18	0		(9)	31.04	279.36
	09/22/2021	09/24/2021		Sale TRUIST FINL CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TFC	734M4	89832Q109	17	0		(3)	55.1658	165.49
	09/22/2021	09/24/2021		Sale KINDER MORGAN INC. OEL PER ADVISORY AGREEMENT. ML ACTED AS AGENT	KMI	419Y4	49456B101	14	0		(12)	16.2042	194.44
	09/22/2021	09/24/2021		Sale CITIGROUP INC COM NEW PER ADVISORY AGREEMENT. ML ACTED AS AGENT	C	161N2	172967424	3	0		(3)	68.7866	
	09/22/2021	09/24/2021		Sale EATON CORP PLC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ETN	26GD6	6320183103	4	0		(1)	157.1952	157.19
	09/22/2021	09/24/2021		Sale GENERAL ELEC CO REG SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GE	314J2	369604301	7	0		(2)	98.8724	197.73
	09/22/2021	09/24/2021		Sale NUVEEN ESG LARGE CAP GROWTH ETF PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NULG	39TV1	67092P201	10	0		(7)	68.1653	
	09/22/2021	09/24/2021		Sale JPMORGAN CHASE & CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	JPM	40SS5	46625H100	13	0		(1)	156.945	156.94
	09/22/2021	09/24/2021		Sale ENERSON ELEC CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	EMR	264J5	291011104	5	0		(2)	95.9013	181.79
	09/22/2021	09/24/2021		Sale ISHARES TRUST ISHRS ESG AWARE MSCI EAFE ETF PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ESGO	39Q08	46435G516	4	0		(14)	80.7816	130.84
	09/22/2021	09/24/2021		Sale NUVEEN ESG LARGE-CAP VALUE ETF PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NULV	39TV2	67092P200	11	0		(26)	37.9211	985.94
	09/22/2021	09/24/2021		Sale SPDR BLOOMBERG BARCLAYS 1-3 MONTH T BILL ETF PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BIL	39YB6	78468R663	12	0		(4)	91.455	365.81
	09/22/2021	09/24/2021		Sale ISHARES INC ISHARES ESG AWARE MSCI EN ETF PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ESGE	39Q07	46434G663	8	0		(9)	41.9907	372.84
	09/22/2021	09/24/2021		Sale FIFTH THIRD BANCORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	FTTB	28641	316773100	6	0		(5)	39.3683	196.84
	09/22/2021	09/24/2021		Sale MICROSOFT CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MSFT	49522	594918104	15	0		(1)	299.345	299.34





	09/22/2021	09/24/2021	EXXON MOBIL CORP COM PER ADVISORY AGREEMENT. NL ACTED AS AGENT	XOM	257D4	302316102	3	0	(3)	55.6935	167.07
	09/22/2021	09/24/2021	Sale DEERE CO PER ADVISORY AGREEMENT. NL ACTED AS AGENT	DE	23029	244199105	2	0	(1)	342.0905	342.08
	09/23/2021	09/23/2021	Withdrawal CK G [REDACTED] BEG LONG ISLAND	CK G			13	55F			366.68)
	09/23/2021	09/23/2021	Withdrawal CK G [REDACTED] WALTER K SCHRADER	CK G			11	55F			(12,258.00)
	09/23/2021	09/23/2021	Withdrawal CK G [REDACTED] SEG LONG ISLAND	CK G			12	55F			(1,439.15)
	09/23/2021	09/23/2021	Withdrawal CK G [REDACTED] WALTER K SCHRADER	CK G			10	55F			(1,380.00)
	09/22/2021	09/23/2021	Sale JP MORGAN STRATEGIC INCOME OPP FUND CL I THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	J50SX	99P26	4812A4351	4	0	(150)	11.54	1,731.00
	09/22/2021	09/23/2021	Sale DELAWARE CORPORATE BOND FD CL INSTL THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	DGCLX	9KTM0	245908751	1	0	(33)	6.49	
	09/22/2021	09/23/2021	Sale BROWN ADV SUSTAINABLE GROWTH FD CL INSTL THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	BAFWX	97D25	115233207	6	0	(29)	49.19	1,426.51
	09/22/2021	09/23/2021	Sale TIAA CREF CORE IMPACT BOND FUND CL ADV THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	TSBHX	9UFE2	87245P635	5	0	(100)	10.74	
	09/22/2021	09/23/2021	Sale CCM COMMUNITY IMPACT BOND FUND CL INSTL THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	CRANX	9NMU1	20368N202	2	0	(20)	10.68	213.60
	09/22/2021	09/23/2021	Sale BLACKROCK STRATEGIC INCOME OPPORTS PTF INST THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	BSIIX	9PG49	09260B302	3	0	(171)	10.35	1,769.85
	09/22/2021	09/22/2021	Dividend BLACKROCK INC HOLDING 7.0000 PAY DATE 09/22/2021	BLX	080L2	09247X101	1	000			28.91
	09/21/2021	09/21/2021	Foreign Dividend BHP GROUP LTD HOLDING 86.0000 PAY DATE 09/21/2021	BHP	082E4	088606108	4	000			344.00
	09/21/2021	09/21/2021	Dividend UNITEDHEALTH GROUP INC HOLDING 8.0000 PAY DATE 09/21/2021	UHN	768T5	91324P102	1	000			11.60
	09/17/2021	09/21/2021	Sale HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HPE	35HP6	42824C109	2	0	(4)	13.468827	55.87

	09/16/2021	09/20/2021		Sale HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HPE	35HP6	42824C109	1	0	(8)	13.729148	109.82
	09/16/2021	09/20/2021		Sale KINDER MORGAN INC. DEL EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	KMI	419Y4	49456B101	2	0	(9)	16.221156	145.98
	09/15/2021	09/17/2021		Sale KINDER MORGAN INC. DEL EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	KMI	419Y4	49456B101	4	0	(39)	16.375377	638.63
	09/15/2021	09/17/2021		Sale HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HPE	35HP6	42824C109	3	0	(13)	13.773999	179.05
	09/15/2021	09/17/2021		Sale BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BHP	08ZE4	088606108	1	0	(11)	59.651849	656.16
	09/15/2021	09/17/2021		Purchase COMCAST CORP NEW CL A EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	CMCSA	127J0	20030H101	2	0	11	56.779912	(624.58)
	09/16/2021	09/16/2021		Dividend HOME DEPOT INC HOLDING 15.0000 PAY DATE 09/16/2021	HD	36780	437076102	1	000			24.75
	09/14/2021	09/16/2021		Purchase CALVERT SMALL CAP FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	CSVIX	7GE66	13161P862	35	000	11	36.27	108.77
	09/14/2021	09/16/2021		Purchase CALVERT US LRG CAP VALUE RESPONSIBLE INDX FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	CFIIX	9UAV4	13162A708	40	000	17	31.38	(533.46)

	09/14/2021	09/16/2021		Sale HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	HPE	35HP6	42824C109	17	0	(10)	13.909607	139.09
	09/14/2021	09/16/2021		Sale KINDER MORGAN INC. DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	KMI	419Y4	49456B101	26	0	(13)	16.029996	208.38
	09/14/2021	09/16/2021		Purchase COMCAST CORP NEW CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CMCSA	127J0	20030N101	6	000	7	57.735115	(404.15)
	09/14/2021	09/16/2021		Sale BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BHP	082E4	008606108	2	0	(0)	60.472999	483.77
	09/14/2021	09/16/2021		Purchase SEAGATE TECH HLDGS PUB LTD CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	STX	667D2	G7997R103	33	000	2	84.30	(168.60)
	09/14/2021	09/16/2021		Purchase ISHARES TRUST ISHRS ESG AWARE MSCI EAFE ETF PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ESGD	39Q08	46435G316	19	000	15	82.0399	(1,230.60)
	09/14/2021	09/16/2021		Purchase DEERE CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DE	23029	244199105	10	000	1	357.18	(357.18)
	09/14/2021	09/16/2021		Purchase COMCAST CORP NEW CL A PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CMCSA	127J0	20030N101	5	000	3	56.935	(170.81)
	09/14/2021	09/16/2021		Purchase GENERAL ELEC CO REG SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GE	314J2	3696A301	16	000	3	101.415	
	09/14/2021	09/16/2021		Purchase JPMORGAN CHASE & CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	JPM	40555	46625H100	24	000	1	157.65	(157.65)
	09/14/2021	09/16/2021		Purchase MEDTRONIC PLC SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MDT	46WT0	G5960L103	27	000	2	130.82	(261.64)
	09/14/2021	09/16/2021		Purchase ISHARES INC ISHARES ESG AWARE MSCI EM ETF PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ESGE	39Q07	46434G863	18	000	17	43.03	(731.51)

	09/14/2021	09/16/2021		Purchase REALTY INCM GRP MID PVS1. REIT PER ADVISORY AGREEMENT. NL ACTED AS AGENT	0	636R5	756109104	31	000	0	2	68.313	(136.63)
	09/14/2021	09/16/2021		Purchase EMERSON ELEC CO PER ADVISORY AGREEMENT. NL ACTED AS AGENT	EMR	26435	291011104	14	000	0	1	98.1609	(98.16)
	09/14/2021	09/16/2021		Purchase NUVEEN ESG LARGE CAP GROWTH ETF PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NULG	39TV1	67092P201	20	000	0	7	68.945	(482.62)
	09/14/2021	09/16/2021		Purchase FIFTH THIRD BANCORP PER ADVISORY AGREEMENT. NL ACTED AS AGENT	FTTB	28G41	316773100	15	000	0	7	39.345	(275.42)
	09/14/2021	09/16/2021		Purchase EXXON MOBIL CORP COM EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	XOM	257D4	30231G102	12	000	0	4	54.915	(219.66)
	09/14/2021	09/16/2021		Purchase NUVEEN ESG LARGE-CAP VALUE ETF EXECUTED 100% AGENCY PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NULV	30TV2	67092P300	21	000	0	31	38.376	(1,189.66)
	09/14/2021	09/16/2021		Purchase ISH USD HIGH YIELD CORPORATE BOND ETF SH PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	USHY	39X10	46435U853	22	000	0	1	41.715	(41.72)
	09/14/2021	09/16/2021		Purchase NUTRIEN LTD REG SHS PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	53JB2	67077M108	30	000	0	3	61.79	(185.37)
	09/14/2021	09/16/2021		Purchase ROYAL BANK CANADA PVS1 PER ADVISORY AGREEMENT. NL ACTED AS AGENT	RY	65B52	780087102	32	000	0	1	101.67	(101.67)
	09/14/2021	09/16/2021		Purchase MAGNA INTL INC CL A VTG PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MGA	46157	559222401	28	000	0	2	78.935	(157.87)
	09/14/2021	09/16/2021		Purchase VERIZON COMMUNICATIONS COM PER ADVISORY AGREEMENT. NL ACTED AS AGENT	VZ	79B06	92343V104	38	000	0	3	54.4656	(465.407)
	09/14/2021	09/16/2021		Purchase BRISTOL MYERS SQUIBB CO PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BMJ	11011	110122106	3	000	0	1	62.675	(62.68)
	09/14/2021	09/16/2021		Purchase MICROSOFT CORP PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MSFT	49522	594918104	29	000	0	1	300.1282	(300.13)

	09/14/2021	09/16/2021		Purchase SPDR BLOOMBERG BARCLAYS 1-3 MONTH T BILL ETF PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	BIL	39YB6	78468R663	23	000	0	2	91.455	(182.91)
	09/14/2021	09/16/2021		Purchase UNITEDHEALTH GROUP INC PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	UNH	768T5	91324P102	37	000	0	1	412.49	(412.49)
	09/14/2021	09/16/2021		Purchase JOHNSON AND JOHNSON CON PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	JNJ	408S3	47816D104	25	000	0	1	165.74	(165.74)
	09/14/2021	09/16/2021		Purchase ENTERGY CORP NEW PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	ETR	253P1	29364G103	11	000	0	1	113.04	(113.84)
	09/14/2021	09/16/2021		Purchase SYNCHRONY FINL CON PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	SYF	695D1	87165B103	34	000	0	4	47.75	(191.00)
	09/14/2021	09/16/2021		Purchase CSX CORP PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	CSX	12568	126408103	4	000	0	3	30.545	(91.64)
	09/14/2021	09/16/2021		Purchase TRUIST FINL CORP EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NIL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	TFC	734M4	89032Q109	36	000	0	5	55.29	(276.45)
	09/14/2021	09/16/2021		Purchase CITIGROUP INC CON NEW PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	C	101N2	172967424	8	000	0	4	69.615	(278.46)
	09/14/2021	09/16/2021		Purchase VICI PTYS INC PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	VICI	79G52	925652109	39	000	0	7	29.8879	(209.22)
	09/14/2021	09/16/2021		Purchase ETON CORP PLC PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	ETN	26GD6	G29103103	13	000	0	1	156.589	(156.59)
	09/14/2021	09/16/2021		Purchase CHEVRON CORP PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	CVX	144X5	166764100	7	000	0	2	96.755	(193.51)
	09/14/2021	09/16/2021		Purchase CROWN CASTLE REIT INC SHS PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	CCI	106B1	22822V101	9	000	0	1	190.075	(190.08)
	09/15/2021	09/15/2021		Withdrawal CK G				31	6MF				(28.15)
	09/15/2021	09/15/2021		Withdrawal CK G				30	6MF				(275.54)
	09/15/2021	09/15/2021		Dividend REALTY INCM GRP MD PYS1 REIT HOLDING 66.0000 PAY DATE 09/15/2021	O	630R5	756109104	3	000			15.54	
	09/15/2021	09/15/2021		Dividend MCDONALDS CORP CON HOLDING 7.0000 PAY DATE 09/15/2021	MCD	47649	580135101	2	000			9.03	
	09/15/2021	09/15/2021		Dividend CSX CORP HOLDING 72.0000 PAY DATE 09/15/2021	CSX	12568	126408103	1	000			6.72	

	09/14/2021	09/15/2021		Purchase DELAWARE CORPORATE BOND FD CL INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	DGCIX	9KTM0	245908751	20	000	0	19	6.50	(123.50)
	09/14/2021	09/15/2021		Purchase CCM COMMUNITY IMPACT BOND FUND CL INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	CRANK	9MMU1	20368N202	21	000	0	19	10.70	(203.30)
	09/14/2021	09/15/2021		Purchase JP MORGAN STRATEGIC INCOME OPP FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	J50SX	9PP26	401244351	23	000	0	103	11.53	(1,187.59)
	09/14/2021	09/15/2021		Purchase BROLYN ADV SUSTAINABLE GROWTH FD CL INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	BAFWX	97DZ5	115233207	26	000	0	28	49.23	(1,378.44)
	09/14/2021	09/15/2021		Purchase BLACKROCK STRATEGIC INCOME OPPRINTS PTF INST FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	BS11X	9PG49	09260B382	22	000	0	104	10.37	(1,078.48)
	09/14/2021	09/15/2021		Purchase TIAA CREF CORE IMPACT BOND FUND CL ADV FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	TSBHX	9UFE2	07245P635	25	000	0	69	10.75	(741.75)
	09/13/2021	09/15/2021		Sale CALVERT SMALL CAP FUND CL I THIS SALE CONSTITUTES A REDEMPTION, PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	CSVIX	7GE66	13161P862	18		0	(20)	36.67	733.40

DATE	DESCRIPTION	UNIT	QUANTITY	UNIT PRICE	TOTAL	TAX	NET	AMOUNT	DATE
09/13/2021	09/15/2021	CFIX	9UNV4	13162A708	24	0	(27)	31.75	857.25
09/13/2021	09/15/2021	BHP	082E4	088606108	4	0	(4)	61.233465	244.92
09/13/2021	09/15/2021	KNI	419V4	49456B101	14	0	(23)	16.179458	372.12
09/13/2021	09/15/2021	CMCSA	127J0	20030N101	5	0	4	60.06103	(240.24)
09/13/2021	09/15/2021	HPE	35HP6	42024C109	10	0	(21)	14.264928	299.55
09/13/2021	09/15/2021	O	036R5	756109104	16	0	13	68.819947	(894.66)
09/13/2021	09/15/2021	SVF	095D1	87165B103	17	0	16	48.223802	(771.58)
09/13/2021	09/15/2021	ENR	26435	291011104	8	0	(11)	100.0301	1,100.32
09/13/2021	09/15/2021	UNH	768T5	91324P102	19	0	5	414.56	(2,072.80)

	09/13/2021	09/15/2021		Purchase ENERGY CORP NEW EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ETR	253P1	29364G103	6	000	0	5	113.52352	(567.62)
	09/13/2021	09/15/2021		Purchase GENERAL ELEC CO REG SHS EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	GE	314J2	369604J01	9	000	0	5	103.988506	(519.94)
	09/13/2021	09/15/2021		Sale EATON CORP PLC EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ETN	26GD6	G2918J103	7	0	0	(10)	158.3401	1,583.39
	09/13/2021	09/15/2021		Purchase MICROSOFT CORP EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MSFT	495Z2	594918104	15	000	0	4	294.956323	(1,179.83)
	09/13/2021	09/15/2021		Sale NUVEEN ESG LARGE CAP GROWTH ETF PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NULG	39TV1	67092P201	12	0	0	(7)	68.895	680.86
	09/13/2021	09/15/2021		Sale NUVEEN ESG LARGE-CAP VALUE ETF PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NULV	39TV2	67092P300	13	0	0	(24)	38.58	659.95
	09/13/2021	09/15/2021		Sale ISHARES TRUST ISHRS ESG AWARE NSCI EAFE ETF PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ESGD	39Q08	46435G516	11	0	0	(8)	82.05	656.39
	09/13/2021	09/14/2021		Sale BROWN ADV SUSTAINABLE GROWTH FD CL INSTL THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BAFVX	97DZ5	115233207	1	0	0	(6)	48.99	293.94
	09/10/2021	09/10/2021		Withdrawal CK G SCHRODER ALTER K				8	6MF			(1,479.64)	
	09/10/2021	09/10/2021		Withdrawal CK G SCHRODER C TREE SERVICES				7	6MF			(1,738.08)	
	09/10/2021	09/10/2021		Dividend TARGET CORP COM HOLDING 7.0000 PAY DATE 09/10/2021	TGT	74DG3	87612E106	5	000			6.30	
	09/10/2021	09/10/2021		Dividend EUT LILLY & CO HOLDING 7.0000 PAY DATE 09/10/2021	LLY	44534	532457108	4	000			5.95	
	09/10/2021	09/10/2021		Dividend EMERSON ELEC CO HOLDING 50.0000 PAY DATE 09/10/2021	ENR	26435	291011104	3	000				
	09/10/2021	09/10/2021		Dividend EXXON MOBIL CORP COM HOLDING 63.0000 PAY DATE 09/10/2021	XOM	257D4	30231G102	2	000				
	09/10/2021	09/10/2021		Dividend CHEVRON CORP HOLDING 28.0000 PAY DATE 09/10/2021	CVX	144X5	166764100	1	000				

















	09/09/2021	09/09/2021	Dividend UNITED PARCEL SVC CL B HOLDING 19.0000 PAY DATE 09/09/2021	UPS	76BN1	011312106	2	000		19.38
	09/09/2021	09/09/2021	Dividend MICROSOFT CORP HOLDING 6.0000 PAY DATE 09/09/2021	MSFT	49522	594918104	1	000		3.36
	09/07/2021	09/09/2021	Sale NUVEEN ESG LARGE CAP GROWTH ETF PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NULG	39TV1	67092P201	4	0	(20)	69.8603
	09/07/2021	09/09/2021	Sale ISHARES TRUST ISHRS ESG AWAYRE MSCI EAFE ETF PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ESGD	39Q08	46435G516	3	0	(23)	82.5219
	09/08/2021	09/08/2021	Dividend ISH USD HIGH YIELD CORPORATE BOND ETF SH HOLDING 63.0000 PAY DATE 09/08/2021	USHY	39X10	46435U853	2	000		10.64
	09/08/2021	09/08/2021	Dividend AMGEN INC COM HOLDING 6.0000 PAY DATE 09/08/2021	AMGN	04491	031162100	1	000		10.56
	09/07/2021	09/08/2021	Sale BROWN ADV SUSTAINABLE GROWTH FD CL INSTL THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	BAFWX	97D25	115233207	3	0	(43)	49.73
	09/07/2021	09/07/2021	Dividend WALMART INC HOLDING 11.0000 PAY DATE 09/07/2021	WMT	80012	931142103	2	000		6.05
	09/07/2021	09/07/2021	Dividend JOHNSON AND JOHNSON COM HOLDING 19.0000 PAY DATE 09/07/2021	JNJ	40853	478160104	1	000		3.8016
	09/03/2021	09/03/2021	Withdrawal CK G 62115-07324 TERMINIX PROCESSING CENT				5	GMF		4.7220
	09/03/2021	09/03/2021	Withdrawal CK G MAREN SCHRAEDER				4	GMF		(248.00)
	09/03/2021	09/03/2021	Withdrawal CK G W. PICCOZZI, INC				6	GMF		(700.04)
	09/03/2021	09/03/2021	Foreign Tax Withholding MAGNA INTL INC CL A VTG PAY DATE 09/03/2021	MGA	40157	559222401	8	000		(3.33)
	09/03/2021	09/03/2021	Foreign Dividend MAGNA INTL INC CL A VTG HOLDING 31.0000 PAY DATE 09/03/2021	MGA	46157	559222401	7	000		13.33
	09/02/2021	09/02/2021	Advisory Program Fee INV. ADVISORY FEE SEP				2	674		(441.64)
	09/02/2021	09/02/2021	Dividend CCM COMMUNITY IMPACT BOND FUND CL INSTL PAY DATE 09/01/2021	CRANX	941NU1	20368N202	1	000		6.97
	09/01/2021	09/01/2021	Dividend TIAA CREF CORE IMPACT BOND FUND CL ADV PAY DATE 08/31/2021	TSBHX	9UFE2	07245PU35	5	000		33.43
	09/01/2021	09/01/2021	Dividend BLACKROCK STRATEGIC INCOME OPPRINTS PTF INST PAY DATE 08/31/2021	BSILX	9PG49	09260B382	4	000		
	09/01/2021	09/01/2021	Dividend DELAWARE CORPORATE BOND FD CL INSTL PAY DATE 08/31/2021	DGCLX	9KTM0	245908751	3	000		13.09
	09/01/2021	09/01/2021	Dividend TRUIST FINL CORP HOLDING 70.0000 PAY DATE 09/01/2021	TFC	734M4	89832Q109	2	000		33.60

	09/01/2021	09/01/2021	Dividend ENERGY CORP NEW HOLDING 36.0000 PAY DATE 09/01/2021	ETR	253P1	29364G103	1	000	34.20
	08/31/2021	08/31/2021	Withdrawal CK L. ADAM JOHNSON				8	8QM	(120.00)
	08/31/2021	08/31/2021	Withdrawal CK L. J.W. PICCOZZI INC.				7	8QM	(7268.09)
	08/31/2021	08/31/2021	Dividend JP MORGAN STRATEGIC INCOME OPF FUND CL I PAY DATE 08/30/2021	J50SX	9P926	4812A4351	1	000	24.32
	08/31/2021	08/31/2021	Bank Interest ML BANK DEPOSIT PROGRAM FROM 07/30 THRU 08/30		94SK9	99U2B6916	3	AMF	0.28
	08/27/2021	08/27/2021	Foreign Dividend EATON CORP PLC HOLDING 34.0000 PAY DATE 08/27/2021	ETN	26GD6	G29183103	2	000	25.84
	08/27/2021	08/27/2021	Dividend CITIGROUP INC COM NEW HOLDING 65.0000 PAY DATE 08/27/2021	C	161N2	172967A24	1	000	33.15
	08/25/2021	08/25/2021	Withdrawal CK L. JULIA RODRIGUEZ				7	8QM	(300.00)
	08/25/2021	08/25/2021	Withdrawal CK L. WAREN SCHRAEDER				5	8QM	(725.00)
	08/25/2021	08/25/2021	Withdrawal CK L. WALTER KURT SCHRAEDER				4	8QM	(1250.00)
	08/24/2021	08/24/2021	Foreign Tax Withholding ROYAL BANK CANADA PVS1 PAY DATE 08/24/2021	RY	65852	780087102	2	000	(6.85)
	08/24/2021	08/24/2021	Foreign Dividend ROYAL BANK CANADA PVS1 HOLDING 32.0000 PAY DATE 08/24/2021	RY	65852	780087102	1	000	27.38
	08/17/2021	08/17/2021	Withdrawal CK G. 00737-07632 ENTICING PLUMBING				4	737	(4,035.00)
	08/16/2021	08/16/2021	Dividend PROCTER & GAMBLE CO HOLDING 11.0000 PAY DATE 08/16/2021	PG	62726	742718109	2	000	9.57
	08/16/2021	08/16/2021	Dividend KINDER MORGAN INC. DEL HOLDING 431.0000 PAY DATE 08/16/2021	KMI	419Y4	49456B101	1	000	116.37
	08/13/2021	08/13/2021	Dividend REALTY INCM GRP MD PVS1. RETT HOLDING 66.0000 PAY DATE 08/13/2021	O	636R5	756109104	1	000	15.54
	08/12/2021	08/12/2021	Dividend SYNCHRONY FINL COM HOLDING 64.0000 PAY DATE 08/12/2021	SYF	69SD1	87165B103	1	000	
	08/09/2021	08/09/2021	Dividend TEXAS INSTRUMENTS HOLDING 21.0000 PAY DATE 08/09/2021	TXN	73841	082508104	3	000	
	08/09/2021	08/09/2021	Dividend DEERE CO HOLDING 11.0000 PAY DATE 08/09/2021	DE	23029	244199105	2	000	
	08/09/2021	08/09/2021	Dividend AIR PRODUCTS&CHEM HOLDING 5.0000 PAY DATE 08/09/2021	APD	00661	009158106	1	000	7.50

	08/06/2021	08/06/2021	Dividend ISH USD HIGH YIELD CORPORATE BOND ETF SH HOLDING 63.0000 PAY DATE 08/06/2021	USHY	39X10	464350853	1	000			10.86
	08/04/2021	08/04/2021	Cash In Lieu of Shares GENERAL ELEC CO REG SHS FORM 1099-8 SUBSTITUTE PAY DATE 08/04/2021	GE	31412	369604301	2				89.34
	08/03/2021	08/03/2021	Advisory Program Fee INV. ADVISORY FEE AUG				2	674			744.50
	08/03/2021	08/03/2021	Dividend CCH COMMUNITY IMPACT BOND FUND CL INSTL PAY DATE 08/02/2021	CRANX	9MMU1	2036BN202	1	000			6.62
	08/02/2021	08/02/2021	Exchange GENERAL ELECTRIC PAY DATE 08/02/2021		31607	369604103	10	000	(263)		0.00
	08/02/2021	08/02/2021	Exchange GENERAL ELEC CO REG SHS PAY DATE 08/02/2021	GE	31412	369604301	9	000	32		0.00
	08/02/2021	08/02/2021	Dividend TIAA CREF CORE IMPACT BOND FUND CL ADV PAY DATE 07/30/2021	TSBHX	9UFE2	87245P635	6	000			35.77
	08/02/2021	08/02/2021	Dividend BLACKROCK STRATEGIC INCOME OPPRNTS PTF INST PAY DATE 07/30/2021	BSLIX	9PG49	092608382	5	000			84.55
	08/02/2021	08/02/2021	Dividend DELAWARE CORPORATE BOND FD CL INSTL PAY DATE 07/30/2021	DGCTX	9KTH0	245908751	4	000			12.76
	08/02/2021	08/02/2021	Dividend VERIZON COMMUNICATIONS COM HOLDING 63.0000 PAY DATE 08/02/2021	VZ	79B06	92343V104	3	000			39.55
	08/02/2021	08/02/2021	Dividend JPMORGAN CHASE & CO HOLDING 30.0000 PAY DATE 07/31/2021	JPM	40555	46625H100	2	000			27.00
	08/02/2021	08/02/2021	Dividend BRISTOL-MYERS SQUIBB CO HOLDING 45.0000 PAY DATE 08/02/2021	BNY	11011	110122108	1	000			22.05
	07/30/2021	08/02/2021	Sale BROWN ADV SUSTAINABLE GROWTH FD CL INSTL THIS SALE CONSTITUTES A REDEMPTION, PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	BAFVX	97DZ5	115233207	7		(90)	47.36	4,262.40
	07/30/2021	07/30/2021	Dividend JP MORGAN STRATEGIC INCOME OPF FUND CL 1 PAY DATE 07/29/2021	J5OSX	9PP26	4012A4351	1	000			24.41
	07/30/2021	07/30/2021	Bank Interest NL BANK DEPOSIT PROGRAM FROM 06/30 THRU 07/29		94SK9	990286916	3	AMF			0.24
	07/29/2021	07/29/2021	Withdrawal CK L PSEG LONG ISLAND				3	8QM			899.69
	07/29/2021	07/29/2021	Withdrawal CK L PSEG LONG ISLAND				2	8QM			
	07/28/2021	07/28/2021	Dividend COMCAST CORP NEW CL A HOLDING 28.0000 PAY DATE 07/28/2021	CMCSA	12710	20030N101	1	000			
	07/26/2021	07/26/2021	Dividend GENERAL ELECTRIC HOLDING 263.0000 PAY DATE 07/26/2021	GE	31607	369604103	1	000			2.63
	07/22/2021	07/22/2021	Withdrawal CK L OPTIMUM				13	8QM			(542.25)














	07/22/2021	07/22/2021	Transfer / Adjustment STOP PAYMENT OMCA CHECK 832180533 ISSD 07/01/21		12	626						499.10
	07/20/2021	07/22/2021	Purchase CALVERT SMALL CAP FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS. NL ACTED AS AGENT.	CSVIX	76E66	13161P862	6	000	0	27	35.68	(963.36)
	07/20/2021	07/22/2021	Purchase CALVERT US LRG CAP VALUE RESPONSIBLE INDX FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS. NL ACTED AS AGENT.	CFJIX	9UAV4	13162A708	8	000	0	36	30.88	(1,111.68)
	07/20/2021	07/22/2021	Purchase NUVEEN ESG LARGE-CAP VALUE ETF PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NULV	39TV2	67092P300	4	000	0	17	37.7586	(764.597)
	07/20/2021	07/22/2021	Purchase ISHARES JNC ISHARES ESG AWARE MSCI EM ETF EXECUTED 100% AGENCY PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ESGE	39Q07	46434G863	2	000	0	23	43.1199	(499.7099)
	07/20/2021	07/22/2021	Purchase ISHARES TRUST ISHRS ESG AWARE MSCI EAFE ETF EXECUTED 100% AGENCY PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ESGD	39Q08	46435G516	3	000	0	27	77.4099	(2,090.077)
	07/20/2021	07/22/2021	Purchase UNITED PARCEL SVC CL B EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	UPS	76BN1	911312106	7	000	0	1	213.119064	(2,224.2)
	07/20/2021	07/22/2021	Purchase KINDER MORGAN INC. DEL PER ADVISORY AGREEMENT. NL ACTED AS AGENT	KMI	419Y4	49456B101	5	000	0	21	17.4899	(367.29)
	07/20/2021	07/22/2021	Purchase BHP GROUP LTD PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BHP	08ZE4	088606108	1	000	0	4	73.815	(295.26)

	07/20/2021	07/21/2021		Purchase BLACKROCK STRATEGIC INCOME OPPORTINIS PTF INST FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	BSIX	9PG49	092608382	1	000	0	51	10.33	(526.83)
	07/20/2021	07/21/2021		Purchase JP MORGAN STRATEGIC INCOME OPF FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS ML ACTED AS AGENT.	JSOSX	9PP26	401244351	2	000	0	18	11.53	(207.54)
	07/20/2021	07/20/2021		Advisory Program Fee IAP FEE ADJUSTMENT JUL				1	674				(1.29)
	07/16/2021	07/16/2021		Foreign Tax Withholding NUTRIEN LTD REG SHS PAY DATE 07/16/2021	NTR	53JB2	67077M108	4	000				
	07/16/2021	07/16/2021		Foreign Dividend NUTRIEN LTD REG SHS HOLDING 60.0000 PAY DATE 07/16/2021	NTR	53JB2	67077M108	3	000				27.60
	07/16/2021	07/16/2021		Foreign Dividend MEDTRONIC PLC SHS HOLDING 42.0000 PAY DATE 07/16/2021	MDT	46W70	G5960L103	2	000				15.54
	07/15/2021	07/15/2021		Dividend REALTY INCM CRP MD PVS1. REIT HOLDING 66.0000 PAY DATE 07/15/2021	U	636R5	756109104	2	000				32.13
	07/15/2021	07/15/2021		Dividend FIFTH THIRD BANCORP HOLDING 119.0000 PAY DATE 07/15/2021	FTB	28641	316773100	1	000				(1,203.25)
	07/14/2021	07/14/2021		Withdrawal CK L [REDACTED] WALTER K. SCHRAEDER				4	8QM				(150.00)
	07/14/2021	07/14/2021		Withdrawal CK L [REDACTED] DAM JOHNSON				5	8QM				(300.00)
	07/13/2021	07/13/2021		Withdrawal CK L [REDACTED] WALTER A. SCHRAEDER				2	8QM				47.52
	07/08/2021	07/08/2021		Dividend VICI PTYS INC HOLDING 144.0000 PAY DATE 07/08/2021	VIC1	79G52	925652109	2	000				35.51
	07/08/2021	07/08/2021		Dividend ISH USD HIGH YIELD CORPORATE BOND ETF SH HOLDING 63.0000 PAY DATE 07/08/2021	USNY	39K10	464350853	1	000				33.26
	07/07/2021	07/07/2021		Foreign Dividend SEAGATE TECH PLC SHS HOLDING 53.0000 PAY DATE 07/07/2021	HPE	689F2	G7945M107	2	000				(630.61)
	07/07/2021	07/07/2021		Dividend HEWLETT PACKARD ENTERPRISE CO HOLDING 277.0000 PAY DATE 07/07/2021		35HP6	42824C109	1	000				
	07/06/2021	07/06/2021		Advisory Program Fee INV. ADVISORY FEE JUL				2	674				

	07/01/2021	07/06/2021		Purchase SYNCHRONY FINL COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	SYF	695D1	87165B103	1	000	0	4	49.088527	(196.35)
	07/02/2021	07/02/2021		Dividend CCH COMMUNITY IMPACT BOND FUND CL INSTL PAY DATE 07/01/2021	CRANX	9RMMU1	20368NZ02	1	000				6.65
	06/30/2021	07/02/2021		Purchase SYNCHRONY FINL COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	SYF	605D1	87165B103	2	000	0	3	48.408137	(145.22)
	07/01/2021	07/01/2021		Withdrawal CK J E OPTIMUM				10	8QM				(489.10)
	07/01/2021	07/01/2021		Dividend TIAA CREF CORE IMPACT BOND FUND CL ADV PAY DATE 06/30/2021	TSBHX	9UFE2	87245P635	5	000				34.60
	07/01/2021	07/01/2021		Dividend BLACKROCK STRATEGIC INCOME OPPRWTS PTF INST PAY DATE 06/30/2021	BSILX	9PG49	09260B302	4	000				94.89
	07/01/2021	07/01/2021		Dividend DELAWARE CORPORATE BOND FD CL INSTL PAY DATE 06/30/2021	DGCLX	9KTM0	245908751	3	000				12.80
	07/01/2021	07/01/2021		Stock Dividend Due Bill CSX CORP	CSX	12568	12C408103	2		(48)			0.00
	07/01/2021	07/01/2021		Dividend CSX CORP HOLDING 24.0000 PAY DATE 06/28/2021	CSX	12568	12C408103	1	000	48			0.00
	06/29/2021	07/01/2021		Purchase SYNCHRONY FINL COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	SYF	695D1	87165B103	4	000	0	12	48.117597	(457.41)
	06/30/2021	06/30/2021		Dividend JP MORGAN STRATEGIC INCOME OPPR FUND CL 1 PAY DATE 06/29/2021	JSOSX	9PP26	4812A351	4	000				31.07
	06/30/2021	06/30/2021		Dividend PEPSICO INC HOLDING 17.0000 PAY DATE 06/30/2021	PEP	60739	713448108	3	000				18.28
	06/30/2021	06/30/2021		Dividend CROWN CASTLE RETT INC SHS HOLDING 16.0000 PAY DATE 06/30/2021	CCI	166B1	22822V101	2	000				21.28
	06/30/2021	06/30/2021		Dividend BROADCOM INC HOLDING 11.0000 PAY DATE 06/30/2021	AVGO	070U8	11135F101	1	000				39.60
	06/28/2021	06/30/2021		Purchase SYNCHRONY FINL COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	SYF	695D1	87165B103	5	000	0	14	48.64758	(681.07)
737-03041	06/30/2021	06/30/2021		Bank Interest NL BANK DEPOSIT PROGRAM FRUIT 05/28 THRU 06/29		945K9	990286916	7	AMF				0.25

DATE	DESCRIPTION	SYMBOL	QUANTITY	UNIT PRICE	TOTAL	ADDITIONAL INFO
06/29/2021	Dividend UNITEDHEALTH GROUP INC HOLDING 4,0000 PAY DATE 06/29/2021	UNH	2	91324P102	000	5.80
06/29/2021	Stock Dividend Due Bill CSX CORP	CSX	1	12566	126408103	0.00
06/29/2021	Purchase SYNCHRONY FINL COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	SYF	3	69501	871658103	448.09
06/24/2021	Purchase SYNCHRONY FINL COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	SYF	1	69501	871658103	48.693016
06/23/2021	Sale FIFTH THIRD BANCORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	FTB	1	28641	316773100	266.81
06/25/2021	Purchase SYNCHRONY FINL COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	SYF	2	69501	871658103	48.270244
06/24/2021	Purchase SYNCHRONY FINL COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	SYF	4	69501	871658103	47.906520
06/22/2021	Sale FIFTH THIRD BANCORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	FTB	2	28641	316773100	37.934287
06/24/2021	Purchase BROADCOM INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	AVGO	1	07008	11135F101	465.230256
06/22/2021	Purchase UNITEDHEALTH GROUP INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	UNH	5	76815	91324P102	399.13012









	06/22/2021	06/24/2021	SEAGATE TECH HLDS PUB LTD CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	STX	667D2	G7907R103	3		0	(2)	84.218007	168.43
	06/23/2021	06/23/2021	Dividend BLACKROCK INC HOLDING 7.0000 PAY DATE 06/23/2021	BLK	080L2	09247X101	1	000				28.91
	06/21/2021	06/23/2021	Purchase UNITEDHEALTH GROUP INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	UNH	768T5	91324P102	21	000	0	3	397.881202	(1,193.64)
	06/21/2021	06/23/2021	Sale FIFTH THIRD BANCORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	FTTB	28641	316773100	9	0		(10)	37.707724	377.07
	06/21/2021	06/23/2021	Purchase SYNCHRONY FINL COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	SYF	695D1	87165B103	19	000	0	3	47.830755	(143.49)
	06/21/2021	06/23/2021	Sale SEAGATE TECH HLDS PUB LTD CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	STX	667D2	67997R103	16	0		(1)	85.75633	85.75
	06/21/2021	06/23/2021	Sale CITIGROUP INC COM NEW EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	C	161N2	172967424	5	0		(16)	68.471736	4,095.54
	06/21/2021	06/23/2021	Sale JPMORGAN CHASE & CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	JPM	405S5	46625H100	10	0		(9)	150.068692	3,350.61
	06/21/2021	06/23/2021	Purchase MEDTRONIC PLC SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MDT	46WTO	G5960L103	13	000	0	2	125.469424	1250.397

	06/21/2021	06/23/2021		Sale BRISTOL-MYERS SQUIBB CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BNY	11011	110122108	3	0		(4)	66,787.19	287.14
	06/21/2021	06/23/2021		Sale ELL LILLY & CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LLY	44534	532457108	12	0		(1)	222,982024	221.97
	06/21/2021	06/23/2021		Sale BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BHP	082E4	088606108	2	0		(4)	70,482467	281.92
	06/21/2021	06/23/2021		Sale CHEVRON CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CVX	144X5	166764100	4	0		(3)	105,770102	317.30
	06/21/2021	06/23/2021		Sale EATON CORP PLC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ETN	26GD6	G29163103	8	0		(1)	142.49	142.48
	06/21/2021	06/23/2021		Sale MAGNA INTL INC CL A VTG PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MGA	4G157	559222401	14	0		(1)	92.08	92.07
	06/21/2021	06/23/2021		Sale TRUIST FINL CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TFC	734M4	89832Q109	20	0		(3)	53.995	161.98
	06/21/2021	06/23/2021		Sale VERIZON COMMUNICATIONS COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VZ	79B06	92343V104	22	0		(2)	56.395	
	06/21/2021	06/23/2021		Sale ENTERGY CORP NEW PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ETR	253P1	29364G103	7	0		(1)	104,745	104.74
	06/21/2021	06/23/2021		Sale ROYAL BANK CANADA PVS1 PER ADVISORY AGREEMENT. ML ACTED AS AGENT	RY	65852	780087102	17	0		(1)	102,335	
	06/21/2021	06/23/2021		Sale WALMART INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	WMT	B0012	931142103	23	0		(1)	136.475	136.47
	06/21/2021	06/23/2021		Sale PROCTER & GAMBLE CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PG	62726	742718109	15	0		(1)	132.77	132.76
	06/21/2021	06/23/2021		Sale REALTY INCM CRP MD PVS1, REIT PER ADVISORY AGREEMENT. ML ACTED AS AGENT	O	636R5	756109104	16	0		(2)	68.035	136.06

	06/21/2021	06/23/2021		Sale CROWN CASTLE REIT INC SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	CCI	166B1	22822V101	6	0	(1)	196.53159	196.5
	06/21/2021	06/23/2021		Sale KINDER MORGAN INC. DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	KMI	419Y4	49456B101	11	0	(5)	18.188851	90.9
	06/17/2021	06/21/2021		Purchase VICI PTYS INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	VICI	79G52	925652109	1	000	6	32.500206	(195.00
	06/18/2021	06/18/2021		Purchase BHP GROUP LTD TRADE AS OF 06/16/21 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BHP	082E4	08B406108	4	000	5	74.395851	(371.98
	06/16/2021	06/18/2021		Purchase VICI PTYS INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	VICI	79G52	925652109	1	000	5	32.757642	(163.75
	06/17/2021	06/17/2021		Purchase BHP GROUP LTD TRADE AS OF 06/15/21 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BHP	082E4	08B406108	4	000	5	74.425889	(372.11
	06/17/2021	06/17/2021		Dividend HOME DEPOT INC HOLDING 15.0000 PAY DATE 06/17/2021	HD	36780	437076102	1	000			
	06/15/2021	06/17/2021		Purchase VICI PTYS INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	VICI	79G52	925652109	2	000	4	32.761118	(131.00
	06/16/2021	06/16/2021		Dividend ISHARES TRUST ISHRS ESG AWARE MSCI EAFE ETF HOLDING 562.0000 PAY DATE 06/16/2021	ESGD	39Q08	46435G516	2	000			
	06/16/2021	06/16/2021		Dividend ISHARES INC ISHARES ESG AWARE MSCI EN ETF HOLDING 460.0000 PAY DATE 06/16/2021	ESGE	39Q07	46434G863	1	000			
	06/14/2021	06/16/2021		Sale CALVERT US LRG CAP VALUE RESPONSIBLE INDX FD CL I THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	CFJIX	90AV4	13162A708	26	0	(29)	31.43	911.4

	06/14/2021	06/16/2021		Sale CALVERT SMALL CAP FUND CL I THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CSVX	7GE66	13161P862	22	0	(26)	36.73	954.98
	06/14/2021	06/16/2021		Purchase BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BHP	082E4	088606108	5	000	5	75.499847	(377.50)
	06/14/2021	06/16/2021		Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NTR	53JB2	67077M108	21	000	1	64.230301	(64.23)
	06/14/2021	06/16/2021		Purchase VICI PRYS INC EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VICI	79G52	925652109	25	000	2	32.79212	(65.58)
	06/14/2021	06/16/2021		Sale AIR PRODUCTS&CHEM EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	APD	00661	009158106	3	0	(2)	298.897073	597.78
	06/14/2021	06/16/2021		Sale JPMORGAN CHASE & CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	JPM	40555	46625H100	15	0	(3)	159.08	479.63
	06/14/2021	06/16/2021		Sale BROADCOM INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AVGO	070U8	11135F101	4	0	(1)	489.29	469.28
	06/14/2021	06/16/2021		Sale GENERAL ELECTRIC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	GE	31607	369604103	10	0	(23)	13.637	313.64
	06/14/2021	06/16/2021		Sale HEYLETT PACKARD ENTERPRISE CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	HPE	35HP6	42824C109	11	0	(14)	15.995	428.72
	06/14/2021	06/16/2021		Sale EXXON MOBIL CORP COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	XOM	257D4	30231G102	7	0	(3)	62.7205	188.15
	06/14/2021	06/16/2021		Sale FIFTH THIRD BANCORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	FITB	2B041	316773100	9	0	(5)	40.305	201.52
	06/14/2021	06/16/2021		Sale MAGNA INTL INC CL A VTG PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MGA	46157	559222401	19	0	(2)	96.015	193.62
	06/14/2021	06/16/2021		Sale KINDER MORGAN INC DEL PER ADVISORY AGREEMENT. ML ACTED AS AGENT	KMI	419Y4	49456B101	16	0	(12)	19.027	228.31
	06/14/2021	06/16/2021		Sale NUVEEN ESG LARGE-CAP VALUE ETF PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NULV	39TV2	67092P300	14	0	(25)	38.078	951.94

	06/14/2021	06/16/2021	📄	Sale NINEEN ESG LARGE CAP GROWTH ETF PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NULG	39TV1	67092P201	13	0	(18)	62.32	1,121.75
	06/14/2021	06/16/2021	📄	Sale EMERSON ELEC CO PER ADVISORY AGREEMENT. NL ACTED AS AGENT	EMR	26435	291011104	8	0	(2)	96.8587	193.71
	06/14/2021	06/16/2021	📄	Sale ISHARES TRUST ISHRS ESG AWARE MSCI EAFE ETF PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ESGD	39Q08	46335G516	12	0	(16)	81.18	1,298.87
	06/14/2021	06/16/2021	📄	Sale MEDTRONIC PLC SHS PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MDT	46W10	65960L103	18	0	(2)	123.265	246.52
	06/14/2021	06/16/2021	📄	Sale CITIGROUP INC COM NEW PER ADVISORY AGREEMENT. NL ACTED AS AGENT	C	161N2	122967424	6	0	(3)	76.255	228.76
	06/14/2021	06/16/2021	📄	Sale TARGET CORP COM PER ADVISORY AGREEMENT. NL ACTED AS AGENT	TGT	740G3	87612E106	23	0	(1)	232.0949	232.08
	06/14/2021	06/16/2021	📄	Sale UNITED PARCEL SVL CL B PER ADVISORY AGREEMENT. NL ACTED AS AGENT	UPS	76BN1	911312106	24	0	(1)	201.8106	201.80
	06/14/2021	06/16/2021	📄	Sale ELI LILLY & CO PER ADVISORY AGREEMENT. NL ACTED AS AGENT	LLY	44534	532457108	17	0	(1)	225.2407	225.23
	06/14/2021	06/16/2021	📄	Sale MICROSOFT CORP PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MSFT	49522	594918104	20	0	(1)	258.2301	258.22
	06/15/2021	06/15/2021	📄	Withdrawal CK J. [REDACTED] J.W. PICCOZZI, INC				10	8QM			(157.51)
	06/15/2021	06/15/2021	📄	Withdrawal CK J. [REDACTED] TERMINITY PROCESSING CENT				11	8QM			(172.71)
	06/15/2021	06/15/2021	📄	Dividend REALTY INCM CAP MD PVS1. REIT HOLDING \$9.0000 PAY DATE 06/15/2021	O	636R5	756109104	3	000		13.87	
	06/15/2021	06/15/2021	📄	Dividend MCDONALDS CORP COM HOLDING 7.0000 PAY DATE 06/15/2021	MCD	47649	580135101	2	000		9.03	
	06/15/2021	06/15/2021	📄	Dividend CSX CORP HOLDING 37.0000 PAY DATE 06/15/2021	CSX	12560	126408103	1	000		10.36	
	06/14/2021	06/15/2021	📄	Sale BLACKROCK STRATEGIC INCOME OPPRNTS PTF INST THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	BSIX	9PG49	092608382	7	0	(24)	10.41	249.84
	06/14/2021	06/15/2021	📄	Sale BROWN ADV SUSTAINABLE GROWTH FD CL INSTL THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	BATWX	97D25	115233207	9	0	(60)	42.69	2,561.49
	06/14/2021	06/15/2021	📄	Sale JP MORGAN STRATEGIC INCOME OPP FUND CL I THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. NL ACTED AS AGENT.	JSOSX	9PP26	4812A4351	8	0	(20)	11.54	230.80

	06/11/2021	06/15/2021		Sale AIR PRODUCTS&CHEM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	APD	00661	009158106	4	0	(1)	300.227205	300.22
	06/11/2021	06/15/2021		Purchase BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BHP	002E4	008606108	5	000	3	75.764603	(227.29)
	06/11/2021	06/15/2021		Purchase VICI PRYS INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	VICI	79G52	925652109	6	000	3	32.706245	(98.12)
	06/10/2021	06/14/2021		Purchase BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BHP	002E4	008606108	2	000	2	75.041599	(150.00)
	06/10/2021	06/14/2021		Sale AIR PRODUCTS&CHEM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	APD	00661	009158106	1	0	(1)	299.186237	299.18
	06/10/2021	06/14/2021		Purchase VICI PRYS INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	VICI	79G52	925652109	7	000	2	32.712954	(65.43)
	06/10/2021	06/14/2021		Purchase NUTRIEN LTD REG SMS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	53JB2	67077M108	5	000	3	64.695914	(194.09)
	06/10/2021	06/14/2021		Purchase EXXON MOBIL CORP COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	XOM	257D4	30231G102	4	000	21	63.116559	(157.25)
	06/10/2021	06/14/2021		Purchase REALTY INCM CRP MD PVS1 REIT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	O	63BR5	756109104	6	000	1	70.904121	(70.90)

Date	Description	Account	Amount	Currency	Balance
06/10/2021	Sale CSX CORP EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	CSX	12568	USD	(3)
06/11/2021	Withdrawal CK J [REDACTED] W. KURT SCHRAEDER	BQM	16	USD	(5,447.00)
06/11/2021	Withdrawal CK J [REDACTED] W. KURT SCHRAEDER	BQM	15	USD	(9,532.00)
06/09/2021	Purchase BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BHP	082E4	USD	2
06/09/2021	Purchase REALTY INCM GRP MID PV/SI. REIT EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	O	63GR5	USD	4
06/09/2021	Sale AIR PRODUCTS/CHEM EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	APD	00G61	USD	(1)
06/09/2021	Sale CSX CORP EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	CSX	12568	USD	(3)
06/09/2021	Purchase EXXON MOBIL CORP COM EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	XOM	257D4	USD	23
06/09/2021	Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	53JB2	USD	2
06/09/2021	Purchase VICI PTYS INC EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	VICI	79G52	USD	7

Date	Entity	Symbol	Value	Count	Price	Total Value
06/09/2021	Sale BRISTOL-MYERS SQUIBB CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BMY	11011	3	(12)	64,864,953
06/09/2021	Purchase UNITEDHEALTH GROUP INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	UNH	76BTS	10	1	404,043,814
06/09/2021	Sale CROWN CASTLE RET INC SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CCI	160B1	5	(2)	196,071,649
06/09/2021	Sale KINDER MORGAN INC. DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	KMI	419Y4	7	(4)	18,813,066
06/10/2021	Dividend TARGET CORP COM HOLDING 8,0000 PAY DATE 06/10/2021	TGT	74DG3	5	000	5,44
06/10/2021	Dividend MICROSOFT CORP HOLDING 7,0000 PAY DATE 06/10/2021	MSFT	495Z2	4	000	3,92
06/10/2021	Dividend EJL LILLY & CO HOLDING 9,0000 PAY DATE 06/10/2021	LTY	44534	3	000	7,65
06/10/2021	Dividend EMERSON ELEC CO HOLDING 56,0000 PAY DATE 06/10/2021	ENR	26435	2	000	28,28
06/10/2021	Dividend CHEVRON CORP HOLDING 34,0000 PAY DATE 06/10/2021	CVX	144N5	1	000	45,56
06/08/2021	Purchase VICI PPT'S INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VICI	79G52	23	0	33,020,774
06/08/2021	Sale BRISTOL-MYERS SQUIBB CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BMY	11U11	9	(9)	63,731,979
06/08/2021	Purchase UNITEDHEALTH GROUP INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	UNH	76BTS	22	0	400,870,247

06/08/2021	06/10/2021		Sale KINDER MORGAN INC. DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	KMI	419Y4	494568101	17	0	(34)	18,748652	537.44
06/08/2021	06/10/2021		Sale AIR PRODUCTS/ACHEN EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	APD	00661	009158106	6	0	(1)	299,668761	299.66
06/08/2021	06/10/2021		Sale CSX CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CSX	12568	126408103	10	0	(7)	98,153007	687.06
06/08/2021	06/10/2021		Sale CROWN CASTLE REIT INC. SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CCI	16081	22822V101	12	0	(2)	197,114538	394.22
06/08/2021	06/10/2021		Purchase BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BHP	082E4	088606108	8	0	1	75,926724	75.93
06/08/2021	06/10/2021		Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NTR	531B2	67077M108	18	0	3	64,584269	193.75
06/08/2021	06/10/2021		Purchase REALTY INCN GRP MD PVS1, REIT EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	O	636R5	756109104	20	0	4	70,64056	282.56
06/08/2021	06/10/2021		Purchase EXXON MOBIL CORP COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	XOM	257D4	30231G102	14	0	22	62,02776	1,364.61
06/08/2021	06/10/2021		Sale COMCAST CORP NEW CL A EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CMCSA	127J0	20030N101	11	0	(16)	56,452816	903.24

	06/08/2021	06/10/2021	📄	Purchase DEERE CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DE	23029	244199105	13	000	0	1	356,1423	(356.14)
	06/08/2021	06/10/2021	📄	Sale JOHNSON AND JOHNSON COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	JNI	40853	478160104	16	0	0	(8)	163,347424	1,306.77
	06/08/2021	06/10/2021	📄	Sale AT&T INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	T	02337	00206R102	7	0	0	(57)	28,828465	1,643.21
	06/08/2021	06/10/2021	📄	Sale PEPSCO INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PEP	60739	713448108	19	0	0	(6)	146,515281	879.08
	06/08/2021	06/10/2021	📄	Sale UNITED PARCEL SVC CL B EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	UPS	768N1	91112106	21	0	0	(2)	210,536365	421.06
	06/08/2021	06/10/2021	📄	Sale FIFTH THIRD BANCORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	FTB	20641	316773100	15	0	0	(4)	41,283272	165.12
	06/09/2021	06/09/2021	📄	Dividend UNITED PARCEL SVC CL B HOLDING 23,0000 PAY DATE 06/09/2021	UPS	768N1	91112106	1	000				23.46
	06/08/2021	06/08/2021	📄	Withdrawal CK J JOHNSON				4	BQH				(150.00)
	06/08/2021	06/08/2021	📄	Dividend JOHNSON AND JOHNSON COM HOLDING 27,0000 PAY DATE 06/08/2021	JNI	40853	478160104	2	000				28.62
	06/08/2021	06/08/2021	📄	Dividend AMGEN INC COM HOLDING 6,0000 PAY DATE 06/08/2021	AMGN	04491	031162100	1	000				10.56
	06/07/2021	06/07/2021	📄	Dividend USHD HIGH YIELD CORPORATE BOND ETF SH HOLDING 63,0000 PAY DATE 06/07/2021	USHT	39X10	46435UR53	1	000				11.09
	06/04/2021	06/04/2021	📄	Foreign Tax Withholding MAGMA INTL INC CL A VTG PAY DATE 06/04/2021	MGA	46157	559222401	2	000				(3.66)
	06/04/2021	06/04/2021	📄	Foreign Dividend MAGMA INTL INC CL A VTG HOLDING 34,0000 PAY DATE 06/04/2021	MGA	46157	559222401	1	000				14.62
	06/02/2021	06/02/2021	📄	Advisory Program Fee INV, ADVISORY FEE JUN				2	674				(445.20)

	06/02/2021	06/02/2021	Dividend CCM COMMUNITY IMPACT BOND FUND CL INSTL PAY DATE 06/01/2021	CRANX	9MNU1	20368N202	1	000		6.91
	06/01/2021	06/01/2021	Dividend TAA CREF CORE IMPACT BOND FUND CL ADV PAY DATE 05/28/2021	TSBHX	9UFE2	87245P635	6	000		34.16
	06/01/2021	06/01/2021	Dividend BLACKROCK STRATEGIC INCOME OPPORTNITS PTF INST PAY DATE 05/28/2021	BSIIX	9PG49	09260B382	5	000		91.13
	06/01/2021	06/01/2021	Dividend DELAWARE CORPORATE BOND FD CL INSTL PAY DATE 05/28/2021	DGCIK	9KTM0	2459UB751	4	000		12.60
	06/01/2021	06/01/2021	Dividend WALMART INC HOLDING 12.0000 PAY DATE 06/01/2021	WMT	80012	931142103	3	000		6.60
	06/01/2021	06/01/2021	Dividend TRUIST FINL CORP HOLDING 78.0000 PAY DATE 06/01/2021	TFC	734M4	89832Q109	2	000		35.10
	06/01/2021	06/01/2021	Dividend ENERGY CORP NEW HOLDING 40.0000 PAY DATE 06/01/2021	ETR	253P1	29364G103	1	000		38.00
	05/28/2021	05/28/2021	Foreign Dividend EATON CORP PLC HOLDING 38.0000 PAY DATE 05/28/2021	ETH	26GDB	G29183103	7	000		28.88
	05/28/2021	05/28/2021	Dividend JP MORGAN STRATEGIC INCOME OPP FUND CL I PAY DATE 05/27/2021	J5OSX	9PP26	4812A3351	2	000		21.73
	05/28/2021	05/28/2021	Dividend CITIGROUP INC COM NEW HOLDING 89.0000 PAY DATE 05/26/2021	C	161N2	172967424	1	000		45.39
	05/28/2021	05/28/2021	Bank Interest ML BANK DEPOSIT PROGRAM FROM 04/30 THRU 05/27		94SK9	990286910	4	AMF		0.18
	05/21/2021	05/25/2021	Sale BROADCOM INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AVGO	070UB	11135F101	2	0	(1)	454.13
	05/21/2021	05/25/2021	Sale BRISTOL-MYERS SQUIBB CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BMJ	11011	110122105	4	0	(5)	67.5201
	05/21/2021	05/25/2021	Sale NUTRIEN LTD REG SMS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NTR	531B2	67077M108	10	0	(4)	60.82
	05/21/2021	05/25/2021	Sale CHEVRON CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CVX	144X5	166764100	6	0	(3)	104.3503
	05/21/2021	05/25/2021	Sale REALTY INCM CRP MD PWSI. REIT PER ADVISORY AGREEMENT. ML ACTED AS AGENT	O	636R5	756109104	19	0	(4)	67.135
	05/21/2021	05/25/2021	Sale VERIZON COMMUNICATIONS CON EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VZ	79B06	92343V104	24	0	(5)	57.170046
	05/21/2021	05/25/2021	Sale AIR PRODUCTS&CHEM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	APD	00661	00915B106	1	0	(1)	300.0178
	05/21/2021	05/25/2021	Sale BHP GROUP LTD PER ADVISORY AGREEMENT. ML ACTED AS AGENT	UHP	082E4	080106108	3	0	(4)	74.42

	05/21/2021	05/25/2021		Sale VICI PPTYS INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VICI	79G52	925652109	25	0	(8)	31.245	249.95
	05/21/2021	05/25/2021		Sale UNITED PARCEL SVC CL B PER ADVISORY AGREEMENT. ML ACTED AS AGENT	UPS	76BN1	911312106	23	0	(2)	214.28	
	05/21/2021	05/25/2021		Sale CSX CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CSX	12568	126408103	5	0	(3)	99.2903	292.86
	05/21/2021	05/25/2021		Sale ENTERGY CORP NEW PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ETR	253P1	29364G103	9	0	(3)	106.59	319.76
	05/21/2021	05/25/2021		Sale ROYAL BANK CANADA PVS1 PER ADVISORY AGREEMENT. ML ACTED AS AGENT	RY	65052	780087102	20	0	(2)	102.28	204.55
	05/21/2021	05/25/2021		Sale TEXAS INSTRUMENTS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TXN	72041	882508104	22	0	(2)	185.31	370.61
	05/21/2021	05/25/2021		Sale TRUIST FINL CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TFC	724M4	89032Q109	21	0	(5)	60.81	304.04
	05/21/2021	05/25/2021		Sale HOME DEPOT INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	HD	36700	437076102	13	0	(1)	318.4615	318.45
	05/21/2021	05/25/2021		Sale NINEEN ESG LARGE-CAP VALUE ETF PER ADVISORY AGREEMENT. ML ACTED AS AGENT	NULV	39TV2	67092P300	15	0	(6)	38.1621	228.96
	05/21/2021	05/25/2021		Sale ISHARES TRUST ISHRS ESG AWARE MSCI EAFE ETF PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ESGD	39Q08	46435G516	14	0	(15)	80.3301	1,204.94
	05/21/2021	05/25/2021		Sale DEERE CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DE	23029	244199105	8	0	(1)	355.205	355.28
	05/21/2021	05/25/2021		Sale EMERSON ELEC CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	EMR	26435	291011104	11	0	(4)	94.12	376.47
	05/21/2021	05/25/2021		Sale FIFTH THIRD BANCORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	FTB	28041	316773100	12	0	(9)	41.88	376.91
	05/21/2021	05/25/2021		Sale JOHNSON AND JOHNSON COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	JNJ	40053	478160104	16	0	(1)	172.52	172.51
	05/21/2021	05/25/2021		Sale MEDTRONIC PLC SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MDT	46WTO	G5960L103	17	0	(3)	128.205	384.61
	05/21/2021	05/25/2021		Sale CITIGROUP INC COM NEW PER ADVISORY AGREEMENT. ML ACTED AS AGENT	C	161N2	172967424	7	0	(5)	76.445	384.72
	05/21/2021	05/25/2021		Sale EATON CORP PLC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ETN	26GDD	G29183103	10	0	(3)	144.8047	434.40
	05/21/2021	05/24/2021		Sale BROWN ADV SUSTAINABLE GROWTH FD CL INSTL THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	BAFWX	97DZ5	115233207	1	0	(8)	40.50	324.00
	05/21/2021	05/21/2021		Withdrawal CK G OPTIMUM				4	737			1365.88

	05/21/2021	05/21/2021	Foreign Tax Withholding ROYAL BANK CANADA PYS1 PAY DATE 05/21/2021	RY	65852	780087102	6	000		(7.83)
	05/21/2021	05/21/2021	Foreign Dividend ROYAL BANK CANADA PYS1 HOLDING 35.0000 PAY DATE 05/21/2021	RY	65852	780087102	5	000	31.30	
	05/20/2021	05/20/2021	Withdrawal CK J [REDACTED] ANNHARLE SEDDIO, RECEIVE				2	8QM	(9,059.67)	
	05/19/2021	05/19/2021	Exchange SEAGATE TECH PLC SHS PAY DATE 05/19/2021	STX	689F2	G7945M107	9	000	0.00	
	05/19/2021	05/19/2021	Exchange SEAGATE TECH HLDGS PUB LTD CO PAY DATE 05/19/2021	STX	667D2	G7997R103	8	000	0.00	
	05/17/2021	05/19/2021	Sale CALVERT US LRG CAP VALUE RESPONSIBLE INDX FD CL I THIS SALE CONSTITUTES A REDEMPTION, PER ADVISORY AGREEMENT, NIL ACTED AS AGENT.	CFIX	9UAV4	13162A708	7	0	31.70	1,806.90
	05/17/2021	05/19/2021	Sale KINDER MORGAN INC. DEL PER ADVISORY AGREEMENT, NIL ACTED AS AGENT	KMI	419Y4	49456B101	5	0	18.621	502.764
	05/17/2021	05/19/2021	Sale JPMORGAN CHASE & CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NIL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT, NIL ACTED AS AGENT	JPM	40555	46625H100	4	0	164.14	328.27
	05/17/2021	05/19/2021	Sale SEAGATE TECH PLC SHS PER ADVISORY AGREEMENT, NIL ACTED AS AGENT	HPE	689F2	G7945M107	6	0	104.37	626.21
	05/17/2021	05/19/2021	Sale HEVILETT PACKARD ENTERPRISE CO PER ADVISORY AGREEMENT, NIL ACTED AS AGENT	HPE	35HP6	42024C109	1	0	16.5601	-314.63
	05/17/2021	05/19/2021	Sale ISHARES TRUST ISHRS ESG AWARE MSCI EAFE ETF PER ADVISORY AGREEMENT, NIL ACTED AS AGENT	ESGD	39Q08	46435G516	2	0	79.4342	41,112.07
	05/17/2021	05/19/2021	Sale INVEEN ESG LARGE-CAP VALUE ETF PER ADVISORY AGREEMENT, NIL ACTED AS AGENT	NULV	39TV2	67094P300	3	0	30.29	1,684.75
	05/17/2021	05/17/2021	Withdrawal CK G [REDACTED] PSEG LONG ISLAND				5	737		(83.05)
	05/17/2021	05/17/2021	Withdrawal CK G [REDACTED] PSEG LONG ISLAND				6	737		-(219.30)
	05/17/2021	05/17/2021	Dividend TEXAS INSTRUMENTS HOLDING 23.0000 PAY DATE 05/17/2021	TXN	72841	882508104	3	000	23.46	
	05/17/2021	05/17/2021	Dividend PROCTER & GAMBLE CO HOLDING 12.0000 PAY DATE 05/17/2021	PG	62726	742718109	2	000	10.44	
	05/17/2021	05/17/2021	Dividend KINDER MORGAN INC. DEL HOLDING 492.0000 PAY DATE 05/17/2021	KMI	419Y4	49456B101	1	000	132.84	
	05/14/2021	05/14/2021	Withdrawal CK G [REDACTED] HORNING LNGENFELTER AND				5	8QM	(2,265.00)	










	05/14/2021	05/14/2021	Dividend REALTY INCM GRP MD PV.SI. RETT HOLDING 63.0000 PAY DATE 05/14/2021	O	636R5	756109104	1	000	14.81
	05/10/2021	05/10/2021	Dividend DEERE CO HOLDING 11.0000 PAY DATE 05/10/2021	DE	23029	244199105	2	000	9.90
	05/10/2021	05/10/2021	Dividend AIR PRODUCTIONSACHEN HOLDING 12.0000 PAY DATE 05/10/2021	APD	00661	009158106	1	000	18.00
	05/07/2021	05/07/2021	Dividend ISH USD HIGH YIELD CORPORATE BOND ETF SH HOLDING 63.0000 PAY DATE 05/07/2021	USHY	39X10	464350053	1	000	11.50
	05/06/2021	05/06/2021	Withdrawal CK G 83221-78534 ENTICING PLUMBING				5	8QM	(550.00)
	05/06/2021	05/06/2021	Withdrawal CK G 83221-78530 ADAM JOHNSON				4	8QM	(300.00)
	05/04/2021	05/04/2021	Advisory Program Fee INV. ADVISORY FEE MAY				3	674	(448.68)
	05/04/2021	05/04/2021	Dividend CCM COMMUNITY IMPACT BOND FUND CL INSTL PAY DATE 05/03/2021	CRANX	9MMU1	20368N202	1	000	-7.36
	04/30/2021	05/04/2021	Purchase MAGNA INTL INC CL A VTG EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MGA	46157	559222401	2	000	94.626762
	05/03/2021	05/03/2021	Dividend TIAA CREF CORE IMPACT BOND FUND CL ADV PAY DATE 04/30/2021	TSBHX	9UFE2	07245P635	6	000	35.64
	05/03/2021	05/03/2021	Dividend BLACKROCK STRATEGIC INCOME OPPRNTS PTF INST PAY DATE 04/30/2021	BSLIX	9PG49	09260B302	5	000	78.25
	05/03/2021	05/03/2021	Dividend DELAWARE CORPORATE BOND FD CL INSTL PAY DATE 04/30/2021	DGCLX	9KTM0	245900751	4	000	12.66
	05/03/2021	05/03/2021	Dividend VERIZON COMMUNICATIONS COM HOLDING 70.0000 PAY DATE 05/03/2021	VZ	79B06	42343V104	3	000	43.93
	05/03/2021	05/03/2021	Dividend BRISTOL-MYERS SQUIBB CO HOLDING 75.0000 PAY DATE 05/03/2021	BMY	11011	110122108	2	000	36.75
	05/03/2021	05/03/2021	Dividend AIRT INC HOLDING 57.0000 PAY DATE 05/03/2021	T	02337	00206R102	1	000	20.00
	04/29/2021	05/03/2021	Purchase MAGNA INTL INC CL A VTG EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MGA	46157	559222401	7	000	95.883109
	04/30/2021	04/30/2021	Dividend JP MORGAN STRATEGIC INCOME OPPR FUND CL I PAY DATE 04/29/2021	J505X	9PP26	4812A4351	2	000	25.42
	04/30/2021	04/30/2021	Dividend JP MORGAN CHASE & CO HOLDING 42.0000 PAY DATE 04/30/2021	JPM	40555	46625H100	1	000	37.80

	04/28/2021	04/30/2021		Purchase MAGNA INTL INC CL A VTG EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MGA	46157	559222401	3	000	0	2	99,096,718	(198.19)
	04/30/2021	04/30/2021		Bank Interest ML BANK DEPOSIT PROGRAM FROM 03/31 THRU 04/29		945K9	990286916	5	AMF			0.3K	
	04/29/2021	04/29/2021		Withdrawal CK G [REDACTED] ROGER CLARK				6	BQM			(4,560.04)	
	04/27/2021	04/29/2021		Purchase MAGNA INTL INC CL A VTG EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MGA	46157	550222401	2	000	0	2	98,682,005	(197.36)
	04/27/2021	04/29/2021		Purchase BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BHP	082E4	088606108	1	000	0	6	74,509,848	(447.06)
	04/28/2021	04/28/2021		Dividend CONCAST CORP NEW CL A HOLDING 44,0000 PAY DATE 04/28/2021	CMCSA	127J0	20030N101	1	000			11.0K	
	04/26/2021	04/28/2021		Purchase BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BHP	082E4	088606108	2	000	0	3	75,626,724	(226.88)
	04/26/2021	04/28/2021		Purchase MAGNA INTL INC CL A VTG EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MGA	46157	559222401	3	000	0	4	97,510,242	(390.04)
	04/23/2021	04/27/2021		Purchase BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BHP	082E4	008606108	1	000	0	1	74,491,539	(74.49)
	04/23/2021	04/27/2021		Purchase MAGNA INTL INC CL A VTG EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MGA	46157	559222401	2	000	0	3	97,487,677	(292.46)
	04/23/2021	04/27/2021		Sale TARGET CORP COM EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	TGT	74DG3	87612E106	3		0	(2)	205,141,818	410.27

04/26/2021	04/26/2021	Dividend GENERAL ELECTRIC HOLDING 310.0000 PAY DATE 04/26/2021	GE	31607	369604103	1	000						3.10
04/22/2021	04/26/2021	Purchase BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BHP	082E4	008606108	2	000	0	6	72.602438			(435.61)
04/22/2021	04/26/2021	Sale TARGET CORP COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	TGT	74DG3	87612E106	4	0	0	(2)	205.819945			411.63
04/22/2021	04/26/2021	Purchase MAGNA INTL INC CL A VTG EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MGA	46157	559222401	3	000	0	5	95.448293			(477.24)
04/22/2021	04/26/2021	Sale UNITED PARCEL SVC CL B EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	UPS	76BN1	911312106	5	0	0	(3)	179.563473			538.68
04/23/2021	04/23/2021	Withdrawal CK [REDACTED] J.W. PICCOZZI, INC				10	8QM						(1,904.02)
04/23/2021	04/23/2021	Withdrawal CK [REDACTED] TERMINIX PROCESSING CENT				9	8QM						(161.85)
04/21/2021	04/23/2021	Purchase BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BHP	082E4	008606100	1	000	0	3	73.257707			(219.77)
04/21/2021	04/23/2021	Sale TARGET CORP COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	TGT	74DG3	87612E106	7	0	0	(4)	207.13988			828.55
04/21/2021	04/23/2021	Purchase MAGNA INTL INC CL A VTG EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MGA	46157	559222401	5	000	0	2	95.256272			(190.51)
04/21/2021	04/23/2021	Sale UNITED PARCEL SVC CL B EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	UPS	76BN1	911312106	8	0	0	(7)	178.568926			1,239.97

	04/21/2021	04/23/2021	☐	Sale KINDER MORGAN INC. DEL. EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	KNI	419Y4	49456B101	4	0	(18)	16.651485	299.72
	04/21/2021	04/23/2021	☐	Purchase HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	HPE	35HP6	42824C109	2	000	7	15.966185	(111.76)
	04/21/2021	04/23/2021	☐	Sale PEPSICO INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	PEP	60739	71344B108	6	0	(5)	147.079312	735.39
	04/21/2021	04/23/2021	☐	Purchase JPMORGAN CHASE & CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	JPM	40555	46625H100	3	000	2	149.079296	(298.16)
	04/16/2021	04/16/2021	☐	Foreign Dividend MEDTRONIC PLC SHS HOLDING 45.0000 PAY DATE 04/16/2021	MDT	46W70	65960L103	2	000			26.10
	04/15/2021	04/15/2021	☐	Foreign Tax Withholding NUTRIEN LTD REG SHS PAY DATE 04/15/2021	NTR	53JB2	67077M108	4	000			(6.33)
	04/15/2021	04/15/2021	☐	Foreign Dividend NUTRIEN LTD REG SHS HOLDING 55.0000 PAY DATE 04/15/2021	NTR	53JB2	67077M108	3	000			25.30
	04/15/2021	04/15/2021	☐	Dividend REALTY INCM CRP MID PVS1. RETT HOLDING 63.0000 PAY DATE 04/15/2021	O	636R5	756109104	2	000			14.81
	04/15/2021	04/15/2021	☐	Dividend FIFTH THIRD BANCORP HOLDING 166.0000 PAY DATE 04/15/2021	FTB	28641	316773100	1	000			44.82
	04/08/2021	04/08/2021	☐	Dividend VICI PRPTS INC HOLDING 120.0000 PAY DATE 04/08/2021	VIC1	79G52	925652109	2	000			39.60
	04/08/2021	04/08/2021	☐	Dividend ISH USD HIGH YIELD CORPORATE BOND ETF SH HOLDING 63.0000 PAY DATE 04/08/2021	USHV	39X10	46435U853	1	000			11.34
	04/07/2021	04/07/2021	☐	Foreign Dividend SEAGATE TECH PLC SHS HOLDING 53.0000 PAY DATE 04/07/2021	STX	689F2	G7945M107	2	000			35.51
	04/07/2021	04/07/2021	☐	Dividend HEWLETT PACKARD ENTERPRISE CO HOLDING 270.0000 PAY DATE 04/07/2021	HPE	35HP6	42824C109	1	000			32.40
	04/05/2021	04/05/2021	☐	Advisory Program Fee JNY. ADVISORY FEE APR				3	674			(441.88)
	04/05/2021	04/05/2021	☐	Dividend CCM COMMUNITY IMPACT BOND FUND CL INSTL PAY DATE 04/01/2021	CRANX	9MMU1	20368N202	2	000			8.09
	04/05/2021	04/05/2021	☐	Dividend WALMART INC HOLDING 12.0000 PAY DATE 04/05/2021	WMT	80012	931142103	1	000			6.60

	04/01/2021	04/01/2021	Dividend TIAA CREF CORE IMPACT BOND FUND CL ADV PAY DATE 03/31/2021	TSBHX	9UFE2	87245P635	3	000		34.66
	04/01/2021	04/01/2021	Dividend BLACKROCK STRATEGIC INCOME OPPORTNIS PTF INST PAY DATE 03/31/2021	BSIIX	9PG49	09260B382	2	000		91.21
	04/01/2021	04/01/2021	Dividend DELAWARE CORPORATE BOND FO CL INSTL PAY DATE 03/31/2021	DGCIX	9KTM0	24590B751	1	000		13.21
	03/31/2021	03/31/2021	Dividend JP MORGAN STRATEGIC INCOME OPR FUND CL I PAY DATE 03/30/2021	J5OSX	9PP26	481244351	5	000		13.39
	03/31/2021	03/31/2021	Dividend PEPSICO INC HOLDING 22.0000 PAY DATE 03/31/2021	PEP	60739	713448108	4	000		-22.50
	03/31/2021	03/31/2021	Dividend DIGITAL RLTY TR INC HOLDING 18.0000 PAY DATE 03/31/2021	DLR	222R0	253868103	3	000		20.88
	03/31/2021	03/31/2021	Dividend CROWN CASTLE REIT INC SHS HOLDING 21.0000 PAY DATE 03/31/2021	CCI	166B1	22822V101	2	000		27.93
	03/31/2021	03/31/2021	Dividend BROADCOM LTD HOLDING 13.0000 PAY DATE 03/31/2021	AVGO	070U0	11135F101	1	000		46.80
	03/31/2021	03/31/2021	Bank Interest ML BANK DEPOSIT PROGRAM FROM 02/26 THRU 03/30	ETN	94SK9	990206916	8	AMF		0.29
	03/30/2021	03/30/2021	Foreign Dividend EATON CORP PLC HOLDING 38.0000 PAY DATE 03/30/2021	ETN	26GD6	6329103103	1	000		28.80
	03/26/2021	03/26/2021	Dividend LOCKHEED MARTIN CORP HOLDING 7.0000 PAY DATE 03/26/2021	LMT	43ZG4	539830109	1	000		18.20
	03/25/2021	03/25/2021	Dividend HOME DEPOT INC HOLDING 16.0000 PAY DATE 03/25/2021	HD	467B0	437076102	1	000		26.40
	03/23/2021	03/23/2021	Foreign Dividend BHP GROUP LTD HOLDING 38.0000 PAY DATE 03/23/2021	BHP	08ZE4	088606108	2	000		76.76
	03/23/2021	03/23/2021	Dividend BLACKROCK INC HOLDING 9.0000 PAY DATE 03/23/2021	BLK	000L2	09247X101	1	000		37.47
	03/18/2021	03/22/2021	Purchase DEERE CO EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DE	23029	244199105	1	000		386.279443
	03/17/2021	03/19/2021	Purchase DEERE CO EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DE	23029	244199105	2	000		377.860232
	03/17/2021	03/19/2021	Sale DIGITAL RLTY TR INC EXECUTED 100% AGENCY ACTUAL PRICES. RENUMERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DLR	222R0	253868103	1	0	(4)	134.680866

	03/16/2021	03/16/2021		Sale DIGITAL RLTY TR INC EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DLR	229R0	253066103	1	0	(2)	135,885087	271.76
	03/16/2021	03/16/2021		Purchase DEERE CO EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DE	230Z9	244199105	2	000	2	373,878021	(747.76)
	03/16/2021	03/16/2021		Purchase HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	HPE	35HP6	42824C109	3	000	3	15,878169	(47.63)
	03/15/2021	03/17/2021		Purchase DEERE CO EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DE	230Z9	244199105	2	000	3	370,292781	(110.89)
	03/15/2021	03/17/2021		Sale DIGITAL RLTY TR INC EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DLR	229R0	253066103	1	0	(4)	135,090029	540.35
	03/15/2021	03/17/2021		Purchase HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	HPE	35HP6	42824C109	3	000	11	15,958616	(175.54)
	03/15/2021	03/17/2021		Sale LOCKHEED MARTIN CORP EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	LMT	43ZG4	539830109	4	0	(4)	344,966174	379.85
	03/12/2021	03/16/2021		Purchase SEAGATE TECH PLC SHS EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	STX	609F2	G7945M107	19	000	4	76,685915	(306.74)
	03/12/2021	03/16/2021		Purchase DEERE CO EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	DE	230Z9	244199105	0	000	1	368,231359	(368.23)

	03/12/2021	03/16/2021		Purchase HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HDE	35HP6	42824C109	11	000	0	19	15.83117	(300.79)
	03/12/2021	03/16/2021		Sale LOCKHEED MARTIN CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	LMT	432G4	539810109	13	0	(3)	341.158022	1,023.46	
	03/12/2021	03/16/2021		Sale DIGITAL RLTY TR INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	DLR	229R0	253B68103	7	0	(8)	132.961871	1,063.68	
	03/12/2021	03/16/2021		Sale NUTRIEN LTD REG SHS PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	53JB2	67077M108	16	0	(3)	58.60	175.79	
	03/12/2021	03/16/2021		Sale REALTY INCM CRP MD PVS1. REIT PER ADVISORY AGREEMENT. NL ACTED AS AGENT	O	636R5	756109104	17	0	(3)	61.87	185.60	
	03/12/2021	03/16/2021		Sale MEDTRONIC PLC SHS PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MDT	46W70	G5960L103	14	0	(1)	118.44	118.43	
	03/12/2021	03/16/2021		Sale TEXAS INSTRUMENTS PER ADVISORY AGREEMENT. NL ACTED AS AGENT	TXN	73B41	802508104	20	0	(2)	172.142	344.67	
	03/12/2021	03/16/2021		Sale ROYAL BANK CANADA PVS1 PER ADVISORY AGREEMENT. NL ACTED AS AGENT	RY	65852	760087102	18	0	(2)	92.98	185.95	
	03/12/2021	03/16/2021		Sale BLACKROCK INC PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BLK	080L2	09247X101	7	0	(1)	718.31	718.30	
	03/12/2021	03/16/2021		Sale MCDONALDS CORP COM PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MCD	47649	500135101	15	0	(1)	211.4788	211.47	
	03/12/2021	03/16/2021		Sale EATON CORP PLC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ETN	26GD6	G29103103	10	0	(1)	140.175216	140.17	
	03/12/2021	03/16/2021		Sale VERIZON COMMUNICATIONS COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	VZ	79R0H	92343V104	22	0	(3)	55.957	167.06	
	03/12/2021	03/16/2021		Sale UNITED PARCEL SVC CL B PER ADVISORY AGREEMENT. NL ACTED AS AGENT	UPS	76BN1	911312106	21	0	(1)	166.419	166.41	

	03/12/2021	03/16/2021	1	Sale ENTERGY CORP NEW PER ADVISORY AGREEMENT. ML ACTED AS AGENT	ETR	253P1	29364G103	9	0	(1)	93.9897	93.98
	03/12/2021	03/16/2021	1	Sale CSX CORP EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CSX	12568	126408103	4	0	(2)	93.677957	187.35
	03/12/2021	03/16/2021	1	Sale KINDER MORGAN INC. DEL EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	KMI	419Y4	49456B101	12	0	(13)	16.12325	212.18
	03/12/2021	03/16/2021	1	Sale CROWN CASTLE REIT INC SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CCI	166B1	22822V101	6	0	(1)	153.3027	153.29
	03/12/2021	03/16/2021	1	Sale AMGEN INC COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	AMGN	04491	031162100	1	0	(1)	229.7823	229.77
	03/12/2021	03/16/2021	1	Sale BHP GROUP LTD PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BHP	002E4	008606100	3	0	(2)	73.365	146.72
	03/12/2021	03/16/2021	1	Sale VICI PROPS INC PER ADVISORY AGREEMENT. ML ACTED AS AGENT	VICI	79G52	925652109	23	0	(7)	28.3654	198.55
	03/12/2021	03/16/2021	1	Sale COMCAST CORP NEW CL A PER ADVISORY AGREEMENT. ML ACTED AS AGENT	CMCSA	12710	20030N101	5	0	(3)	56.6687	170.00
	03/15/2021	03/15/2021	1	Dividend REALTY INCM CRP MD PVS1. REIT HOLDING 66.0000 PAY DATE 03/15/2021	O	036R5	756109104	3	000			15.48
	03/15/2021	03/15/2021	1	Dividend MCDONALDS CORP COM HOLDING 8.0000 PAY DATE 03/15/2021	MCD	47649	580135101	2	000			10.32
	03/15/2021	03/15/2021	1	Dividend CSX CORP HOLDING 42.0000 PAY DATE 03/15/2021	CSX	12568	126408103	1	000			11.76
	03/15/2021	03/15/2021	1	Purchase SEAGATE TECH PLC SHS EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	STX	009F2	G7945M107	4	000	2	77.153691	(154.31)
	03/10/2021	03/12/2021	1	Purchase SEAGATE TECH PLC SHS EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	STX	60DF2	G7945M107	1	000	3	75.02092	(225.06)
	03/11/2021	03/11/2021	1	Dividend MICROSOFT CORP HOLDING 7.0000 PAY DATE 03/11/2021	MSFT	49522	594918104	1	000			3.92

	03/09/2021	03/11/2021	☐	Purchase SEAGATE TECH PLC SHS EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	STX	689F2	G7945M107	2	000	0	3	75.539403	(226.62)
	03/10/2021	03/10/2021	☐	Dividend UNITED PARCEL SVC CL B HOLDING 34.0000 PAY DATE 03/10/2021	UPS	768N1	911312106	5	000				34.68
	03/10/2021	03/10/2021	☐	Dividend TARGET CORP COM HOLDING 16.0000 PAY DATE 03/10/2021	TGT	74DG3	R7612E106	4	000				10.08
	03/10/2021	03/10/2021	☐	Dividend ELI LILLY & CO HOLDING 9.0000 PAY DATE 03/10/2021	LLY	445J4	532457108	3	000				7.65
	03/10/2021	03/10/2021	☐	Dividend EMERSON ELEC CO HOLDING 61.0000 PAY DATE 03/10/2021	EMR	26435	291011104	2	000				30.81
	03/10/2021	03/10/2021	☐	Dividend CHEVRON CORP HOLDING 34.0000 PAY DATE 03/10/2021	CVX	144X5	166764100	1	000				43.86
	03/08/2021	03/10/2021	☐	Sale CALVERT SMALL CAP FUND CL I THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CSVIX	76E6b	13161P062	19	0		(23)	35.21	1,809.83
	03/08/2021	03/10/2021	☐	Sale CALVERT US LRG CAP VALUE RESPONSIBLE INDX FD CL I THIS SALE CONSTITUTES A REDEMPTION. PER ADVISORY AGREEMENT. ML ACTED AS AGENT.	CFPIX	9UAV4	13162A708	21	0		(64)	29.08	1,861.12
	03/08/2021	03/10/2021	☐	Purchase SEAGATE TECH PLC SHS EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. ML ACTED AS AGENT	STX	689F2	G7945M107	10	000	0	4	74.455539	(297.82)
	03/08/2021	03/10/2021	☐	Sale BRISTOL-MYERS SQUIBB CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	BMV	11011	111022108	6	0		(4)	61.0541	244.21
	03/08/2021	03/10/2021	☐	Sale MEDTRONIC PLC SHS PER ADVISORY AGREEMENT. ML ACTED AS AGENT	MDT	46VT0	G5960L103	17	0		(2)	117.7036	235.40
	03/08/2021	03/10/2021	☐	Sale TRUIST FINL CORP PER ADVISORY AGREEMENT. ML ACTED AS AGENT	TFC	734M4	H0832Q109	20	0		(5)	59.825	299.12
	03/08/2021	03/10/2021	☐	Sale JOHNSON AND JOHNSON COM PER ADVISORY AGREEMENT. ML ACTED AS AGENT	JNJ	40853	470160104	16	0		(2)	158.33	316.65
	03/08/2021	03/10/2021	☐	Sale JPMORGAN CHASE & CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	JPM	40555	46625H100	15	0		(2)	153.3022	306.59
	03/08/2021	03/10/2021	☐	Sale HEWLETT PACKARD ENTERPRISE CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	HPE	35HP6	42824C109	11	0		(21)	14.915	313.21
	03/08/2021	03/10/2021	☐	Sale EMERSON ELEC CO PER ADVISORY AGREEMENT. ML ACTED AS AGENT	EMR	26435	291011104	8	0		(3)	92.6831	278.04

	03/08/2021	03/10/2021	📄	Sale NINEEN ESG LARGE-CAP VALUE ETF PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	NULV	39TV2	67092P300	14	0	(75)	35.2472	2,643.53
	03/08/2021	03/10/2021	📄	Sale CITIGROUP INC COM NEW PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	C	161N2	122967424	7	0	(4)	71.9851	287.93
	03/08/2021	03/10/2021	📄	Sale GENERAL ELECTRIC PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	GE	31607	369604103	10	0	(24)	14.1938	340.64
	03/08/2021	03/10/2021	📄	Sale HOME DEPOT INC PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	HD	36780	437076102	12	0	(1)	261.6509	261.64
	03/08/2021	03/10/2021	📄	Sale FIFTH THIRD BANCORP PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	FTTB	28641	216773100	9	0	(12)	37.805	453.65
	03/08/2021	03/10/2021	📄	Sale ISHARES TRUST ISHRS ESG AWARE MSCI EAFE ETF PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	ESGD	39Q08	464356516	13	0	(9)	74.6407	671.76
	03/09/2021	03/09/2021	📄	Dividend JOHNSON AND JOHNSON COM HOLDING 30.0000 PAY DATE 03/09/2021	JNJ	40853	478160104	1	000			30.30
	03/05/2021	03/09/2021	📄	Purchase SEAGATE TECH PLC SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NIL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	STX	609F2	67945M107	2	000	9	72.435491	(651.92)
	03/08/2021	03/08/2021	📄	Withdrawal ADAM JOHNSON CK	AMSN	04491	031162100	1	000			(300.00)
	03/08/2021	03/08/2021	📄	Dividend AMGEN INC COM HOLDING 7.0000 PAY DATE 03/08/2021	BHP	082E4	008606108	3	000	12	76.142969	(913.72)
	03/04/2021	03/08/2021	📄	Purchase BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NIL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	STX	689F2	67945M107	4	000	22	71.685952	(577.09)
	03/04/2021	03/08/2021	📄	Purchase SEAGATE TECH PLC SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NIL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	FTTB	28641	316773100	4	0	(4)	35.580503	142.31
	03/04/2021	03/08/2021	📄	Sale FIFTH THIRD BANCORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NIL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NIL ACTED AS AGENT	BLK	080L2	09247X101	2	0	(1)	690.455976	690.45

	03/04/2021	03/08/2021	1	Sale ROYAL BANK CANADA PVS1 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	R1	65052	780087102	5		0	(2)	87.203212	174.40
	03/05/2021	03/05/2021	1	Withdrawal CK L. CHILDOS LAIR FERN, LLC				16	1AA				(6,818.85)
	03/05/2021	03/05/2021	1	Withdrawal CK L. W. PICCOZZI, INC.				18	1AA				(805.51)
	03/05/2021	03/05/2021	1	Withdrawal CK L. W. PICCOZZI, INC.				15	1AA				(451.88)
	03/05/2021	03/05/2021	1	Withdrawal CK L. W. PICCOZZI, INC.				17	1AA				(492.49)
	03/05/2021	03/05/2021	1	Dividend ISH USD HIGH YIELD CORPORATE BOND ETF SH HOLDING 63.0000 PAY DATE 03/05/2021	USHV	39A10	464350853	1	000				11.21
	03/03/2021	03/05/2021	1	Purchase BHP GROUP LTD EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BHP	082E4	008606108	3	000	0	4	801.759761	(323.04)
	03/03/2021	03/05/2021	1	Purchase SEAGATE TECH PLC SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	STX	689F2	67945M107	8	000	0	6	75.528152	(453.17)
	03/03/2021	03/05/2021	1	Sale FIFTH THIRD BANCORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	FTB	2B641	316773100	5		0	(15)	36.277883	(544.16)
	03/03/2021	03/05/2021	1	Sale TRUIST FINL CORP EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	TFC	734N4	89832Q109	4		0	(7)	58.997366	(412.98)
	03/03/2021	03/05/2021	1	Sale ROYAL BANK CANADA PVS1 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	RY	65052	780087102	7		0	(8)	87.130039	(697.03)
	03/03/2021	03/05/2021	1	Purchase GENERAL ELECTRIC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	GE	31607	369604103	0	000	0	101	13.458321	(498.89)

	03/03/2021	03/05/2021	☐	Sale AT&T INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	T	02337	00206R102	2		0		(53)	28.448487	1,507.76
	03/03/2021	03/05/2021	☐	Sale BRISTOL-MYERS SQUIBB CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BMV	11011	110122108	4		0		(8)	60.248215	481.98
	03/03/2021	03/05/2021	☐	Sale VERIZON COMMUNICATIONS COM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	VZ	70806	92343V104	10		0		(12)	54.838678	658.05
	03/03/2021	03/05/2021	☐	Sale VALMART INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	WMT	80012	931142103	11		0		(5)	128.701583	643.50
	03/01/2021	03/03/2021	☐	Purchase HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HPE	35HP6	42024C109	1		0	6		14.848929	(89.09)
	03/02/2021	03/02/2021	☐	Advisory Program Fee INV. ADVISORY FEE NAR				3		674				(436.64)
	03/02/2021	03/02/2021	☐	Dividend CRA QUALIFIED INVESTMENT FUND CLASS INSTL PAY DATE 03/01/2021	CRANX	9MMNU1	20368N202	1		000				7.36
	02/26/2021	03/02/2021	☐	Purchase HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HPE	35HP6	42024C109	2		000	0	27	14.553315	(392.94)
	03/01/2021	03/01/2021	☐	Dividend TIAA CREF CORE IMPACT BOND FUND CL ADV PAY DATE 02/26/2021	TSBHX	9UFE2	87245P635	5		000				29.23
	03/01/2021	03/01/2021	☐	Dividend BLACKROCK STRATEGIC INCOME OPPRINTS PTF INST PAY DATE 02/26/2021	BSHIX	9PG49	09260B382	4		000				74.14
	03/01/2021	03/01/2021	☐	Dividend DELAWARE CORPORATE BOND FD CL INSTL PAY DATE 02/26/2021	DGCIIX	9KTMU	24590B751	3		000				10.60
	03/01/2021	03/01/2021	☐	Dividend TRUIST FINL CORP HOLDING 90.0000 PAY DATE 03/01/2021	TFC	734M4	09832Q109	2		000				40.50
	03/01/2021	03/01/2021	☐	Dividend ENTERGY CORP NEW HOLDING 33.0000 PAY DATE 03/01/2021	ETR	253P1	29364G103	1		000				31.35

	02/25/2021	03/01/2021		Purchase HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HPE	35HP6	42824C109	6	000	0	43	14.615529	(628.47)
	02/25/2021	03/01/2021		Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	53JB2	67077M108	7	000	0	7	56.378484	(394.65)
	02/26/2021	02/26/2021		Dividend JP MORGAN STRATEGIC INCOME OPF FUND CL 1 PAY DATE 02/25/2021	J50SX	9PW26	4812A4351	1	000				21.08
	02/24/2021	02/26/2021		Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	53JB2	67077M108	3	000	0	3	56.563776	(169.69)
	02/24/2021	02/26/2021		Purchase HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HPE	35HP6	42824C109	2	000	0	10	14.748346	(147.44)
	02/26/2021	02/26/2021		Bank Interest NL BANK DEPOSIT PROGRAM FROM 01/29 THRU 02/25		94SK9	990286916	5	ANF				1.21
	02/23/2021	02/25/2021		Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	53JB2	67077M108	2	000	0	5	55.59535	(277.98)
	02/23/2021	02/25/2021		Purchase HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HPE	35HP6	42824C109	1	000	0	28	14.388828	(402.89)
	02/22/2021	02/24/2021		Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	53JB2	67077M108	2	000	0	5	56.269194	(281.35)
	02/22/2021	02/24/2021		Purchase HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HPE	35HP6	42824C109	1	000	0	13	14.356988	(186.64)

	02/19/2021	02/23/2021		Purchase HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HPE	35HP6	42824C109	1	000	0	10	14,130695	(141.31)
	02/19/2021	02/23/2021		Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	53JB2	67077M108	2	000	0	3	56,41353	(169.24)
	02/18/2021	02/22/2021		Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	53JB2	67077M108	2	000	0	10	55,846988	(558.47)
	02/18/2021	02/22/2021		Purchase HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HPE	35HP6	42824C109	1	000	0	20	13,924766	(278.50)
	02/17/2021	02/19/2021		Purchase HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HPE	35HP6	42824C109	1	000	0	30	14,007303	(420.22)
	02/17/2021	02/19/2021		Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	53JB2	67077M108	2	000	0	4	55,770884	(223.08)
	02/17/2021	02/19/2021		Sale ROYAL BANK CANADA PVS1 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	RY	65852	780087102	3	0	0	(6)	83,869489	503.21
	02/18/2021	02/18/2021		Federal Tax Withholding BROADCOM LTD AS OF 02/18/2021 0000002	AVGO	070U8	11135F101	10	414				341.27
	02/18/2021	02/18/2021		Federal Tax Withholding MERCK AND CO INC SHS AS OF 02/18/2021 0000002	MRK	46RT8	58933Y105	14	414				107.49
	02/18/2021	02/18/2021		Federal Tax Withholding AT&T INC AS OF 02/12/2021 0000002	T	02337	00206R102	8	414				143.97
	02/18/2021	02/18/2021		Federal Tax Withholding ML BANK DEPOSIT PROGRAM AS OF 01/29/2021 0	94SK9	94SK9	990286916	-19	899				0.04
	02/18/2021	02/18/2021		Federal Tax Withholding MERCK AND CO INC SHS AS OF 02/12/2021	MRK	46RT8	58933Y105	13	414				233.82

DATE	DESCRIPTION	ACCOUNT	AMOUNT	CURRENCY	STATUS	REMARKS
02/18/2021	Federal Tax Withholding NL BANK DEPOSIT PROGRAM AS OF 01/29/2021	94SK9	990206916	22	899	0.03
02/18/2021	Federal Tax Withholding ROYAL BANK CANADA PVS1 AS OF 02/17/2021	RY	65852	780087102	18	414
02/18/2021	Federal Tax Withholding EMERSON ELEC CO AS OF 02/16/2021	EMR	26435	291011104	12	414
02/18/2021	Federal Tax Withholding BROUCCON LTD AS OF 02/12/2021	AVSO	07008	11135F101	9	414
02/18/2021	Federal Tax Withholding ROYAL BANK CANADA PVS1 AS OF 02/16/2021	RY	65852	780087102	17	414
02/18/2021	Federal Tax Withholding AIR PRODUCTS/CHEM AS OF 02/16/2021	APD	00661	009158106	7	414
02/18/2021	Federal Tax Withholding PROCTER & GAMBLE CO AS OF 02/12/2021	PG	62726	742718109	15	414
02/18/2021	Federal Tax Withholding EMERSON ELEC CO AS OF 02/12/2021	ENR	26435	291011104	11	414
02/18/2021	Federal Tax Withholding ROYAL BANK CANADA PVS1 AS OF 02/12/2021	RY	65852	780087102	16	414
02/18/2021	Federal Tax Withholding AIR PRODUCTS/CHEM AS OF 02/12/2021	APD	00661	009158106	6	414
02/18/2021	Purchase HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HPE	35HP6	42824C109	1	000
02/18/2021	Purchase ENTERGY CORP NEW EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ETR	253P1	29364G103	1	000
02/16/2021	Sale ROYAL BANK CANADA PVS1 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	RY	65052	780087102	5	0
02/16/2021	Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	53JB2	67077M108	4	000
02/16/2021	Purchase EATON CORP PLC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ETN	26GD6	G29183103	2	000
02/17/2021	Federal Tax Withholding ROYAL BANK CANADA PVS1	RY	65852	780087102	1	000

	02/12/2021	02/17/2021		Purchase HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HPE	35HP6	42824C109	3	000	0	15	14,29918	(214.49)
	02/12/2021	02/17/2021		Purchase ENERGY CORP NEW EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ETR	253P1	29364G103	1	000	0	2	94,676919	(189.35)
	02/12/2021	02/17/2021		Sale ROYAL BANK CANADA PVS1 EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	RY	65852	780087102	5	0	(9)		83,560689	752.03
	02/12/2021	02/17/2021		Purchase EATON CORP PLC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ETN	26GD6	G29183103	2	000	0	4	122,933187	(491.73)
	02/12/2021	02/17/2021		Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	53JB2	G7077M108	4	000	0	4	55,865429	(223.46)
	02/16/2021	02/16/2021		Federal Tax Withholding ROYAL BANK CANADA PVS1	PY	65052	780087102	14					(79.81)
	02/16/2021	02/16/2021		Federal Tax Withholding MERCK AND CO INC SHS	MRK	46RT9	50933Y105	11					(107.49)
	02/16/2021	02/16/2021		Federal Tax Withholding EMERSON ELEC CO	EMR	26435	291011104	8					(40.64)
	02/16/2021	02/16/2021		Federal Tax Withholding BROADCOM LTD	AVGO	07D08	11135F101	4					(341.27)
	02/16/2021	02/16/2021		Federal Tax Withholding AIR PRODUCTS CHEM	APD	00661	009158106	2					(4246.75)
	02/11/2021	02/16/2021		Purchase HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HPE	35HP6	42824C109	9	000	0	19	14,080821	(267.73)
	02/11/2021	02/16/2021		Purchase EATON CORP PLC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ETN	26GD6	G29183103	6	000	0	3	122,550737	(367.65)

	02/11/2021	02/16/2021		Sale ROYAL BANK CANADA PYS1 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	RY	65852	780087102	13	0	(4)	83.14067	332.55
	02/11/2021	02/16/2021		Purchase ENERGY CORP NEW EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ETR	253P1	20346103	5	000	3	94.658169	(283.97)
	02/11/2021	02/16/2021		Sale AIR PRODUCTSSCHEN EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	APD	00661	009158106	1	0	(4)	257.043818	1028.16
	02/11/2021	02/16/2021		Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	5JJB2	67077M108	12	000	5	54.853024	(274.27)
	02/11/2021	02/16/2021		Sale BROADCOM LTD EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	AVGO	070U8	11135F101	3	0	(3)	473.99733	1421.96
	02/11/2021	02/16/2021		Sale EMERSON ELEC CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	EMR	26435	291011104	7	0	(2)	84.692309	169.37
	02/11/2021	02/16/2021		Sale MERCK AND CO INC SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MRK	46RT8	50933Y105	10	0	(6)	74.653269	447.94
	02/12/2021	02/12/2021		Federal Tax Withholding ROYAL BANK CANADA PYS1	RY	65852	780087102	19			(80.08)	
	02/12/2021	02/12/2021		Federal Tax Withholding PROCTER & GAMBLE CO	PG	62726	742718109	17			(223.40)	
	02/12/2021	02/12/2021		Federal Tax Withholding MERCK AND CO INC SHS	MRK	46RT8	50933Y105	14			(233.02)	
	02/12/2021	02/12/2021		Federal Tax Withholding ENERSON ELEC CO	ENR	26435	291011104	10			(41.04)	
	02/12/2021	02/12/2021		Federal Tax Withholding BROADCOM LTD	AVGO	070U8	11135F101	6			(226.01)	
	02/12/2021	02/12/2021		Federal Tax Withholding AT&T INC	T	02337	00206R102	4			(143.97)	
	02/12/2021	02/12/2021		Federal Tax Withholding AIR PRODUCTSCHEN	APD	00661	009158106	2			(182.93)	

	02/10/2021	02/12/2021		Purchase ENTERGY CORP NEW EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ETR	253P1	29364G103	7	000	0	2	95.881575	(191.76)
	02/10/2021	02/12/2021		Sale EMERSON ELEC CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	EMR	26435	291011104	9	0	0	(2)	85.522898	171.04
	02/10/2021	02/12/2021		Purchase NUTRIEN LTD REG SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NTR	53JB2	67077M108	15	000	0	7	54.99362	(386.96)
	02/10/2021	02/12/2021		Sale BROADCOM LTD EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	AVGO	070U8	11135F101	5	0	0	(2)	470.871782	941.72
	02/10/2021	02/12/2021		Sale AIR PRODUCTS/CHEM EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	APD	00661	009158106	1	0	0	(3)	254.080774	762.22
	02/10/2021	02/12/2021		Sale ROYAL BANK CANADA PWS1 EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	RY	65852	700087102	18	0	0	(4)	83.426826	333.70
	02/10/2021	02/12/2021		Sale MERCX AND CO INC SHS EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MRK	46RT8	58933Y105	13	0	0	(13)	74.943661	974.25
	02/10/2021	02/12/2021		Purchase HEWLETT PACKARD ENTERPRISE CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HPE	35HP6	42824C109	21	000	0	28	13.891352	(388.96)
	02/10/2021	02/12/2021		Sale PROCTER & GAMBLE CO EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PG	62726	74271B109	16	0	0	(9)	128.33444	1,154.98

	02/10/2021	02/12/2021		Purchase EATON CORP PLC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ETN	26GD6	G2918J103	8	000	0	5	121,674835	(608.37)
	02/10/2021	02/12/2021		Purchase HOME DEPOT INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HD	36780	437076102	12	000	0	2	277,442892	(554.89)
	02/10/2021	02/12/2021		ST AT&T INC EXECUTED 100% AGENCY ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	T	02337	002068102	3	0	0	(21)	28,566802	599.89
	02/09/2021	02/09/2021		Advisory Program Fee INV. ADVISORY FEE FEB				1	674				4402.97
	02/03/2021	02/05/2021		Purchase CALVERT SMALL CAP FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	CSVIX	7GE66	13164PB6.2	39	000	0	543	33.66	(18,277.38)
	02/03/2021	02/05/2021		Purchase CALVERT US LRG CAP VALUE RESPONSIBLE INDX FD CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	CFIIX	9UAV4	131624708	47	000	0	1,054	27.27	(28,742.58)
	02/03/2021	02/05/2021		Purchase ANGEN INC COM PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ANGN	04491	031162100	3	000	0	7	232.675	(1,628.73)
	02/03/2021	02/05/2021		Purchase COMCAST CORP NEW CL A PER ADVISORY AGREEMENT. NL ACTED AS AGENT	CMCSA	12710	20030N101	9	000	0	47	50.6969	(2,382.75)
	02/03/2021	02/05/2021		Purchase EATON CORP PLC PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ETN	26GD6	G2918J103	15	000	0	25	120.06	(3,001.50)
	02/03/2021	02/05/2021		Purchase MEDTRONIC PLC SHS PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MDT	46VTO	G5960L103	32	000	0	48	113.397	(5,443.06)
	02/03/2021	02/05/2021		Purchase JOHNSON AND JOHNSON COM PER ADVISORY AGREEMENT. NL ACTED AS AGENT	JNJ	40853	470160104	27	000	0	30	160.515	(4,815.45)

	02/03/2021	02/05/2021		Purchase CITIGROUP INC COM NEW EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	C	161N2	172967424	11	000	0	93	60.65	(5,640.45)
	02/03/2021	02/05/2021		Purchase SPDR BLOOMBERG BARCLAYS 1-3 MONTH T BILL ETF PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BIL	39YB6	78468R663	25	000	0	86	91.5169	(7,880.45)
	02/03/2021	02/05/2021		Purchase MCDONALDS CORP COM PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MCD	47649	580135101	33	000	0	8	209.36	(1,674.88)
	02/03/2021	02/05/2021		Purchase AIR PRODUCTS&CHEM PER ADVISORY AGREEMENT. NL ACTED AS AGENT	APD	00661	009158106	1	000	0	19	277.5203	(5,272.89)
	02/03/2021	02/05/2021		Purchase BROADCOM LTD PER ADVISORY AGREEMENT. NL ACTED AS AGENT	AVGO	070UB	11135F101	4	000	0	18	466.99	(8,405.82)
	02/03/2021	02/05/2021		Purchase PROCTER & GAMBLE CO PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PG	62726	742718109	36	000	0	21	128.675	(2,702.18)
	02/03/2021	02/05/2021		Purchase VERIZON COMMUNICATIONS COM PER ADVISORY AGREEMENT. NL ACTED AS AGENT	VZ	79B06	92343V104	44	000	0	85	54.757	(4,654.35)
	02/03/2021	02/05/2021		Purchase REALTY INCM GRP MD PVST. REIT PER ADVISORY AGREEMENT. NL ACTED AS AGENT	O	636R5	756109104	37	000	0	66	60.455	(3,990.03)
	02/03/2021	02/05/2021		Purchase TARGET CORP COM PER ADVISORY AGREEMENT. NL ACTED AS AGENT	TGT	74DG3	87612E106	42	000	0	16	184.99	(2,959.84)
	02/03/2021	02/05/2021		Purchase ISH USD HIGH YIELD CORPORATE BOND ETF SH PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	USHY	39X10	46435UB53	24	000	0	63	41.3069	(2,602.33)
	02/03/2021	02/05/2021		Purchase ISHARES TRUST ISHRS ESG AWARE MSCI EAFE ETF EXECUTED 100% AGENCY PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ESGD	39Q08	46435G516	21	000	0	600	74.081767	(46,449.06)
	02/03/2021	02/05/2021		Purchase EMERSON ELEC CO EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ENR	26435	291011104	16	000	0	63	82.915714	(5,223.69)
	02/03/2021	02/05/2021		Purchase GENERAL ELECTRIC PER ADVISORY AGREEMENT. NL ACTED AS AGENT	GE	31607	369604103	18	000	0	209	11.175	(2,335.58)

	02/03/2021	02/05/2021		Purchase FIFTH THIRD BANCORP PER ADVISORY AGREEMENT. NL ACTED AS AGENT	FTTB	28641	316773100	17	000	0	197	30.6987	(6,047.64)
	02/03/2021	02/05/2021		Purchase BHP GROUP LTD PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BHP	082E4	088606108	6	000	0	34	67.10	(2,281.40)
	02/03/2021	02/05/2021		Purchase NUEVEN ESG LARGE CAP GROWTH ETF EXECUTED 100% AGENCY PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NULG	39TV1	67092P201	22	000	0	311	58.89	(18,314.79)
	02/03/2021	02/05/2021		Purchase ISHARES INC ISHARES ESG AWARE MSCI EM ETF PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ESGE	39Q07	46434G863	20	000	0	460	45.5334	(20,045.36)
	02/03/2021	02/05/2021		Purchase NUEVEN ESG LARGE-CAP VALUE ETF EXECUTED 100% AGENCY PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	NULV	39TV2	67092P400	23	000	0	1,105	33.15	(36,630.75)
	02/03/2021	02/05/2021		Purchase DIGITAL RLY TR INC PER ADVISORY AGREEMENT. NL ACTED AS AGENT	DLR	229R0	2530608103	13	000	0	10	147.1795	(2,649.42)
	02/03/2021	02/05/2021		Purchase ENTERGY CORP NEW PER ADVISORY AGREEMENT. NL ACTED AS AGENT	ETR	253P1	29364G103	14	000	0	31	95.01	(2,945.31)
	02/03/2021	02/05/2021		Purchase ROYAL BANK CANADA PVX1 PER ADVISORY AGREEMENT. NL ACTED AS AGENT	RY	65852	780087102	38	000	0	78	82.34	(6,422.52)
	02/03/2021	02/05/2021		Purchase AT&T INC PER ADVISORY AGREEMENT. NL ACTED AS AGENT	T	02337	00206R102	2	000	0	131	28.5679	(2,742.39)
	02/03/2021	02/05/2021		Purchase MICROSOFT CORP PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MSFT	49522	594918104	34	000	0	7	243.6098	(1,705.27)
	02/03/2021	02/05/2021		Purchase WALMART INC PER ADVISORY AGREEMENT. NL ACTED AS AGENT	WMT	80012	931142103	46	000	0	17	141.3339	(2,402.68)
	02/03/2021	02/05/2021		Purchase ELI LILLY & CO PER ADVISORY AGREEMENT. NL ACTED AS AGENT	LLY	44534	532457108	30	000	0	9	198.05	(1,782.45)
	02/03/2021	02/05/2021		Purchase UNITED PARCEL SVC CL B PER ADVISORY AGREEMENT. NL ACTED AS AGENT	UPS	76BH1	911312106	43	000	0	34	160.66	(5,462.44)
	02/03/2021	02/05/2021		Purchase CHEVRON CORP EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	CVX	144X5	166764100	10	000	0	34	88.428397	(3,006.57)

	02/03/2021	02/05/2021		Purchase CROWN CASTLE REIT INC SHS PER ADVISORY AGREEMENT. NL ACTED AS AGENT	CCI	166B1	22822V101	12	000	0	21	163.33	(3,429.93)
	02/03/2021	02/05/2021		Purchase TEXAS INSTRUMENTS PER ADVISORY AGREEMENT. NL ACTED AS AGENT	TXN	73841	88250B104	41	000	0	25	170.09	(4,252.25)
	02/03/2021	02/05/2021		Purchase TRUIST FINL CORP EXECUTED 100% AGENCY ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH NL ACTED ARE AVAILABLE UPON REQUEST. PER ADVISORY AGREEMENT. NL ACTED AS AGENT	TFC	734M4	89832Q109	40	000	0	90	51.18	(4,606.20)
	02/03/2021	02/05/2021		Purchase LOCKHEED MARTIN CORP PER ADVISORY AGREEMENT. NL ACTED AS AGENT	LMT	432G4	539830109	29	000	0	7	331.6501	(2,321.55)
	02/03/2021	02/05/2021		Purchase JPMORGAN CHASE & CO PER ADVISORY AGREEMENT. NL ACTED AS AGENT	JPM	40555	46625H100	26	000	0	44	135.325	(5,954.30)
	02/03/2021	02/05/2021		Purchase MERCK AND CO INC SHS PER ADVISORY AGREEMENT. NL ACTED AS AGENT	MRK	46RT6	58933V105	31	000	0	19	77.515	(1,472.79)
	02/03/2021	02/05/2021		Purchase HOME DEPOT INC PER ADVISORY AGREEMENT. NL ACTED AS AGENT	HD	36780	437076102	19	000	0	15	275.3617	(4,130.43)
	02/03/2021	02/05/2021		Purchase BRISTOL-MYERS SQUIBB CO PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BNY	11011	110122108	7	000	0	87	62.2666	(5,417.19)
	02/03/2021	02/05/2021		Purchase CSX CORP PER ADVISORY AGREEMENT. NL ACTED AS AGENT	CSX	12560	126408103	8	000	0	42	89.63	(3,764.46)
	02/03/2021	02/05/2021		Purchase KINDER MORGAN INC. DEL PER ADVISORY AGREEMENT. NL ACTED AS AGENT	KMI	419Y4	49456B101	26	000	0	523	14.1784	(7,415.30)
	02/03/2021	02/05/2021		Purchase PEPSICO INC PER ADVISORY AGREEMENT. NL ACTED AS AGENT	PEP	60739	71344B108	35	000	0	22	138.51	(3,047.22)
	02/03/2021	02/05/2021		Purchase BLACKROCK INC PER ADVISORY AGREEMENT. NL ACTED AS AGENT	BLK	080L2	09247X101	5	000	0	9	723.035	(6,507.32)
	02/03/2021	02/05/2021		Purchase VICI PRPTS INC PER ADVISORY AGREEMENT. NL ACTED AS AGENT	VICI	79G52	925652109	45	000	0	127	26.5689	(3,374.25)
	02/03/2021	02/04/2021		Purchase CRA QUALIFIED INVESTMENT FUND CLASS INSTL FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED, UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	CRANX	9MNHU1	20368N202	2	000	0	483	10.81	(5,221.23)

	02/03/2021	02/04/2021		Purchase TIAA CREF CORE IMPACT BOND FUND CL ADV FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	TSBHX	9UFE2	87245P635	5	U00	0	2,431	10.75	(26,133.25)
	02/03/2021	02/04/2021		Purchase BLACKROCK STRATEGIC INCOME OPPRNTS PTF INST FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	BSIHX	9PG49	092608382	3	000	0	4,483	10.39	(46,578.37)
	02/03/2021	02/04/2021		Purchase DELAWARE CORPORATE BOND FD CL INST FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	DGCIX	9KTM0	245U08751	1	000	0	809	6.46	(5,226.14)
	02/03/2021	02/04/2021		Purchase JP MORGAN STRATEGIC INCOME OPF FUND CL I FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	JSOSX	9PP26	4812A4351	4	000	0	3,591	11.53	(41,404.23)
	02/03/2021	02/04/2021		Purchase BROWN ADV SUSTAINABLE GROWTH FD CL INST FUND SUBJECT TO RED FEE. PROSPECTUS ENCLOSED. UNDER SEPARATE COVER OR FROM YOUR ADVISOR. PER ADVISORY AGREEMENT. ON SELLING YOUR SHARES/ UNITS, YOU MAY PAY A SALES CHARGE AND/OR OTHER FEES. FOR INFORMATION, SEE THE PROSPECTUS NL ACTED AS AGENT.	BAFWX	97DC5	115233207	6	000	0	1,054	39.68	(41,822.72)
	01/29/2021	01/29/2021		Federal Tax Withholding NL BANK DEPOSIT PROGRAM	94SK9	990286916	4	AMF					(0.03)
	01/29/2021	01/29/2021		Federal Tax Withholding NL BANK DEPOSIT PROGRAM	94SK9	990286916	2	AMF					(0.04)
	01/29/2021	01/29/2021		Bank Interest NL BANK DEPOSIT PROGRAM FROM 01/27 THRU 01/28	94SK9	990286916	1	AMF					0.28
	01/27/2021	01/27/2021		Withdrawal CK ANNUAL			5						(8,967.31)
	01/27/2021	01/27/2021		Withdrawal CK J.W. PICCOZZI INC			3						(1,205.76)
	01/27/2021	01/27/2021		Withdrawal CK TERMINIX			4						(161.85)

Activity is shown in the currency of the account

Statement for the Period January 1, 2021 to January 31, 2021

W K SICHRADE - Premier Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
12/31/20	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$7.30	3.744	(\$27.33)
12/31/20	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$15.09	6.561	(\$99.01)
Total Securities Purchased					[REDACTED]

Pruco Securities, LLC

MEMBER FINRA SIPC

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period January 1, 2021 to January 31, 2021

W K SCHRAEDER - Premiere Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
01/11/21	CASH	YOU SOLD	FIDELITY TREASURY MKKT FD DAILY MONEY @ 1 AS OF 01-11-21	(861.72)	\$861.72
01/29/21	CASH	YOU BOUGHT	FIDELITY TREASURY MONEY MARKET FUND @ 1	0.02	(\$0.02)

TOTAL CORE FUND ACTIVITY

ACTIVITY > MISCELLANEOUS & CORPORATE ACTIONS

This section includes miscellaneous and certain corporate action transactions such as mergers, acquisitions, currency conversions, shares delivered or received in-kind, with a zero dollar amount at the time the transaction occurred. It also includes Return of Principal transactions.

Date	Account Type	Transaction	Description	Quantity	Amount
01/28/21	CASH	TRANSFERRED FROM	FIDELITY TREASURY MKKT FD DAILY MONEY FDUXX TO FZFX	(2,710.13)	\$0.00
			TRAN VALUE: \$2,710.13		
01/28/21	CASH	TRANSFERRED TO	FIDELITY TREASURY MONEY MARKET FUND FZFX IS LIQUID	2,710.13	\$0.00
			TRAN VALUE: (\$2,710.13)		

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
12/31/20	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL Z		\$27.33
12/31/20	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL Z		\$89.01
01/29/21	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MKKT FD DAILY MONEY DIVIDEND RECEIVED		\$0.02

Pruco Securities, LLC
MN CEBKMBRBBBVWDB BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period January 1, 2021 to January 31, 2021

W K SCHRADER - Premiere Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
					\$126.36
Total Dividends					

TOTAL INCOME

ACTIVITY > TAXES, FEES AND EXPENSES

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Account Fees					
01/11/21	CASH	FEE	MANAGED ACCOUNT FEE		(\$861.72)
Total Account Fees					

TOTAL TAXES, FEES AND EXPENSES

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NPS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

Pruco Securities, LLC

MAN CEBKMBRBBBVWDB_BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period February 1, 2021 to February 28, 2021
W K SCHRADER - Premiere Select IRA
Account Number: [REDACTED]
Separate Acct Manager: PRU CHOICE
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
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Securities Purchased

01/29/21	CASH	REINVESTMENT	PGM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$7.19	3.739	(\$26.88)
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01/29/21	CASH	REINVESTMENT	PGM TOTAL RETURN BOND CL Z REINVESTED @ \$14.92	6.276	(\$93.64)
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Total Securities Purchased

ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
02/26/21	CASH	REINVESTMENT	FIDELITY TREASURY MONEY MARKET FUND REINVEST @ \$1.000	0.02	(\$0.02)

Pruco Securities, LLC

MN CEBKPHLTBBBWNKMM BBBB6

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period February 1, 2021 to February 28, 2021

W K SCHRADER - Pension-Self IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUDCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

ACTIVITY continued

TOTAL CORE FUND ACTIVITY

Amount

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
01/29/21	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL Z		\$26.88
01/29/21	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL Z		\$93.84
02/26/21	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MONEY MARKET		\$0.02
02/26/21	CASH	DIVIDEND RECEIVED	FUND DIVIDEND RECEIVED		
Total Dividends					
TOTAL INCOME					

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Changes in Value does not reflect activity related to assets in which NIS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

Prud Securities, LLC

MEM CEBKPHLTBBWMMKMBBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period March 1, 2024 to March 31, 2021

W K SCHRADER - Premiere Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
02/26/21	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$6.93	3.455	(\$23.94)
02/26/21	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.59	5.786	(\$84.42)
02/26/21	CASH	REINVESTMENT	HARTFORD DIV AND GROWTH FD CL Y REINVEST @ \$31.3500	4.458	(\$139.75)
Total Securities Purchased					[REDACTED]

Pruco Securities, LLC

MN CEBKRTTBZBZWXD BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period March 1, 2021 to March 31, 2021

W K SCHRADER, Premier Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
03/31/21	CASH	REINVESTMENT	FIDELITY TREASURY MONEY MARKET FUND REINVEST @ \$1.800	0.02	(\$0.02)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
02/26/21	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL 2		\$23.94
02/26/21	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL 2		\$84.42
03/30/21	CASH	DIVIDEND RECEIVED	HARTFORD DIV AND GROWTH FD CL Y		\$139.75
03/31/21	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MONEY MARKET FUND DIVIDEND RECEIVED		\$0.02

Total Dividends

TOTAL INCOME

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NF'S is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

Prudential Securities, LLC

MEMBER CEBKRTTBZVXD BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period April 1, 2021 to April 30, 2021
W K SCHRADER - Prudential Select IRA
Account Number: [REDACTED]
Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
03/31/21	CASH	REINVESTMENT	PGM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$6.74	3.761	(\$25.35)
03/31/21	CASH	REINVESTMENT	PGM TOTAL RETURN BOND CL Z REINVESTED @ \$14.32	6.228	(\$89.19)
Total Securities Purchased					

Pruco Securities, LLC

MEM_CEBKVGZWB/BWBWLSID_BBBB1

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period April 1, 2021 to April 30, 2021

W K SCHRADER - Premier Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
04/12/21	CASH	YOU SOLD	FIDELITY TREASURY MONEY MARKET FUND @ 1 AS OF 04-12-21	(894.79)	\$894.79
04/30/21	CASH	REINVESTMENT	FIDELITY TREASURY MONEY MARKET FUND REINVEST @ \$1.000	0.02	(\$0.02)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
03/31/21	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL Z		\$25.35
03/31/21	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL Z		\$89.19
04/30/21	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MONEY MARKET FUND DIVIDEND RECEIVED		\$0.02

Total Dividends

TOTAL INCOME

ACTIVITY > TAXES, FEES AND EXPENSES

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Account Fees					
04/12/21	CASH	FEE	MANAGED ACCOUNT FEE		(\$894.79)
Total Account Fees					

Pruco Securities, LLC

MN CEBKVGZWBWBWLSID BBBB6 [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period May 1, 2021 to May 31, 2021

W K SCHRADER - Premiere Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
04/30/21	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL Z REINVESTED @ \$8.85	3.768	(\$25.81)
04/30/21	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL Z REINVESTED @ \$14.45	5.846	(\$84.48)
Total Securities Purchased					

ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
05/28/21	CASH	REINVESTMENT	FIDELITY TREASURY MONEY MARKET FUND REINVEST @ \$1,000	0.02	(\$0.02)

Pruco Securities, LLC

MN_CEBKXMIWKBBBVNNWQ_BBBB 20210528

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period May 1, 2021 to May 31, 2021

W K SCHRADER, Barrington Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY continued

TOTAL CORE FUND ACTIVITY		Amount
		[REDACTED]

ACTIVITY > MISCELLANEOUS & CORPORATE ACTIONS

This section includes miscellaneous and certain corporate action transactions such as mergers, acquisitions, currency conversions, shares delivered or received in-kind, with a zero dollar amount at the time the transaction occurred. It also includes Return of Principal transactions.

Date	Account Type	Transaction	Description	Quantity	Amount
05/24/21	CASH	CONVERSION	HARTFORD DIVIDEND & GROWTH FUND CL F SHR CLASS CONVERSION CNV TRAN VALUE: (\$42,832.44)	1,343.318	\$0.00
05/24/21	CASH	CONVERSION	HARTFORD DIV AND GROWTH FD CL Y SHR CLASS CONVERSION CNV CUSIP: [REDACTED] TRAN VALUE: \$42,832.43	(1,296.269)	\$0.00
05/24/21	CASH	CONVERSION	PGIM GLOBAL TOTAL RETURN CL Z SHR CLASS CONVERSION CNV CUSIP: [REDACTED] TRAN VALUE: \$10,408.56	(1,510.677)	\$0.00
05/24/21	CASH	CONVERSION	PGIM GLOBAL TOTAL RETURN CL R6 SHR CLASS CONVERSION CNV CUSIP: [REDACTED] TRAN VALUE: (\$10,408.56)	1,501.957	\$0.00
05/24/21	CASH	CONVERSION	PGIM TOTAL RETURN BOND CL Z SHR CLASS CONVERSION CNV CUSIP: [REDACTED] TRAN VALUE: \$39,866.99	(2,753.245)	\$0.00

Pruco Securities, LLC

MEM CEBKXAMKBBBWNWO BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period May 1, 2021 to May 31, 2021

WK SCHRADER - Premium Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

ACTIVITY > MISCELLANEOUS & CORPORATE ACTIONS continued

Date	Account Type	Transaction	Description	Quantity	Amount
05/24/21	CASH	CONVERSION	PGIM TOTAL RETURN BOND CL R6 SHR CLASS CONVERSION CNV CUSIP: [REDACTED] TRAN VALUE: (\$39,856.99)	2,751.345	\$0.00

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
04/30/21	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL 2		\$25.81
04/30/21	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL 2		\$84.48
05/28/21	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MONEY MARKET FUND DIVIDEND RECEIVED		\$0.02

Total Dividends

TOTAL INCOME

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which Nf'S is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

Pruco Securities, LLC

MN CEBKXAMWKBBBYNNWO BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period June 1, 2021 to June 30, 2021

W K SOHRADER - Premier Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
05/28/21	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL R6 REINVESTED @ \$6.95	0.905	(\$6.29)
05/28/21	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL R6 REINVESTED @ \$14.54	1.483	(\$21.57)
06/28/21	CASH	REINVESTMENT	HARTFORD DIVIDEND & GROWTH FUND CL F REINVEST @ \$31.8000 AS OF 06/28/21	3.772	(\$119.95)
Total Securities Purchased					[REDACTED]

Pruco Securities, LLC

MN_CEBLBXSWBBZWVWM_BBBI [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period June 1, 2021 to June 30, 2021

W K SCHRADER - Premium Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
06/01/21	CASH	YOU BOUGHT	FIDELITY TREASURY MONEY MARKET FUND @ 1	90.91	(\$90.91)

06/30/21	CASH	REINVESTMENT	FIDELITY TREASURY MONEY MARKET FUND REINVEST @ \$1.000	0.02	(\$0.02)
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TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
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Dividends

05/28/21	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL Z		\$20.41
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05/28/21	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL R6		\$6.29
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05/28/21	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL Z		\$70.50
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05/28/21	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL R6		\$21.57
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06/28/21	CASH	DIVIDEND RECEIVED	HARTFORD DIVIDEND & GROWTH FUND CL F		\$119.95
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06/30/21	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MONEY MARKET FUND DIVIDEND RECEIVED		\$0.02
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Total Dividends

TOTAL INCOME

Pruco Securities, LLC

MN CEBLXSWBBZWRM BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period July 1, 2021 to July 31, 2021
WK SCHRADER, a Prudential Select IRA
Account Number: [REDACTED]
Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
06/30/21	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL R6 REINVESTED @ \$6.94	3.86	(\$26.79)
06/30/21	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL R6 REINVESTED @ \$14.69	6.049	(\$88.86)
07/01/21	CASH	REINVESTMENT	MASSACHUSETTS INVEST TRUST FUND CL R6 REINVEST @ \$39.6600	8.827	(\$342.14)
07/01/21	CASH	REINVESTMENT	MASSACHUSETTS INVEST TRUST FUND CL R6 REINVEST @ \$39.6600	4.21	(\$166.85)
Total Securities Purchased					[REDACTED]

Securities Sold

Pruco Securities, LLC
MN CEBLKFBBWZCW BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period July 1, 2021 to July 31, 2021

WIK SCHRADER - Prudential Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRU CHOICE
Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS continued

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
07/12/21	CASH	YOU SOLD	EATON VANCE GROWTH CLASS 1 SOLICITED ORDER CONFIRMATION @ 43.1600	(49.444)	\$2,134.00

Total Securities Sold

ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
07/12/21	CASH	YOU BOUGHT	FIDELITY TREASURY MONEY MARKET FUND MORNING TRADE @ 1	2.134	(\$2,134.00)
07/12/21	CASH	YOU SOLD	FIDELITY TREASURY MONEY MARKET FUND @ 1 AS OF 07-12-21	(963.08)	\$963.08
07/30/21	CASH	REINVESTMENT	FIDELITY TREASURY MONEY MARKET FUND REINVEST @ \$1.000	0.02	(\$0.02)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
06/30/21	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL R6		\$26.79
08/30/21	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL R6		\$89.86
07/01/21	CASH	DIVIDEND RECEIVED	MASSACHUSETTS INVEST TRUST FUND CL R6		\$166.95
07/30/21	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MONEY MARKET FUND DIVIDEND RECEIVED		\$0.02
Total Dividends					

Prudential Securities, LLC

MEMBER FINRA SIPC
CERBLFKFFBBWZCW BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period July 1, 2021 to July 31, 2021

W K SCHRADER - Premium Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
07/01/21	CASH	LONG CAP GAIN	MASSACHUSETTS INVEST TRUST FUND CL R6		\$342.14
Total Capital Gain					[REDACTED]

TOTAL INCOME

ACTIVITY > TAXES, FEES AND EXPENSES

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
07/01/21	CASH	FEE	MANAGED ACCOUNT FEE		(\$963.08)
Total Account Fees					[REDACTED]

TOTAL TAXES, FEES AND EXPENSES

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NPS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

Pruco Securities, LLC

MN_CEBLFXFBWBWZCW_BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period August 1, 2021 to August 31, 2021
W K SCHRADER - Premier Select IRA
Account Number: [REDACTED]
Separate Acc't Manager: PRU CHOICE
Investment Discipline: FUND ADVISORY PROGRAM



Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
07/30/21	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL R6 REINVESTED @ \$7.03	3.862	(\$27.15)
07/30/21	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL R6 REINVESTED @ \$14.85	6.106	(\$90.67)
Total Securities Purchased					

ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
08/31/21	CASH	REINVESTMENT	FIDELITY TREASURY MONEY MARKET FUND REINVEST @ \$1.000	0.03	(\$0.03)

Pruco Securities, LLC

MN CEBLHSWKBBXBHKB_BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period August 1, 2021 to August 31, 2021

W K SCHRADER, Premier Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

ACTIVITY continued

Amount

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
07/30/21	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL R6		\$27.15
07/30/21	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL R6		\$90.67
08/31/21	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MONEY MARKET FUND DIVIDEND RECEIVED		\$0.03
Total Dividends					[REDACTED]
TOTAL INCOME					[REDACTED]

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

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Pruco Securities, LLC

MN CEBLH3WKBBBXYHBK BBBB 20210831

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period September 1, 2021 to September 30, 2021

W K SCHRADER, Premium Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
08/31/21	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL R6 REINVESTED @ \$6.99	4.08	(\$28.52)
08/31/21	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL R6 REINVESTED @ \$14.79	6.323	(\$93.52)
09/28/21	CASH	REINVESTMENT	HARTFORD DIVIDEND & GROWTH FUND CL F REINVEST @ \$32.5600 AS OF 09/28/21	3.922	(\$127.70)
Total Securities Purchased					[REDACTED]

Prudential Securities, LLC

MEMBER CEBILCMZBRCBTMH BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period September 1, 2021 to September 30, 2021

W K SCHRADER - Premiere Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
09/30/21	CASH	REINVESTMENT	FIDELITY TREASURY MONEY MARKET FUND REINVEST @ \$1,000	0.03	(\$0.03)

TOTAL CORE FUND ACTIVITY

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
08/31/21	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL R6		\$28.52
08/31/21	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL R6		\$83.52
09/28/21	CASH	DIVIDEND RECEIVED	HARTFORD DIVIDEND & GROWTH FUND CL F		\$127.70
09/30/21	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MONEY MARKET FUND DIVIDEND RECEIVED		\$0.03
Total Dividends					
TOTAL INCOME					

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NIS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

Pruco Securities, LLC

MMN CEBLLCMZBRCBTMH BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period October 1, 2021 to October 31, 2021

W K SCHRADER, Prudential Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
09/30/21	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL R6 REINVESTED @ \$8.81	3.905	(\$28.59)
09/30/21	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL R6 REINVESTED @ \$14.59	6.17	(\$90.02)
Total Securities Purchased					

Pruco Securities, LLC

MN CEBLNMMCBZBKJ BBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period October 1, 2021 to October 31, 2021

W K SCHRADER - Prudential Select IRA
Account Number: [REDACTED]
Separate Acct Manager: PRUDCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
10/11/21	CASH	YOU SOLD	FIDELITY TREASURY MONEY MARKET FUND @ 1 AS OF 10-11-21	(957.46)	\$957.46
10/29/21	CASH	REINVESTMENT	FIDELITY TREASURY MONEY MARKET FUND REINVEST @ \$1.000	0.02	(\$0.02)

TOTAL CORE FUND ACTIVITY

ACTIVITY > MISCELLANEOUS & CORPORATE ACTIONS

This section includes miscellaneous and certain corporate action transactions such as mergers, acquisitions, currency conversions, shares delivered or received in-kind, with a zero dollar amount at the time the transaction occurred. It also includes Return of Principal transactions.

Date	Account Type	Transaction	Description	Quantity	Amount
10/25/21	CASH	CONVERSION	ARTISAN MID CAP FUND INSTL SHR CLASS CONVERSION CNV CUSIP: [REDACTED] TRAN VALUE: (\$10,375.91)	158.823	\$0.00
10/25/21	CASH	CONVERSION	ARTISAN MID CAP FUND ADVISOR SHR CLASS CONVERSION CNV CUSIP: [REDACTED] TRAN VALUE: \$10,375.94	(181.588)	\$0.00

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
09/30/21	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL R6		\$26.59
09/30/21	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL R6		\$90.02

Dividends

Prudco Securities, LLC
MN_CEBLNNMCBBZKKJ_BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period October 1, 2021 to October 31, 2021

W K SCHRAEDER - Premiums Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
10/29/21	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MONEY MARKET FUND DIVIDEND RECEIVED		\$0.02
Total Dividends					[REDACTED]
TOTAL INCOME					[REDACTED]

ACTIVITY > TAXES, FEES AND EXPENSES

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
10/11/21	CASH	FEE	MANAGED ACCOUNT FEE		(\$957.46)
Total Account Fees					[REDACTED]
TOTAL TAXES, FEES AND EXPENSES					[REDACTED]

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NF-S is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

Pruco Securities, LLC

MN CEBLNNMCBBZKJ BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period November 1, 2021 to November 30, 2021

W K SCHRAEDER - Bramiana Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
10/28/21	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL R6 REINVESTED @ \$6.75	4.021	(\$27.14)
10/29/21	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL R6 REINVESTED @ \$14.56	6.234	(\$90.76)
11/12/21	CASH	YOU BOUGHT	PGIM TOTAL RETURN BOND CL R6 PROSPECTUS UNDER SEPARATE COVER SOLICITED ORDER @ 14.5700	546.534	(\$7,963.00)
11/23/21	CASH	REINVESTMENT	ARTISAN MID CAP FUND INSTL REINVEST @ \$54,400 AS OF 11/23/21	22.972	(\$1,248.70)
11/23/21	CASH	REINVESTMENT	ARTISAN MID CAP FUND INSTL REINVEST @ \$54,400 AS OF 11/23/21	2.104	(\$114.45)

Pruco Securities, LLC

MAN CEBLOXTCBRCBHAM BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period November 1, 2021 to November 30, 2021

WIK SCHRADER - Premiere Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

PURCHASES, SALES, AND REDEMPTIONS *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Total Securities Purchased					

Securities Sold

11/12/21	CASH	YOU SOLD	BROWN ADVISORY GROWTH EQUITY INSTL SOLICITED ORDER @ 40,2500	(111.901)	\$4,504.00
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11/12/21	CASH	YOU SOLD	EMERALD GROWTH INSTITUTIONAL SOLICITED ORDER @ 39,8700	(53.399)	\$2,129.00
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11/12/21	CASH	YOU SOLD	HARTFORD DIVIDEND & GROWTH FUND C.E. SOLICITED ORDER @ 34,4500	(71.756)	\$2,472.00
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Total Securities Sold

ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/12/21	CASH	YOU BOUGHT	FIDELITY TREASURY MONEY MARKET FUND @ 1	2.472	(\$2,472.00)

11/12/21	CASH	YOU SOLD	FIDELITY TREASURY MONEY MARKET FUND MORNING TRADE @ 1	(1.330)	\$1,330.00
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11/30/21	CASH	REINVESTMENT	FIDELITY TREASURY MONEY MARKET FUND REINVEST @ \$1,000	0.02	(\$0.02)
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TOTAL CORE FUND ACTIVITY

Pruco Securities, LLC

MN CEBLQXFCBCHMM_BBBE [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period November 1, 2021 to November 30, 2021

W K SCHRADER - Premier Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Dividends					
10/29/21	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL R6		\$27.14
10/29/21	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL R6		\$90.76
11/30/21	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MONEY MARKET FUND DIVIDEND RECEIVED		\$0.02
Total Dividends					
[REDACTED]					
Capital Gain					
11/23/21	CASH	LONG CAP GAIN	ARTISAN MID CAP FUND INSTL		\$1,249.70
11/23/21	CASH	SHORT CAP GAIN	ARTISAN MID CAP FUND INSTL		\$114.46
Total Capital Gain					
[REDACTED]					
TOTAL INCOME					
[REDACTED]					

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Misc. & Corporate Actions, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NFS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

Prud Securities, LLC

MN CEBLQXRCBCHMM BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2021 to December 31, 2021

W K SCHRADER - Prudential Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRU CHOICE
Investment Discipline: FUND ADVISORY PROGRAM



Activity

PURCHASES, SALES, AND REDEMPTIONS

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
Securities Purchased					
11/30/21	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL R6 REINVESTED @ \$6.73	3.947	(\$26.56)
11/30/21	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL R6 REINVESTED @ \$14.56	7.308	(\$106.40)
12/08/21	CASH	REINVESTMENT	MFS RESEARCH INTERNATIONAL FD R6 REINVEST @ \$24.0900	20.868	(\$502.72)
12/10/21	CASH	REINVESTMENT	EATON VANCE GROWTH CLASS I REINVEST @ \$41.2300	45.67	(\$1,882.99)
12/10/21	CASH	REINVESTMENT	EATON VANCE GROWTH CLASS I REINVEST @ \$41.2300	0.353	(\$14.57)
12/10/21	CASH	REINVESTMENT	HARTFORD DIVIDEND & GROWTH FUND CL F REINVEST @ \$33.0800 AS OF 12/10/21	58.216	(\$1,926.38)

Prudential Securities, LLC

MN CEBL TJOTB CDOCV BBBE [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2021 to December 31, 2021

W K SCHRADER *Premiere Select IRA*
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



Prudential

PURCHASES, SALES, AND REDEMPTIONS *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/10/21	CASH	REINVESTMENT	HARTFORD DIVIDEND & GROWTH FUND CL F REINVEST @ \$33.0800 AS OF 12/10/21	4.309	(\$142.60)
12/10/21	CASH	REINVESTMENT	ALLSPRING CAB MID CAP VALUE FD INSTL REINVEST @ \$46.1200	27.48	(\$1,287.38)
12/10/21	CASH	REINVESTMENT	ALLSPRING CAB MID CAP VALUE FD INSTL REINVEST @ \$46.1200	4.214	(\$184.35)
12/14/21	CASH	REINVESTMENT	BROWN ADVISORY GROWTH EQUITY INSTL REINVEST @ \$33.9700	66.706	(\$2,266.00)
12/14/21	CASH	REINVESTMENT	BROWN ADVISORY GROWTH EQUITY INSTL REINVEST @ \$33.9700	1.407	(\$47.81)
12/14/21	CASH	REINVESTMENT	EMERALD GROWTH INSTITUTIONAL REINVEST @ \$28.7400	21.641	(\$643.60)
12/14/21	CASH	REINVESTMENT	EMERALD GROWTH INSTITUTIONAL REINVEST @ \$28.7400	6.518	(\$193.84)
12/14/21	CASH	REINVESTMENT	HARDING LOEWER INTL EQUITY PORT INSTL REINVEST @ \$29.1800 AS OF 12/14/21	18.718	(\$546.20)
12/14/21	CASH	REINVESTMENT	HARDING LOEWER INTL EQUITY PORT INSTL REINVEST @ \$29.1800 AS OF 12/14/21	14.185	(\$413.91)
12/15/21	CASH	REINVESTMENT	MASSACHUSETTS INVEST TRUST FUND CL R6 REINVEST @ \$38.0600	75.522	(\$2,949.87)
12/15/21	CASH	REINVESTMENT	MASSACHUSETTS INVEST TRUST FUND CL R6 REINVEST @ \$39.0600	8.255	(\$322.45)
12/15/21	CASH	REINVESTMENT	MASSACHUSETTS INVEST TRUST FUND CL R6 REINVEST @ \$39.0600	7.006	(\$273.66)
12/16/21	CASH	REINVESTMENT	BAIRD MID CAP INSTL CLASS SHS REINVEST @ \$28.3600 AS OF 12/16/21	32.772	(\$929.41)
12/16/21	CASH	REINVESTMENT	BAIRD MID CAP INSTL CLASS SHS REINVEST @ \$28.3600 AS OF 12/16/21	3.129	(\$88.75)
12/17/21	CASH	REINVESTMENT	VICTORY SYCAMORE SMALL COMPANY OPP R6 REINVEST @ \$50.8200	11.917	(\$605.62)

Pruco Securities, LLC

MAN CEBLTIQTBCDCV BBBB [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2021 to December 31, 2021

W K SCHRADER - Premium Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



PURCHASES, SALES, AND REDEMPTIONS *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/17/21	CASH	REINVESTMENT	VICTORY SYCAMORE SMALL COMPANY OPP R6 REINVEST @ \$50.8200	2.415	(\$122.74)
12/17/21	CASH	REINVESTMENT	ALLSPRING CAB MID CAP VALUE FD INSTL REINVEST @ \$45.9400	1.536	(\$70.57)
12/23/21	CASH	REINVESTMENT	PGIM GLOBAL TOTAL RETURN CL R6 REINVEST @ \$6.8800 AS OF 12/23/21	6.081	(\$40.62)
12/23/21	CASH	REINVESTMENT	PGIM TOTAL RETURN BOND CL R6 REINVEST @ \$14.4800 AS OF 12/23/21	9.845	(\$142.55)
12/23/21	CASH	REINVESTMENT	VICTORY SYCAMORE SMALL COMPANY OPP R6 REINVEST @ \$50.8600	0.604	(\$30.78)
12/29/21	CASH	REINVESTMENT	HARTFORD DIVIDEND & GROWTH FUND CL F REINVEST @ \$33.8800 AS OF 12/29/21	5.484	(\$185.79)
Total Securities Purchased					[REDACTED]

ACTIVITY > CORE FUND ACTIVITY

For more information about the operation of your core account, please refer to your Customer Agreement.

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/31/21	CASH	REINVESTMENT	FIDELITY TREASURY MONEY MARKET FUND REINVEST @ \$1.000	0.03	(\$0.03)

TOTAL CORE FUND ACTIVITY

[REDACTED]

ACTIVITY > INCOME

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
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Dividends

11/30/21	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL R6		\$26.56
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Pruco Securities, LLC

MN_CEBLTIQTBDCDCV_BBBI [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2021 to December 31, 2021

W K SCHRAEDER - Braxiera Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > INCOME continued

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
11/30/21	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL R6		\$106.40
12/08/21	CASH	DIVIDEND RECEIVED	MFS RESEARCH INTERNATIONAL FD R6		\$602.72
12/14/21	CASH	DIVIDEND RECEIVED	HARDING LOEWNER INTL EQUITY PORT INSTL		\$646.20
12/15/21	CASH	DIVIDEND RECEIVED	MASSACHUSETTS INVEST TRUST FUND CL R6		\$273.66
12/17/21	CASH	DIVIDEND RECEIVED	ALLSPRING CAB MID CAP VALUE FD INSTL		\$70.57
12/23/21	CASH	DIVIDEND RECEIVED	PGIM GLOBAL TOTAL RETURN CL R6		\$40.62
12/23/21	CASH	DIVIDEND RECEIVED	PGIM TOTAL RETURN BOND CL R6		\$142.55
12/23/21	CASH	DIVIDEND RECEIVED	VICTORY SYCAMORE SMALL COMPANY OPP R6		\$30.78
12/28/21	CASH	DIVIDEND RECEIVED	HARTFORD DIVIDEND & GROWTH FUND CL F		\$185.79
12/31/21	CASH	DIVIDEND RECEIVED	FIDELITY TREASURY MONEY MARKET FUND DIVIDEND RECEIVED		\$0.03
Total Dividends					[REDACTED]

Capital Gain

12/10/21	CASH	LONG CAP GAIN	EATON VANCE GROWTH CLASS I		\$1,882.99
12/10/21	CASH	LONG CAP GAIN	HARTFORD DIVIDEND & GROWTH FUND CL F		\$1,926.38
12/10/21	CASH	LONG CAP GAIN	ALLSPRING CAB MID CAP VALUE FD INSTL		\$1,267.36
12/10/21	CASH	SHORT CAP GAIN	EATON VANCE GROWTH CLASS I		\$14.57
12/10/21	CASH	SHORT CAP GAIN	HARTFORD DIVIDEND & GROWTH FUND CL F		\$142.80
12/10/21	CASH	SHORT CAP GAIN	ALLSPRING CAB MID CAP VALUE FD INSTL		\$194.35
12/14/21	CASH	LONG CAP GAIN	BROWN ADVISORY GROWTH EQUITY INSTL		\$2,268.00
12/14/21	CASH	LONG CAP GAIN	EMERALD GROWTH INSTITUTIONAL		\$643.60

Pruco Securities, LLC

MN_CEBLTJQTBBCDQCV_BBBI [REDACTED]

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Statement for the Period December 1, 2021 to December 31, 2021

W.K. SCHRAEDER - Brimiera Select IRA
Account Number: [REDACTED]

Separate Acct Manager: PRUCHOICE
Investment Discipline: FUND ADVISORY PROGRAM



ACTIVITY > INCOME *continued*

Settlement Date	Account Type	Transaction	Description	Quantity	Amount
12/14/21	CASH	LONG CAP GAIN	HARDING LOEWNER INTL EQUITY PORT INSTL		\$413.91
12/14/21	CASH	SHORT CAP GAIN	BROWN ADVISORY GROWTH EQUITY INSTL		\$47.81
12/14/21	CASH	SHORT CAP GAIN	EMERALD GROWTH INSTITUTIONAL		\$193.84
12/15/21	CASH	LONG CAP GAIN	MASSACHUSETTS INVEST TRUST FUND CL R6		\$2,949.87
12/15/21	CASH	SHORT CAP GAIN	MASSACHUSETTS INVEST TRUST FUND CL R6		\$322.46
12/16/21	CASH	LONG CAP GAIN	BAIRD MID CAP INSTL CLASS SHS		\$829.41
12/16/21	CASH	SHORT CAP GAIN	BAIRD MID CAP INSTL CLASS SHS		\$88.75
12/17/21	CASH	LONG CAP GAIN	VICTORY SYCAMORE SMALL COMPANY OPP R6		\$605.62
12/17/21	CASH	SHORT CAP GAIN	VICTORY SYCAMORE SMALL COMPANY OPP R6		\$122.74
Total Capital Gain					[REDACTED]
TOTAL INCOME					[REDACTED]

Miscellaneous Footnotes

CHANGE IN VALUE OF YOUR PORTFOLIO is the change in market value of your portfolio assets over the time period shown. The portfolio assets include the market value of all the securities in the account, plus insurance and annuity assets if applicable. The time frame of the graph is from account opening or September 2011, whichever is later, to the current period. Please note that large increases and/or declines in the change in the value of the portfolio can be due to additions, distribution and/or performance.

CHANGE IN VALUE reflects appreciation or depreciation of your holdings due to price changes plus any activity not reflected within Additions and Withdrawals, Income, Taxes, Fees and Expenses, and Other Activity sections. Change in Value does not reflect activity related to assets in which NPS is not the custodian (e.g. Insurance and Annuities, Assets Held Away and Other Assets Held Away).

Prudential Securities, LLC

MEMBER SIPC

Account carried with National Financial Services LLC, Member
NYSE, SIPC

Cash Transaction Detail

Currency code	Portfolio no	Cash date	Units	Description	US date	Principal/income
Additions						
EUR		EUR-P 03/17/2021	2S Sale	(5,000) LVMH MOET HENNESSY VUITTON @ \$872.842	268 (SALE CASH SETTLEMENT)	3,360.85 0.00
Total additions EUR						
HKD		HKD-P 01/13/2021	2S Sale	(75,000) TENCENT HOLDINGS LTD @ \$76.432	268 (SALE CASH SETTLEMENT)	5,719.31 0.00
Total additions HKD						
USD		USD-P 01/06/2021	1L Dividend	35,000 AMPHENOL CORP	183 (CASH DIVIDEND)	\$10.15 \$0.00
Total additions USD						
USD		USD-P 01/06/2021	2S Sale	(5,000) THERMO FISHER SCIENTIFIC @ \$469.357	268 (SALE CASH SETTLEMENT)	\$2,346.54 \$0.00
Total additions USD						
USD		USD-P 01/06/2021	2S Sale	(10,000) DANAHER CORP @ \$222.544	268 (SALE CASH SETTLEMENT)	\$2,224.99 \$0.00
Total additions USD						
USD		USD-P 01/06/2021	2S Sale	(5,000) ALIBABA GROUP HOLDINGS LTD @ \$226.789	268 (SALE CASH SETTLEMENT)	\$1,133.72 \$0.00
Total additions USD						
USD		USD-P 01/06/2021	1L Dividend	50,000 PERSICO INC	183 (CASH DIVIDEND)	\$51.13 \$0.00
Total additions USD						
USD		USD-P 01/06/2021	2S Sale	(242,000) FED HERMES TR UST OBL 59 @ \$1.000	227 (SWEEP REDEMPTION)	\$242.00 \$0.00
Total additions USD						
USD		USD-P 01/06/2021	1L Dividend	24,000 CHUBB LIMITED	183 (CASH DIVIDEND)	\$18.72 \$0.00
Total additions USD						
USD		USD-P 01/06/2021	2S Sale	(547,000) FED HERMES TR UST OBL 59 @ \$1.000	227 (SWEEP REDEMPTION)	\$547.00 \$0.00
Total additions USD						
USD		USD-P 01/06/2021	2S Sale	(20,000) ALIBABA GROUP HOLDINGS LTD @ \$229.691	268 (SALE CASH SETTLEMENT)	\$4,592.92 \$0.00
Total additions USD						
USD		USD-P 01/06/2021	1L Dividend	20,000 PIONEER NATURAL RESOURCES	183 (CASH DIVIDEND)	\$11.00 \$0.00
Total additions USD						
USD		USD-P 01/06/2021	1L Dividend	20,000 THERMO FISHER SCIENTIFIC	183 (CASH DIVIDEND)	\$4.40 \$0.00

Cash Transaction Detail

Report dated March 31, 2022
 W. KURT SCHRAEDER IM
 All transactions entered between 01/01/2021 and 12/31/2021

Currency: USD Portfolio no: Cash date: Units Description DS code Principal Income

Additions

USD	USD-P 01/15/2021 2# Money mkt fund sale	(5,396.000)	FED HERMES TR UST OBL 59 @ \$1.000	227 (SWEEP REDEMPTION)	\$5,396.00	\$0.00
	01/20/2021 2# Money mkt fund sale	(869.000)	FED HERMES TR UST OBL 59 @ \$1.000	227 (SWEEP REDEMPTION)	\$869.00	\$0.00
	2S Sale	(24.000)	CHUBB LIMITED @ \$155.344	268 (SALE CASH SETTLEMENT)	\$3,727.25	\$0.00
		(10.000)	UNION PACIFIC CORP @ \$216.731	263 (SALE CASH SETTLEMENT)	\$2,166.96	\$0.00
	01/21/2021 2# Money mkt fund sale	(2,138.000)	FED HERMES TR UST OBL 59 @ \$1.000	227 (SWEEP REDEMPTION)	\$2,138.00	\$0.00
	01/29/2021 1L Dividend	0.000	OW MUNICIPAL BOND FUND TAX YEAR 2020 REALLOCATION OF 03/17/2020 PAYMENT	183 (CASH DIVIDEND)	(\$1,587.45)	\$0.00
		0.000	OW MUNICIPAL BOND FUND TAX YEAR 2020 REALLOCATION OF 06/16/2020 PAYMENT	183 (CASH DIVIDEND)	(\$1,494.07)	\$0.00
		0.000	OW MUNICIPAL BOND FUND TAX YEAR 2020 REALLOCATION OF 03/17/2020 PAYMENT	183 (CASH DIVIDEND)	\$1,470.25	\$0.00
		0.000	OW MUNICIPAL BOND FUND TAX YEAR 2020 REALLOCATION OF 09/15/2020 PAYMENT	183 (CASH DIVIDEND)	(\$1,376.12)	\$0.00
		0.000	OW MUNICIPAL BOND FUND TAX YEAR 2020 REALLOCATION OF 06/16/2020 PAYMENT	183 (CASH DIVIDEND)	\$1,322.12	\$0.00
		0.000	OW MUNICIPAL BOND FUND TAX YEAR 2020 REALLOCATION OF 09/15/2020 PAYMENT	183 (CASH DIVIDEND)	\$1,217.76	\$0.00
		0.000	OW MUNICIPAL BOND FUND TAX YEAR 2020 REALLOCATION OF 12/15/2020 PAYMENT	183 (CASH DIVIDEND)	(\$683.10)	\$0.00
		0.000	OW MUNICIPAL BOND FUND TAX YEAR 2020 REALLOCATION OF 12/15/2020 PAYMENT	183 (CASH DIVIDEND)	(\$663.09)	\$0.00
		0.000	OW MUNICIPAL BOND FUND TAX YEAR 2020 REALLOCATION OF 12/15/2020 PAYMENT	183 (CASH DIVIDEND)	\$686.78	\$0.00

Cash Transaction Detail

Currency: USD Portfolio no: Cash date: Units: Description: DS code: Principal Income

Additions

USD	USD-P 01/29/2021 1L Dividend	0.000	OW MUNICIPAL BOND FUND TAX YEAR 2020 REALLOCATION OF 12/15/2020 PAYMENT	183 (CASH DIVIDEND)	\$686.78	\$0.00
		0.000	OW MUNICIPAL BOND FUND TAX YEAR 2020 REALLOCATION OF 12/15/2020 PAYMENT	183 (CASH DIVIDEND)	(\$253.80)	\$0.00
		0.000	OW MUNICIPAL BOND FUND TAX YEAR 2020 REALLOCATION OF 12/15/2020 PAYMENT	183 (CASH DIVIDEND)	(\$256.00)	\$0.00
		0.000	OW MUNICIPAL BOND FUND TAX YEAR 2020 REALLOCATION OF 03/17/2020 PAYMENT	183 (CASH DIVIDEND)	\$172.29	\$0.00
		0.000	OW MUNICIPAL BOND FUND TAX YEAR 2020 REALLOCATION OF 05/16/2020 PAYMENT	183 (CASH DIVIDEND)	\$154.94	\$0.00
		0.000	OW MUNICIPAL BOND FUND TAX YEAR 2020 REALLOCATION OF 08/15/2020 PAYMENT	183 (CASH DIVIDEND)	\$146.70	\$0.00
		0.000	OW MUNICIPAL BOND FUND TAX YEAR 2020 REALLOCATION OF 12/15/2020 PAYMENT	183 (CASH DIVIDEND)	\$68.77	\$0.00
		0.000	OW MUNICIPAL BOND FUND TAX YEAR 2020 REALLOCATION OF 12/15/2020 PAYMENT	183 (CASH DIVIDEND)	\$68.76	\$0.00
		0.000	American Tower Corp TAX YEAR 2020 REALLOCATION OF 04/29/2020 PAYMENT	183 (CASH DIVIDEND)	\$28.74	\$0.00
		0.000	American Tower Corp TAX YEAR 2020 REALLOCATION OF 04/29/2020 PAYMENT	183 (CASH DIVIDEND)	(\$22.80)	\$0.00
		0.000	American Tower Corp TAX YEAR 2020 REALLOCATION OF 10/16/2020 PAYMENT	183 (CASH DIVIDEND)	\$22.58	\$0.00
		0.000	American Tower Corp TAX YEAR 2020 REALLOCATION OF 07/10/2020 PAYMENT	183 (CASH DIVIDEND)	(\$22.00)	\$0.00
		0.000	American Tower Corp TAX YEAR 2020 REALLOCATION OF 07/10/2020 PAYMENT	183 (CASH DIVIDEND)	\$21.79	\$0.00

Cash Transaction Detail

Report dated March 31, 2022
 W. KURT SCHRADER IM
 All transactions entered between 01/01/2021 and 12/31/2021

Currency	Portfolio no	Cash date	Units	Description	OS code	Price	Income
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Additions

USD	USD-P	01/29/2021	1L Dividend	0.000	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$18.91	\$0.00
					TAX YEAR 2020 REALLOCATION OF 03/17/2020 PAYMENT			
				0.000	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$17.01	\$0.00
					TAX YEAR 2020 REALLOCATION OF 06/16/2020 PAYMENT			
				0.000	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$15.06	\$0.00
					TAX YEAR 2020 REALLOCATION OF 09/15/2020 PAYMENT			
				0.000	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$7.55	\$0.00
					TAX YEAR 2020 REALLOCATION OF 12/15/2020 PAYMENT			
				0.000	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$7.55	\$0.00
					TAX YEAR 2020 REALLOCATION OF 12/15/2020 PAYMENT			
				40.000	DANAHER CORP	183 (CASH DIVIDEND)	\$7.20	\$0.00
				0.000	American Tower Corp	183 (CASH DIVIDEND)	\$0.26	\$0.00
					TAX YEAR 2020 REALLOCATION OF 04/29/2020 PAYMENT			
				0.000	American Tower Corp	183 (CASH DIVIDEND)	\$0.22	\$0.00
					TAX YEAR 2020 REALLOCATION OF 10/16/2020 PAYMENT			
				0.000	American Tower Corp	183 (CASH DIVIDEND)	\$0.21	\$0.00
					TAX YEAR 2020 REALLOCATION OF 07/10/2020 PAYMENT			
			1V Short term cap gain	0.000	OW MUNICIPAL BOND FUND	182 (CAPITAL GAINS DIST RECEIPT (SHORT))	\$233.80	\$0.00
					TAX YEAR 2020 REALLOCATION OF 12/15/2020 PAYMENT			
				0.000	OW MUNICIPAL BOND FUND	182 (CAPITAL GAINS DIST RECEIPT (SHORT))	\$233.80	\$0.00
					TAX YEAR 2020 REALLOCATION OF 12/15/2020 PAYMENT			
			02/01/2021 1L Dividend	0.000	BLACKSTONE GROUP INC	183 (CASH DIVIDEND)	\$61.00	\$0.00
					TAX YEAR 2020 REALLOCATION OF 02/18/2020 PAYMENT			
				0.000	BLACKSTONE GROUP INC	183 (CASH DIVIDEND)	\$56.70	\$0.00
					TAX YEAR 2020 REALLOCATION OF 11/16/2020 PAYMENT			
				0.000	BLACKSTONE GROUP INC	183 (CASH DIVIDEND)	\$46.80	\$0.00
					TAX YEAR 2020 REALLOCATION OF 05/11/2020 PAYMENT			

Cash Transaction Detail

Currency: USD Portfolio no: Cash date: Units: Description US code Principal/Income

Additions

USD	USD P	02/01/2021	1L Dividend	0.000	BLACKSTONE GROUP INC TAX YEAR 2020 REALLOCATION OF 08/10/2020 PAYMENT	183 (CASH DIVIDEND)	(\$38.85)	\$0.00
				0.000	BLACKSTONE GROUP INC TAX YEAR 2020 REALLOCATION OF 02/18/2020 PAYMENT	183 (CASH DIVIDEND)	\$28.89	\$0.00
				0.000	BLACKSTONE GROUP INC TAX YEAR 2020 REALLOCATION OF 11/16/2020 PAYMENT	183 (CASH DIVIDEND)	\$27.78	\$0.00
				0.000	BLACKSTONE GROUP INC TAX YEAR 2020 REALLOCATION OF 05/11/2020 PAYMENT	183 (CASH DIVIDEND)	\$22.98	\$0.00
				0.000	BLACKSTONE GROUP INC TAX YEAR 2020 REALLOCATION OF 08/10/2020 PAYMENT	183 (CASH DIVIDEND)	\$19.04	\$0.00
				0.000	BLACKSTONE GROUP INC TAX YEAR 2020 REALLOCATION OF 02/18/2020 PAYMENT	183 (RETURN OF CAPITAL CASH RECEIPT)	\$91.11	\$0.00
				0.000	BLACKSTONE GROUP INC TAX YEAR 2020 REALLOCATION OF 11/16/2020 PAYMENT	183 (RETURN OF CAPITAL CASH RECEIPT)	\$28.92	\$0.00
				0.000	BLACKSTONE GROUP INC TAX YEAR 2020 REALLOCATION OF 05/11/2020 PAYMENT	183 (RETURN OF CAPITAL CASH RECEIPT)	\$28.87	\$0.00
				0.000	BLACKSTONE GROUP INC TAX YEAR 2020 REALLOCATION OF 08/10/2020 PAYMENT	183 (RETURN OF CAPITAL CASH RECEIPT)	\$19.81	\$0.00
				0.000	BLACKSTONE GROUP INC TAX YEAR 2020 REALLOCATION OF 08/10/2020 PAYMENT	183 (CASH DIVIDEND)	\$30.26	\$0.00
				0.000	W. KURT SCHRADER CUSTODY TRANSFER OF CASH FROM CUSTODY TO IM XI	1 (CASH RECEIPT)	\$5,000.00	\$0.00
				0.000	FED HERMES TR UST OBL 59 @ \$1.000	227 (SWEEP REDEMPTION)	\$282.00	\$0.00
				(18,000)	S&P 500 DEP RCPTS @ \$382.582	263 (SALE CASH SETTLEMENT)	\$6,885.87	\$0.00
				60,000	TEXAS INSTRUMENTS INC	183 (CASH DIVIDEND)	\$61.20	\$0.00
				20,000	AIR PRODUCTS & CHEMICALS	183 (CASH DIVIDEND)	\$26.80	\$0.00

Cash Transaction Detail

Currency	Portfolio no	Cash date	Units	Description	IS code	Principal Income
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USD	USD-P	02/09/2021	25	Sale		
			(609,418)	OW LARGE CAP STRATEGIES FD @ \$18.050	263 (SALE CASH SETTLEMENT)	\$11,006.90 \$0.00
			(10,000)	FIDELITY NATL INFO SVCS @ \$132.298	263 (SALE CASH SETTLEMENT)	\$1,322.65 \$0.00
			(3,000)	S&P 500 DEP RCPTS @ \$387.473	263 (SALE CASH SETTLEMENT)	\$1,162.33 \$0.00
		02/10/2021	28	Sale		
			(50,000)	FIDELITY NATL INFO SVCS @ \$182.881	263 (SALE CASH SETTLEMENT)	\$6,642.38 \$0.00
			(5,000)	BLACKROCK INC @ \$722.050	263 (SALE CASH SETTLEMENT)	\$3,610.02 \$0.00
			(5,000)	CINTAS CORP @ \$349.040	263 (SALE CASH SETTLEMENT)	\$1,745.01 \$0.00
			(5,000)	HOME DEPOT @ \$278.736	263 (SALE CASH SETTLEMENT)	\$1,393.49 \$0.00
			(5,000)	SEA LTD ADR @ \$287.040	263 (SALE CASH SETTLEMENT)	\$1,336.02 \$0.00
			(5,000)	MICROSOFT CORP @ \$241.490	263 (SALE CASH SETTLEMENT)	\$1,207.27 \$0.00
			(5,000)	AMERICAN WATER WORKS CO @ \$162.390	263 (SALE CASH SETTLEMENT)	\$811.78 \$0.00
			(5,000)	CONOCOPHILLIPS @ \$46.550	263 (SALE CASH SETTLEMENT)	\$232.59 \$0.00
		02/11/2021	11	Dividend	183 (CASH DIVIDEND)	\$32.80 \$0.00
		02/12/2021	11	Dividend	183 (CASH DIVIDEND)	\$35.90 \$0.00
				INCLUDES WITHHOLDING TAX OF \$1.73		
		23	Money mkt fund sale	(2,506,000) FED HERMES TR UST OBL 59 @ \$1.000	227 (SWEEP REDEMPTION)	\$2,506.00 \$0.00

Cash Transaction Detail

Currency code	Portfolio no	Cash date	Units	Description	US code	Principal Income
Additions						
USD	USD-P	03/12/2021	2S Sale	(4,099) BLACKROCK INC @ \$723.515	263 ISALE CASH SETTLEMENT)	\$2,892.84 \$0.00
02/16/2021	1L Dividend		105,000	BLACKSTONE GROUP INC	183 (CASH DIVIDEND)	\$100.80 \$0.00
2# Money mkt fund sale			(4,409,000)	FED HERMES TR UST DBL 59 @ \$1,000	227 (SWEEP REDEMPTION)	\$4,409.00 \$0.00
02/18/2021	1L Dividend		0,000	OW CREDIT INCOME FUND TAX YEAR 2020 REALLOCATION OF 12/23/2020 PAYMENT	183 (CASH DIVIDEND)	(\$979.35) \$0.00
			0,000	OW CREDIT INCOME FUND TAX YEAR 2020 REALLOCATION OF 12/23/2020 PAYMENT	183 (CASH DIVIDEND)	\$920.73 \$0.00
			0,000	OW CREDIT INCOME FUND TAX YEAR 2020 REALLOCATION OF 12/23/2020 PAYMENT	183 (CASH DIVIDEND)	\$52.10 \$0.00
			0,000	OW CREDIT INCOME FUND TAX YEAR 2020 REALLOCATION OF 12/23/2020 PAYMENT	183 (CASH DIVIDEND)	\$6.52 \$0.00
02/26/2021	1L Dividend		85,000	CITIGROUP INC	183 (CASH DIVIDEND)	\$43.35 \$0.00
			100,000	SCHWAB CHARLES CORP NEW	183 (CASH DIVIDEND)	\$18.00 \$0.00
			8,000	MSCI INC	183 (CASH DIVIDEND)	\$5.24 \$0.00
			110,000	CONOCOPHILLIPS	183 (CASH DIVIDEND)	\$47.30 \$0.00
03/01/2021	1L Dividend		75,000	VISA INC	183 (CASH DIVIDEND)	\$24.00 \$0.00
			40,800	ZOETIS INC	183 (CASH DIVIDEND)	\$10.80 \$0.00
03/02/2021	1L Dividend		25,000	AMERICAN WATER WORKS CO	183 (CASH DIVIDEND)	\$13.75 \$0.00
03/04/2021	2# Money mkt fund sale		(300,000)	FED HERMES TR UST DBL 59 @ \$1,000	227 (SWEEP REDEMPTION)	\$300.00 \$0.00
03/05/2021	L1 Stock Dividend		35,000	AMPHENDL CORP STOCK SPLIT	155 (STOCK SPLIT)	\$0.00 \$0.00
03/08/2021	2# Money mkt fund sale		(2,165,000)	FED HERMES TR UST DBL 59 @ \$1,000	227 (SWEEP REDEMPTION)	\$2,165.00 \$0.00

Cash Transaction Detail

Currency code	Portfolio no	Cash date	Units	Description	DS code	Principal/Income
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Additions

USD	USD-P	03/08/2021	2S Sale	(10.000) MICROSOFT CORP @\$227.307	263 (SALE CASH SETTLEMENT)	\$2,272.66 \$0.00
		03/10/2021	1L Dividend	10.000 S&P GLOBAL INC.	183 (CASH DIVIDEND)	\$7.70 \$0.00
		03/11/2021	1L Dividend	120.000 MICROSOFT CORP	183 (CASH DIVIDEND)	\$87.20 \$0.00
		03/15/2021	1L Dividend	10.000 CINTAS CORP	183 (CASH DIVIDEND)	\$7.50 \$0.00
		2# Money mkt fund sale		(5,392.000) FED HERMES TR UST OBL 59 @\$1.000	227 (SWEEP REDEMPTION)	\$5,392.00 \$0.00
		03/16/2021	1L Dividend	39,481.140 OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$1,223.92 \$0.00
				9,066.390 OW CREDIT INCOME FUND	183 (CASH DIVIDEND)	\$988.24 \$0.00
		03/17/2021	2S Sale	(2,835.861) OW MUNICIPAL BOND FUND @\$12.430	263 (SALE CASH SETTLEMENT)	\$35,250.00 \$0.00
				(145.862) OW SMALL & MIDCAP STRAT FD @\$19.220	263 (SALE CASH SETTLEMENT)	\$2,800.00 \$0.00
				(5.000) UNITEDHEALTH GROUP INC @\$356.920	263 (SALE CASH SETTLEMENT)	\$1,794.39 \$0.00
				(5.000) FACEBOOK INC-A @\$269.150	263 (SALE CASH SETTLEMENT)	\$1,345.54 \$0.00
				(5.000) MICROSOFT CORP @\$234.900	263 (SALE CASH SETTLEMENT)	\$1,174.29 \$0.00
				(5.000) DANAHER CORP @\$215.200	263 (SALE CASH SETTLEMENT)	\$1,075.79 \$0.00
				(5.000) UNION PACIFIC CORP @\$214.180	263 (SALE CASH SETTLEMENT)	\$1,070.69 \$0.00
				(5.000) TEXAS INSTRUMENTS INC @\$173.470	263 (SALE CASH SETTLEMENT)	\$867.14 \$0.00
				(5.000) ZOETIS INC @\$152.770	263 (SALE CASH SETTLEMENT)	\$763.65 \$0.00

Cash Transaction Detail

Report dated March 31, 2022
W. KURT SCHRADER IM
 All transactions entered between 01/01/2021 and 12/31/2021

Currency: USD
 Portfolio no: Cash Date:

Units: Description

DS code

Principal Income

Additions

USD **USD-P 03/17/2021 2S Sale**

03/23/2021 1L Dividend	(20,000)	BANK OF AMERICA CORP @ \$38.000	263 (SALE CASH SETTLEMENT)	\$759.19	\$0.00
03/25/2021 1L Dividend	(5,000)	NIKE INC CL B @ \$140.700	263 (SALE CASH SETTLEMENT)	\$703.30	\$0.00
03/25/2021 1L Dividend	(5,000)	APPLE INC @ \$121.460	263 (SALE CASH SETTLEMENT)	\$607.05	\$0.00
03/31/2021 1L Dividend	(5,000)	AMEREN CORP @ \$77.550	263 (SALE CASH SETTLEMENT)	\$387.55	\$0.00
03/25/2021 1L Dividend	(5,000)	CITIGROUP INC @ \$75.370	263 (SALE CASH SETTLEMENT)	\$376.65	\$0.00
03/23/2021 1L Dividend	(5,000)	BLACKSTONE GROUP INC @ \$75.140	263 (SALE CASH SETTLEMENT)	\$375.50	\$0.00
03/25/2021 1L Dividend	(5,000)	FORTIVE CO @ \$67.480	263 (SALE CASH SETTLEMENT)	\$337.20	\$0.00
03/25/2021 1L Dividend	(5,000)	CONOCOPHILLIPS @ \$57.920	263 (SALE CASH SETTLEMENT)	\$289.45	\$0.00
03/23/2021 1L Dividend	25,000	UNITEDHEALTH GROUP INC	183 (CASH DIVIDEND)	\$31.25	\$0.00
03/25/2021 1L Dividend	10,000	HOME DEPOT	183 (CASH DIVIDEND)	\$16.50	\$0.00
03/25/2021 1L Dividend	295,000	BANK OF AMERICA CORP	183 (CASH DIVIDEND)	\$53.10	\$0.00
03/31/2021 1L Dividend	65,000	FORTIVE CO	183 (CASH DIVIDEND)	\$4.55	\$0.00
03/31/2021 1L Dividend	50,000	PEPSICO INC	183 (CASH DIVIDEND)	\$51.13	\$0.00
03/31/2021 1L Dividend	46,000	UNION PACIFIC CORP	183 (CASH DIVIDEND)	\$48.85	\$0.00
03/31/2021 1L Dividend	50,000	AMEREN CORP	183 (CASH DIVIDEND)	\$27.50	\$0.00
03/31/2021 1L Dividend	45,000	ROSS STORES INC	183 (CASH DIVIDEND)	\$12.83	\$0.00
03/31/2021 1L Dividend	70,000	NIKE INC CL B	183 (CASH DIVIDEND)	\$19.25	\$0.00

Cash Transaction Detail

Report dated March 31, 2022
 M. KURT SCHRADER IM
 All transactions entered between 01/01/2021 and 12/31/2021

Currency: USD Portfolio: Cash Date: Units: Description: US Code: Principal Income:

Additions

USD	USD-P	04/06/2021	2# Money mkt fund sale	(295.000)	FED HERMES TR UST OBL 59 @ \$1.000	227 (SWEEP REDEMPTION)	\$295.00	\$0.00
04/14/2021	1L Dividend	20.000	PIONEER NATURAL RESOURCES	183 (CASH DIVIDEND)	\$11.20	\$0.00		
		70.000	AMPHENOL CORP	183 (CASH DIVIDEND)	\$10.15	\$0.00		
04/15/2021	2# Money mkt fund sale	(5,400.000)	FED HERMES TR UST OBL 59 @ \$1.000	227 (SWEEP REDEMPTION)	\$5,400.00	\$0.00		
04/16/2021	1L Dividend	15.000	THERMO FISHER SCIENTIFIC	183 (CASH DIVIDEND)	\$3.90	\$0.00		
04/22/2021	1L Dividend	5.000	LVMH MOET HENNESSY VUITTON	183 (CASH DIVIDEND)	\$24.00	\$0.00		
INCLUDES WITHHOLDING TAX OF \$6.36								
04/23/2021	2S Sale	(60.000)	FORTIVE CO @ \$73.988	268 (SALE CASH SETTLEMENT)	\$4,436.84	\$0.00		
		(5.000)	SEA LTD ADR @ \$240.511	263 (SALE CASH SETTLEMENT)	\$1,202.35	\$0.00		
		(5.000)	Iqvia Holdings Inc @ \$219.202	263 (SALE CASH SETTLEMENT)	\$1,095.80	\$0.00		
04/29/2021	1L Dividend	25.000	American Tower Corp	183 (CASH DIVIDEND)	\$31.00	\$0.00		
04/30/2021	1L Dividend	25.000	JPMORGAN CHASE & CO	188 (CASH DIVIDEND)	\$22.50	\$0.00		
		25.000	DANAHER CORP	183 (CASH DIVIDEND)	\$5.25	\$0.00		
05/06/2021	2# Money mkt fund sale	(311.000)	FED HERMES TR UST OBL 59 @ \$1.000	227 (SWEEP REDEMPTION)	\$311.00	\$0.00		
05/10/2021	1L Dividend	100.000	BLACKSTONE GROUP INC	183 (CASH DIVIDEND)	\$82.00	\$0.00		
		30.000	AIR PRODUCTS & CHEMICALS	183 (CASH DIVIDEND)	\$45.00	\$0.00		
05/12/2021	1L Dividend	9.000	ASML HOLDING	183 (CASH DIVIDEND)	\$16.97	\$0.00		
INCLUDES WITHHOLDING TAX OF \$2.55								
05/13/2021	1L Dividend	155.000	APPLE INC	183 (CASH DIVIDEND)	\$34.10	\$0.00		

Cash Transaction Detail

Currency: USD Portfolio no: Cash date: Units: Description DS code: Principal Income

USD	USD P	05/14/2021	1L Dividend	20.000	ACN PLC	183 (CASH DIVIDEND)	\$10.20	\$0.00
INCLUDES WITHHOLDING TAX OF \$2.55								
2# Money mkt fund sale								
				(2.766.000)	FED HERMES TR US\$1.0BL 59 @ \$1.000	227 (SWEEP REDEMPTION)	\$2.766.00	\$0.00
2S Sale								
				(2.000)	ALPHABET INC CLASS C @ \$2237.280	263 (SALE CASH SETTLEMENT)	\$4,474.42	\$0.00
				(11.000)	SQUARE INC @ \$206.765	263 (SALE CASH SETTLEMENT)	\$2,273.96	\$0.00
				(4.000)	ADOBE INC @ \$471.972	263 (SALE CASH SETTLEMENT)	\$1,887.72	\$0.00
				(5.000)	SEA LTD ADR @ \$214.308	263 (SALE CASH SETTLEMENT)	\$1,071.36	\$0.00
05/17/2021	1L Dividend			55.000	TEXAS INSTRUMENTS INC	183 (CASH DIVIDEND)	\$56.10	\$0.00
	2# Money mkt fund sale			(1.830.000)	FED HERMES TR US\$1.0BL 59 @ \$1.000	227 (SWEEP REDEMPTION)	\$1,830.00	\$0.00
05/28/2021	1L Dividend			80.000	CITIGROUP INC	183 (CASH DIVIDEND)	\$40.80	\$0.00
				40.000	EATON CORP PLC	183 (CASH DIVIDEND)	\$30.40	\$0.00
INCLUDES WITHHOLDING TAX OF \$7.60								
				110.000	SCHWAB CHARLES CORP NEW	183 (CASH DIVIDEND)	\$19.80	\$0.00
				8.000	MSCI INC	183 (CASH DIVIDEND)	\$6.24	\$0.00
06/01/2021	1L Dividend			106.000	CONOCOPHILLIPS	183 (CASH DIVIDEND)	\$46.16	\$0.00
				75.000	VISA INC	183 (CASH DIVIDEND)	\$24.00	\$0.00
				20.000	AMERICAN WATER WORKS CO	183 (CASH DIVIDEND)	\$12.05	\$0.00
				35.060	ZOETIS INC	183 (CASH DIVIDEND)	\$8.76	\$0.00

Cash Transaction Detail

Report dated March 31, 2022
W. KURT SCHRADER IM
 All transactions entered between 07/07/2021 and 12/31/2021

Currency: USD Portfolio no: Cash date: Units: Description DS: code Principal Income

Additions

USD	USD-P	06/02/2021	1L Dividend	35,000	SAFRAN SA	183 (CASH DIVIDEND)	\$18.43	\$0.00
INCLUDES WITHHOLDING TAX OF \$4.88								
06/04/2021	2# Money mkt fund sale	(208,000)	FED HERMES TR UST DBL 58	227 (SWEEP REDEMPTION)	\$208.00	\$0.00		
				@\$1,000				
06/07/2021	1L Dividend	58,000	TENCENT HOLDINGS LTD ,	183 (CASH DIVIDEND)	\$71.34	\$0.00		
06/10/2021	1L Dividend	106,000	MICROSOFT CORP	183 (CASH DIVIDEND)	\$58.80	\$0.00		
				10,000	S&P GLOBAL INC.	\$7.70	\$0.00	
06/11/2021	1L Dividend	20,000	VULCAN MATERIALS	183 (CASH DIVIDEND)	\$7.40	\$0.00		
06/15/2021	1L Dividend	9,884,572	OW CREDIT INCOME FUND	183 (CASH DIVIDEND)	\$1,205.92	\$0.00		
				36,645,259	OW MUNICIPAL BOND FUND	\$1,062.71	\$0.00	
				10,000	CINTAS CORP	\$7.50	\$0.00	
				(3,124,000)	FED HERMES TR UST DBL 58	\$2,124.60	\$0.00	
				@\$1,000				
06/16/2021	1L Dividend	9,000	NORTHROP GRUMMAN CORP	183 (CASH DIVIDEND)	\$14.13	\$0.00		
06/17/2021	1L Dividend	10,000	HOME DEPOT	183 (CASH DIVIDEND)	\$16.50	\$0.00		
06/26/2021	1L Dividend	275,000	BANK OF AMERICA CORP	183 (CASH DIVIDEND)	\$49.50	\$0.00		
06/29/2021	1L Dividend	20,000	UNITEDHEALTH GROUP INC	183 (CASH DIVIDEND)	\$29.00	\$0.00		
06/30/2021	1L Dividend	50,000	PEPSICO INC	183 (CASH DIVIDEND)	\$53.75	\$0.00		
				40,000	UNION PACIFIC CORP	\$42.80	\$0.00	
				45,000	AMEREN CORP	\$24.75	\$0.00	
				45,000	ROSS STORES INC	\$12.82	\$0.00	
07/01/2021	1L Dividend	65,000	NIKE INC CL B	183 (CASH DIVIDEND)	\$17.68	\$0.00		
07/07/2021	2# Money mkt fund sale	(315,000)	FED HERMES TR UST DBL 58	227 (SWEEP REDEMPTION)	\$315.00	\$0.00		
				@\$1,000				

Cash Transaction Detail

Currency: USD Portfolio no: Cash date: Units: Description: US code: Principal Income:

Additions

USD	07/09/2021	1L Dividend	25.000	American Tower Corp	183 (CASH DIVIDEND)	\$81.75	\$0.00
	07/14/2021	1L Dividend	20.000	PIONEER NATURAL RESOURCES	183 (CASH DIVIDEND)	\$71.29	\$0.00
			70.000	AMPHENOL CORP	183 (CASH DIVIDEND)	\$10.15	\$0.00
	07/15/2021	1L Dividend	15.000	THERMO FISHER SCIENTIFIC	183 (CASH DIVIDEND)	\$2.80	\$0.00
		2# Money mkt fund sale	(5,396.000)	FED HERMES TR UST OBL 59	227 (SWEEP REDEMPTION)	\$5,396.00	\$0.00
			@\$1.000				
	07/30/2021	1L Dividend	25.000	DANAHER CORP	183 (CASH DIVIDEND)	\$6.26	\$0.00
	08/02/2021	1L Dividend	40.000	JPMORGAN CHASE & CO	183 (CASH DIVIDEND)	\$36.00	\$0.00
	08/05/2021	2# Money mkt fund sale	(320.000)	FED HERMES TR UST OBL 59	227 (SWEEP REDEMPTION)	\$320.00	\$0.00
			@\$1.000				
	08/09/2021	1L Dividend	183.000	BLACKSTONE GROUP INC	183 (CASH DIVIDEND)	\$70.00	\$0.00
			65.000	TEXAS INSTRUMENTS INC	183 (CASH DIVIDEND)	\$56.10	\$0.00
			30.000	AIR PRODUCTS & CHEMICALS	183 (CASH DIVIDEND)	\$45.00	\$0.00
		1X Cash receipt	0.000	W. KURT SCHRADER CUSTODY TRANSFER OF CASH FROM CUSTODY TO IM XI	1 (CASH RECEIPT)	\$3,000.00	\$0.00
		2# Security receipt	0.000	BLACKSTONE INC SECURITY NAME CHANGE name change	151 (SECURITY NAME CHANGE)	\$0.00	\$0.00
	08/11/2021	2S Sale	(10.000)	MICROSOFT CORP	263 (SALE CASH SETTLEMENT)	\$2,892.88	\$0.00
			@\$289.320				
			(5.000)	THERMO FISHER SCIENTIFIC	263 (SALE CASH SETTLEMENT)	\$2,719.98	\$0.00
			@\$544.031				
			(10.000)	APPLE INC	263 (SALE CASH SETTLEMENT)	\$1,462.99	\$0.00
			@\$146.380				
			(5.000)	AIR PRODUCTS & CHEMICALS	263 (SALE CASH SETTLEMENT)	\$1,361.44	\$0.00
			@\$272.320				

Cash Transaction Detail

W. KURT SCHRAEDER IM

All transactions entered between 01/01/2021 and 12/31/2021

Currency: USD Portfolio no: Cash date: Units: Description: IRS code: Principal Income:

Additions

USD	USD-P 08/11/2021 2S Sale				
(5.000)	lyvia Holdings Inc @ \$247.980	263 (SALE CASH SETTLEMENT)	\$7,239.34	\$0.00	
(5.000)	VISA INC @ \$239.970	263 (SALE CASH SETTLEMENT)	\$1,189.69	\$0.00	
(5.000)	TEXAS INSTRUMENTS INC @ \$191.440	263 (SALE CASH SETTLEMENT)	\$967.04	\$0.00	
(5.000)	NIKE INC CL B @ \$172.450	263 (SALE CASH SETTLEMENT)	\$862.09	\$0.00	
(5.000)	Activ PLC @ \$162.847	263 (SALE CASH SETTLEMENT)	\$814.08	\$0.00	
(5.000)	PEPSICO INC @ \$154.164	263 (SALE CASH SETTLEMENT)	\$770.66	\$0.00	
(5.000)	ROSS STORES INC @ \$120.880	263 (SALE CASH SETTLEMENT)	\$604.25	\$0.00	
(5.000)	BLACKSTONE INC @ \$113.810	263 (SALE CASH SETTLEMENT)	\$568.88	\$0.00	
(10.000)	BANK OF AMERICA CORP @ \$40.160	263 (SALE CASH SETTLEMENT)	\$401.30	\$0.00	
(5.000)	AMPHENOL CORP @ \$73.330	263 (SALE CASH SETTLEMENT)	\$366.49	\$0.00	
(5.000)	SCHWAB CHARLES CORP NEW @ \$72.230	263 (SALE CASH SETTLEMENT)	\$361.00	\$0.00	
(5.000)	CITIGROUP INC @ \$70.920	263 (SALE CASH SETTLEMENT)	\$354.45	\$0.00	
(5.000)	CONOCOPHILLIPS @ \$55.390	263 (SALE CASH SETTLEMENT)	\$276.80	\$0.00	
155.000	APPLE INC	183 (CASH DIVIDEND)	\$24.10	\$0.00	

Cash Transaction Detail

Currency code	Portfolio name	Cash date	Units	Description	US code	Principal/income
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USD		USD-P 08/7/3/2021	1L Dividend	20.000	AON PLC	183 (CASH DIVIDEND)	\$10.20	\$0.00
INCLUDES WITHHOLDING TAX OF \$2.55								
	2# Money mkt fund sale	(5,400.000)	FED HERMES TR UST OBL 59	227 (SWEEP REDEMPTION)	\$5,400.00	\$0.00		
		@ \$1.000						
	08/23/2021 2S Sale	(11.000)	AIR PRODUCTS & CHEMICALS	268 (SALE CASH SETTLEMENT)	\$2,886.58	\$0.00		
		@ \$272.448						
	08/27/2021 1L Dividend	80.000	CITIGROUP INC	183 (CASH DIVIDEND)	\$40.80	\$0.00		
		40.000	EATON CORP PLC	183 (CASH DIVIDEND)	\$30.40	\$0.00		
INCLUDES WITHHOLDING TAX OF \$7.60.								
	08/31/2021 1L Dividend	105.000	SCHWAB CHARLES CORP NEW	183 (CASH DIVIDEND)	\$18.90	\$0.00		
		8.000	MSCI INC	183 (CASH DIVIDEND)	\$8.32	\$0.00		
	2# Money mkt fund sale	(11,992.000)	FED HERMES TR UST OBL 59	227 (SWEEP REDEMPTION)	\$11,992.00	\$0.00		
		@ \$1.000						
	2S Sale	(652.938)	OW LARGE CAP STRATEGIES FD	263 (SALE CASH SETTLEMENT)	\$13,000.00	\$0.00		
		@ \$19.910						
	09/01/2021 1L Dividend	105.000	CONOCOPHILLIPS	183 (CASH DIVIDEND)	\$45.15	\$0.00		
		70.000	VISA INC	183 (CASH DIVIDEND)	\$22.40	\$0.00		
		20.000	AMERICAN WATER WORKS CO	183 (CASH DIVIDEND)	\$12.06	\$0.00		
		35.000	ZOETIS INC	183 (CASH DIVIDEND)	\$9.75	\$0.00		
	2S Sale	(5.000)	FACEBOOK INC-A	263 (SALE CASH SETTLEMENT)	\$1,898.74	\$0.00		
		@ \$379.770						
		(5.000)	SEA LTD ADR	263 (SALE CASH SETTLEMENT)	\$1,864.04	\$0.00		
		@ \$392.830						
		(5.000)	HOME DEPOT	263 (SALE CASH SETTLEMENT)	\$1,838.24	\$0.00		
		@ \$327.670						

Cash Transaction Detail

Currency: USD Portfolio no: Cash date: Units: Description US code: Principal income

Additions

USD	USD P	09/01/2021	2S Sale						
(5,000)			PAYPAL HOLDINGS INC	263 (SALE CASH SETTLEMENT)	\$1,440.09	\$0.00			
			@\$282.040						
(5,000)			AON PLC	263 (SALE CASH SETTLEMENT)	\$1,436.34	\$0.00			
			@\$287.290						
(5,000)			AMERICAN WATER WORKS CO	263 (SALE CASH SETTLEMENT)	\$909.79	\$0.00			
			@\$181.980						
(5,000)			APPLE INC	263 (SALE CASH SETTLEMENT)	\$785.24	\$0.00			
			@\$153.070						
(5,000)			PIONEER NATURAL RESOURCES	263 (SALE CASH SETTLEMENT)	\$756.74	\$0.00			
			@\$151.370						
(5,000)			HILTON WORLDWIDE HOLDINGS	263 (SALE CASH SETTLEMENT)	\$637.09	\$0.00			
			@\$126.240						
(5,000)			BLACKSTONE INC	263 (SALE CASH SETTLEMENT)	\$629.84	\$0.00			
			@\$125.990						
(5,000)			AMEREN CORP	263 (SALE CASH SETTLEMENT)	\$447.99	\$0.00			
			@\$88.420						
(10,000)			BANK OF AMERICA CORP	263 (SALE CASH SETTLEMENT)	\$418.59	\$0.00			
			@\$41.880						
(5,000)			SCHWAB CHARLES CORP NEW	263 (SALE CASH SETTLEMENT)	\$375.39	\$0.00			
			@\$75.100						
(5,000)			CONOCOPHILLIPS	263 (SALE CASH SETTLEMENT)	\$284.04	\$0.00			
			@\$56.830						
09/03/2021	1L Dividend	20,000	VULCAN MATERIALS	183 (CASH DIVIDEND)	\$7.40	\$0.00			
09/07/2021	2# Money mkt fund sale	(319,000)	FED HERMES TR UST DBL 59	227 (SWEEP REDEMPTION)	\$379.00	\$0.00			
			@\$1,000						
09/09/2021	1L Dividend	95,000	MICROSOFT CORP	183 (CASH DIVIDEND)	\$53.20	\$0.00			
09/10/2021	1L Dividend	10,000	S&P GLOBAL INC.	183 (CASH DIVIDEND)	\$7.70	\$0.00			

Cash Transaction Detail

Currency: USD Portfolio: Cash date: Units: Description DS code: Principal/Income

Additions

USD	USD-P 09/14/2021	1L Dividend	36,645.259	OW MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$985.42	\$0.00
			9,884.572	OW CREDIT INCOME FUND	183 (CASH DIVIDEND)	\$790.77	\$0.00
	09/15/2021	1L Dividend	9.000	NORTROP GRUMMAN CORP	183 (CASH DIVIDEND)	\$14.18	\$0.00
			10.000	CINTAS CORP	183 (CASH DIVIDEND)	\$28.00	\$0.00
	2# Money mkt fund sale		(5,376.000)	FED HERMES TR UST OBL 59	227 (SWEEP REDEMPTION)	\$5,376.00	\$0.00
			@\$1.000				
	09/16/2021	1L Dividend	5.000	HOME DEPOT	183 (CASH DIVIDEND)	\$8.25	\$0.00
	09/17/2021	1L Dividend	15.000	PIONEER NATURAL RESOURCES	183 (CASH DIVIDEND)	\$22.85	\$0.00
	09/21/2021	1L Dividend	20.000	UNITEDHEALTH GROUP INC	183 (CASH DIVIDEND)	\$28.00	\$0.00
	09/24/2021	1L Dividend	255.000	BANK OF AMERICA CORP	183 (CASH DIVIDEND)	\$83.25	\$0.00
	09/29/2021	2# Money mkt fund sale	(250.000)	FED HERMES TR UST OBL 59	227 (SWEEP REDEMPTION)	\$250.00	\$0.00
			@\$1.000				
	09/30/2021	1L Dividend	45.000	PEPSICO INC	183 (CASH DIVIDEND)	\$48.28	\$0.00
			40.000	UNION PACIFIC CORP	183 (CASH DIVIDEND)	\$42.80	\$0.00
			40.000	AMEREN CORP	183 (CASH DIVIDEND)	\$22.00	\$0.00
			40.000	ROSS STORES INC	183 (CASH DIVIDEND)	\$11.40	\$0.00
	10/01/2021	1L Dividend	60.000	NIKE INC CL B	183 (CASH DIVIDEND)	\$16.50	\$0.00
	10/06/2021	2# Money mkt fund sale	(301.000)	FED HERMES TR UST OBL 59	227 (SWEEP REDEMPTION)	\$301.00	\$0.00
			@\$1.000				
	10/13/2021	1L Dividend	65.000	AMPHENOL CORP	183 (CASH DIVIDEND)	\$9.43	\$0.00
	10/14/2021	1L Dividend	15.000	PIONEER NATURAL RESOURCES	183 (CASH DIVIDEND)	\$8.40	\$0.00
	10/15/2021	1L Dividend	25.000	American Tower Corp	183 (CASH DIVIDEND)	\$32.75	\$0.00
			10.000	THERMO FISHER SCIENTIFIC	183 (CASH DIVIDEND)	\$2.80	\$0.00
	2# Money mkt fund sale		(5,384.000)	FED HERMES TR UST OBL 59	227 (SWEEP REDEMPTION)	\$5,384.00	\$0.00
			@\$1.000				

Cash Transaction Detail

Currency: USD Portfolio no: Cash date: Units: Description: US code: Principal Income:

Additions

USD	USD-P	10/27/2021	2S Sale	(17,000)	PAYPAL HOLDINGS INC	263 (SALE CASH SETTLEMENT)	\$4,211.71	\$0.00
				@\$247.789				
10/29/2021	1L Dividend			25,000	DANAHER CORP	189 (CASH DIVIDEND)	\$5.25	\$0.00
11/01/2021	1L Dividend			40,000	JPMORGAN CHASE & CO	189 (CASH DIVIDEND)	\$40.00	\$0.00
	Z1 Security receipt			0,000	META PLATFORMS INC	151 (SECURITY NAME CHANGE)	\$0.00	\$0.00
					SECURITY NAME CHANGE			
11/04/2021	2# Money mkt fund sale			(319,000)	FED HERMES TR UST OBL 59	227 (SWEEP REDEMPTION)	\$319.00	\$0.00
				@\$1,000				
11/08/2021	1L Dividend			90,000	BLACKSTONE INC	189 (CASH DIVIDEND)	\$99.10	\$0.00
				14,000	AIR PRODUCTS & CHEMICALS	189 (CASH DIVIDEND)	\$21.00	\$0.00
	2S Sale			(14,000)	UNION PACIFIC CORP	263 (SALE CASH SETTLEMENT)	\$3,339.82	\$0.00
				@\$238,600				
				(20,000)	BLACKSTONE INC	263 (SALE CASH SETTLEMENT)	\$2,876.09	\$0.00
				@\$143,845				
				(10,000)	VISA INC	263 (SALE CASH SETTLEMENT)	\$2,092.35	\$0.00
				@\$269,276				
11/12/2021	1L Dividend			140,000	APPLE INC	189 (CASH DIVIDEND)	\$30.80	\$0.00
				9,000	ASML HOLDING	189 (CASH DIVIDEND)	\$18.56	\$0.00
					INCLUDES WITHHOLDING TAX OF \$2.78			
11/15/2021	1L Dividend			50,000	TEXAS INSTRUMENTS INC	189 (CASH DIVIDEND)	\$57.50	\$0.00
				15,000	ACN PLC	189 (CASH DIVIDEND)	\$7.86	\$0.00
					INCLUDES WITHHOLDING TAX OF \$1.91			
	2# Money mkt fund sale			(5,326,000)	FED HERMES TR UST OBL 59	227 (SWEEP REDEMPTION)	\$5,326.00	\$0.00
				@\$1,000				
11/24/2021	1L Dividend			75,000	CITIGROUP INC	189 (CASH DIVIDEND)	\$38.25	\$0.00

Cash Transaction Detail

Currency	Portfolio no	Cash date	Units	Description	AS code	Principal	Income
USD	USD-P	11/28/2021	1L Dividend	100.000 SCHWAB CHARLES CORP NEW	183 (CASH DIVIDEND)	\$18.00	\$0.00
		11/30/2021	1L Dividend	40.000 EATON CORP PLC	183 (CASH DIVIDEND)	\$30.40	\$0.00
				INCLUDES WITHHOLDING TAX OF \$7.80			
				8.000 MSCI INC	183 (CASH DIVIDEND)	\$8.32	\$0.00
		12/01/2021	1L Dividend	95.000 CONOCOPHILLIPS	183 (CASH DIVIDEND)	\$49.70	\$0.00
				15.000 AMERICAN WATER WORKS CO	183 (CASH DIVIDEND)	\$9.04	\$0.00
				35.000 ZOETIS INC	183 (CASH DIVIDEND)	\$8.76	\$0.00
		12/02/2021	1L Dividend	5.000 LVMH MOET HENNESSY VUITTON	183 (CASH DIVIDEND)	\$17.01	\$0.00
				INCLUDES WITHHOLDING TAX OF \$4.51			
				30.000 VULCAN MATERIALS	183 (CASH DIVIDEND)	\$11.10	\$0.00
		12/06/2021	2# Money mkt fund sale	(310.000) FED HERMES TR UST DBL 59	227 (SWEEP REDEMPTION)	\$318.00	\$0.00
				@\$1.000			
		12/07/2021	1L Dividend	60.000 VISA INC	183 (CASH DIVIDEND)	\$22.50	\$0.00
			1T Class action	0.000 CLASS ACTION PROCEEDS	5058 (CLASS ACTION SETTLEMENTS)	\$3.27	\$0.00
				To receive pro-rata distribution from BNYM ADR FX litigation net settlement fund. Taxability to be reflected as long term capital gains.			
		12/09/2021	1L Dividend	95.000 MICROSOFT CORP	183 (CASH DIVIDEND)	\$58.90	\$0.00
			2S Sale	(4.000) CHARTER COMMUNICATIONS INC	263 (SALE CASH SETTLEMENT)	\$2,589.08	\$0.00
				@\$647.311			
		12/10/2021	1L Dividend	12.000 SAP GLOBAL INC.	183 (CASH DIVIDEND)	\$9.24	\$0.00
		12/14/2021	1L Dividend	36,645,259 OMV MUNICIPAL BOND FUND	183 (CASH DIVIDEND)	\$827.82	\$0.00
				15.000 PIONEER NATURAL RESOURCES	183 (CASH DIVIDEND)	\$45.30	\$0.00
				20.000 UNITEDHEALTH GROUP INC	183 (CASH DIVIDEND)	\$29.00	\$0.00

Cash Transaction Detail

Report dated March 31, 2022
W. KURT SCHRAEDER IM
 All transactions entered between 01/01/2021 and 12/31/2021

Currency: USD
 Portfolio no: 12/14/2021
 Cash date: 12/14/2021

Units: Description

Discrete

Principal Income

Additions

USD	12/14/2021	1P Long term cap gain	36,645,269	OW MUNICIPAL BOND FUND	762 (CAPITAL GAINS DIST RECEIPT (LONG))	\$5,208.56	\$0.00
12/15/2021	1B Cash/ltg distribution	0.000	OW MULTI-ASSET OPFS FUND	188 (LIQUIDATING DIVIDEND)	\$81.28	\$0.00	
To receive pre-rata post-liquidation cash distribution from OW MULTI-ASSET OPFS Fund. Taxability to be reflected as cash liquidation distributions.							
11 Dividend			41,689,556	OW LARGE CAP STRATEGIES FD	183 (CASH DIVIDEND)	\$194.89	\$0.00
			14,000	NORTROP GRUMMAN CORP	183 (CASH DIVIDEND)	\$21.98	\$0.00
			10,000	CINTAS CORP	183 (CASH DIVIDEND)	\$89.80	\$0.00
1P Long term cap gain			41,689,556	OW LARGE CAP STRATEGIES FD	762 (CAPITAL GAINS DIST RECEIPT (LONG))	\$47,929.23	\$0.00
1V Short term cap gain			41,689,556	OW LARGE CAP STRATEGIES FD	182 (CAPITAL GAINS DIST RECEIPT (SHORT))	\$3,688.28	\$0.00
2# Money mkt fund sale			(5,174,000)	FED HERMES TR UST OBL 59 @ \$1.000	227 (SWEEP REDEMPTION)	\$5,174.00	\$0.00
12/16/2021	1L Dividend		5,000	HOME DEPOT	183 (CASH DIVIDEND)	\$8.25	\$0.00
12/22/2021	1L Dividend		9,884,572	OW CREDIT INCOME FUND	183 (CASH DIVIDEND)	\$825.56	\$0.00
			13,466,787	OW SMALL & MIDCAP STRAT FD	183 (CASH DIVIDEND)	\$807.72	\$0.00
1P Long term cap gain			13,466,787	OW SMALL & MIDCAP STRAT FD	762 (CAPITAL GAINS DIST RECEIPT (LONG))	\$13,988.82	\$0.00
1V Short term cap gain			13,466,787	OW SMALL & MIDCAP STRAT FD	182 (CAPITAL GAINS DIST RECEIPT (SHORT))	\$3,994.86	\$0.00
12/23/2021	2# Money mkt fund sale		(1,135,000)	FED HERMES TR UST OBL 59 @ \$1.000	227 (SWEEP REDEMPTION)	\$1,135.00	\$0.00
2S Sale			(5,000)	AON PLC @ \$253.181	263 (SALE CASH SETTLEMENT)	\$1,485.60	\$0.00
12/28/2021	1L Dividend		60,000	NIKE INC CL B	183 (CASH DIVIDEND)	\$18.30	\$0.00
12/30/2021	1L Dividend		26,000	UNION PACIFIC CORP	183 (CASH DIVIDEND)	\$30.68	\$0.00

Report dated March 31, 2022
 W. KUERT SCHRADER IM
 All transactions entered between 01/01/2021 and 12/31/2021
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Report run on Apr 1, 2022 by LARKIN, Version 11.01.8 / CDBED8

Cash Transaction Detail

Currency: USD Portfolio no: Cash date Units Description US rate Principal Income

Subtractions

USD	USD-P 01/20/2021	SP Purchase	10.000	AIR PRODUCTS & CHEMICALS @ \$287.072	262 (PURCHASE CASH SETTLEMENT)	(\$2,971.12)	\$0.00
			15.000	Activ PLC @ \$142.958	262 (PURCHASE CASH SETTLEMENT)	(\$2,144.81)	\$0.00
			30.000	SCHWAB CHARLES CORP NEW @ \$58.873	262 (PURCHASE CASH SETTLEMENT)	(\$1,767.38)	\$0.00
	01/29/2021	5# Money mkt fund purch	7.000	FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$7.00)	\$0.00
	02/02/2021	5# Money mkt fund purch	6,030.000	FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$6,030.00)	\$0.00
	02/04/2021	6I Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 01/31/21	5001 (CORE SERVICES FEE)	(\$282.21)	\$0.00
	02/05/2021	5# Money mkt fund purch	1,559.000	FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$1,559.00)	\$0.00
	02/08/2021	5# Money mkt fund purch	88.000	FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$88.00)	\$0.00
	02/09/2021	5# Money mkt fund purch	4,931.000	FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$4,931.00)	\$0.00
		5P Purchase	22.000	PAYPAL HOLDINGS INC @ \$288.900	262 (PURCHASE CASH SETTLEMENT)	(\$5,378.69)	\$0.00
			11.000	SQUARE INC @ \$233.730	262 (PURCHASE CASH SETTLEMENT)	(\$2,637.36)	\$0.00
	02/10/2021	5# Money mkt fund purch	16,978.000	FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$16,978.00)	\$0.00
	02/11/2021	5# Money mkt fund purch	32.000	FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$32.00)	\$0.00
	02/12/2021	6L Remittance & dep.	0.000	KEY BANK /N/O KURT SCHRADER MONTHLY WITHDRAWAL	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00

Cash Transaction Detail

Currency: USD Portfolio no: Cash date: Units: Description: OS code: Principal/balance

Subtractions

USD	[REDACTED]	USD-P 02/12/2021 6Z Withholding Tax	15.000	AON PLC	183 (CASH DIVIDEND)	(\$1.73)	\$0.00
INCLUDES WITHHOLDING TAX OF \$1.73							
02/16/2021	SP Purchase	8.000	MSCI INC	262 (PURCHASE CASH SETTLEMENT)	(\$3,367.86)	\$0.00	
			@\$420.926				
		5.000	AON PLC	262 (PURCHASE CASH SETTLEMENT)	(\$1,147.50)	\$0.00	
			@\$229.461				
02/26/2021	5# Money mkt fund purch	68.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$88.00)	\$0.00	
			@\$1.000				
03/01/2021	5# Money mkt fund purch	81.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$81.00)	\$0.00	
			@\$1.000				
03/02/2021	5# Money mkt fund purch	14.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$14.00)	\$0.00	
			@\$1.000				
03/04/2021	6I Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 02/28/21	5001 (CORE SERVICES FEE)	(\$300.16)	\$0.00	
03/08/2021	5P Purchase	26.000	JPMORGAN CHASE & CO	262 (PURCHASE CASH SETTLEMENT)	(\$3,794.76)	\$0.00	
			@\$151.751				
		10.000	SCHWAB CHARLES CORP NEW	262 (PURCHASE CASH SETTLEMENT)	(\$642.23)	\$0.00	
			@\$64.183				
03/10/2021	5# Money mkt fund purch	8.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$8.00)	\$0.00	
			@\$1.000				
03/11/2021	5# Money mkt fund purch	67.000	FED HERMES TR UST OBL 59	-226 (SWEEP PURCHASE)	(\$67.00)	\$0.00	
			@\$1.000				
03/15/2021	6L Remittance & dep.	0.000	KEY BANK	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00	
			[REDACTED] V. KURT SCHRAEDER MONTHLY WITHDRAWAL				
03/16/2021	5# Money mkt fund purch	2,212.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$2,212.00)	\$0.00	
			@\$1.000				

Cash Transaction Detail

Currency: USD Portfolio: Cash Date: Units: Description: Discode: Principal/Income

Subtractions

USD	USD-P	03/17/2021	5# Money mkt fund purch	728.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$728.00)	\$0.00
				@\$1.000				
	SP Purchase			2,496,794	OW LARGE CAP STRATEGIES FD	262 (PURCHASE CASH SETTLEMENT)	(\$44,500.00)	\$0.00
				@\$17.830				
				818.182	OW CREDIT INCOME FUND	262 (PURCHASE CASH SETTLEMENT)	(\$8,100.00)	\$0.00
				@\$8.900				
		03/23/2021	5# Money mkt fund purch	31.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$31.00)	\$0.00
				@\$1.000				
		03/25/2021	5# Money mkt fund purch	17.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$17.00)	\$0.00
				@\$1.000				
		03/26/2021	5# Money mkt fund purch	57.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$57.00)	\$0.00
				@\$1.000				
		03/31/2021	5# Money mkt fund purch	136.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$136.00)	\$0.00
				@\$1.000				
		04/01/2021	5# Money mkt fund purch	19.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$19.00)	\$0.00
				@\$1.000				
		04/06/2021	61 Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 03/31/21	5001 (CORE SERVICES FEE)	(\$294.76)	\$0.00
		04/14/2021	5# Money mkt fund purch	21.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$21.00)	\$0.00
				@\$1.000				
		04/15/2021	61 Remittance & dep.	0.000	KEY BANK	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00
					W/O KURT SCHRADER MONTHLY WITHDRAWAL			
		04/16/2021	5# Money mkt fund purch	4.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$4.00)	\$0.00
				@\$1.000				
		04/22/2021	62 Withholding Tax	5.000	LVNH MOET HENNESSY VUITTON	183 (CASH DIVIDEND)	(\$6.36)	\$0.00
					INCLUDES WITHHOLDING TAX OF \$6.36			

Cash Transaction Detail

Currency: USD Portfolio no: Cash date: Units: Description: US code: Principal/balance

Subtractions

USD	USD-P 04/23/2021	5# Money mkt fund purch	738.000	FED HERMES TR UST OBL 59		226 (SWEEP PURCHASE)	(\$738.00)	\$0.00
			@\$1.000					
		5P Purchase	30.000	EATON CORP PLC		262 (PURCHASE CASH SETTLEMENT)	(\$4,242.58)	\$0.00
			@\$141.378					
			10.000	VULCAN MATERIALS		262 (PURCHASE CASH SETTLEMENT)	(\$1,772.25)	\$0.00
			@\$177.185					
	04/29/2021	5# Money mkt fund purch	31.000	FED HERMES TR UST OBL 59		226 (SWEEP PURCHASE)	(\$31.00)	\$0.00
			@\$1.000					
	04/30/2021	5# Money mkt fund purch	28.000	FED HERMES TR UST OBL 59		226 (SWEEP PURCHASE)	(\$28.00)	\$0.00
			@\$1.000					
	05/06/2021	6I Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 04/30/21		5001 (CORE SERVICES FEE)	(\$311.14)	\$0.00
	05/10/2021	5# Money mkt fund purch	127.000	FED HERMES TR UST OBL 59		226 (SWEEP PURCHASE)	(\$127.00)	\$0.00
			@\$1.000					
	05/12/2021	6Z Withholding Tax	9.000	ASML HOLDING		183 (CASH DIVIDEND)	(\$2.55)	\$0.00
				INCLUDES WITHHOLDING TAX OF \$2.55				
	05/13/2021	5# Money mkt fund purch	48.000	FED HERMES TR UST OBL 59		226 (SWEEP PURCHASE)	(\$48.00)	\$0.00
			@\$1.000					
	05/14/2021	5P Purchase	9.000	NORTHROP GRUMMAN CORP		262 (PURCHASE CASH SETTLEMENT)	(\$3,274.89)	\$0.00
			@\$363.897					
			15.000	JPMORGAN CHASE & CO		262 (PURCHASE CASH SETTLEMENT)	(\$2,381.64)	\$0.00
			@\$157.403					
			10.000	EATON CORP PLC		262 (PURCHASE CASH SETTLEMENT)	(\$1,437.83)	\$0.00
			@\$143.723					
	6L Remittance & dep.		0.000	KEY BANK		219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00
				M/G KURT SCHRADER MONTHLY WITHDRAWAL				

Cash Transaction Detail

Currency: USD Portfolio no: Cash date: Units: Description: US code: Principal/Income

Subtractions

USD	USD-P 05/14/2021	6Z Withholding Tax	20.000	AON PLC	183 (CASH DIVIDEND)	(\$2.55)	\$0.00
					INCLUDES WITHHOLDING TAX OF \$2.55		
	05/17/2021	5P Purchase	10.000	VULCAN MATERIALS	262 (PURCHASE CASH SETTLEMENT)	(\$1,893.70)	\$0.00
				@\$189.330			
	05/28/2021	5# Money mkt fund purch	66.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$66.00)	\$0.00
				@\$1.000			
		6Z Withholding Tax	40.080	EATON CORP PLC	183 (CASH DIVIDEND)	(\$7.80)	\$0.00
					INCLUDES WITHHOLDING TAX OF \$7.80		
	06/01/2021	5# Money mkt fund purch	113.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$113.00)	\$0.00
				@\$1.000			
	06/02/2021	5# Money mkt fund purch	14.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$14.00)	\$0.00
				@\$1.000			
		6Z Withholding Tax	35.000	SARLAN SA	183 (CASH DIVIDEND)	(\$4.88)	\$0.00
					INCLUDES WITHHOLDING TAX OF \$4.88		
	06/04/2021	6L Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 06/31/21	5001 (CORE SERVICES FEE)	(\$307.32)	\$0.00
	06/07/2021	5# Money mkt fund purch	12.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$12.00)	\$0.00
				@\$1.000			
	06/10/2021	5# Money mkt fund purch	66.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$66.00)	\$0.00
				@\$1.000			
	06/11/2021	5# Money mkt fund purch	8.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$8.00)	\$0.00
				@\$1.000			
	06/15/2021	8L Rentrance & dep.	0.000	KEY BANK	219 (RENTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00
					N/O KURT SCHRADER MONTHLY WITHDRAWAL		

Cash Transaction Detail

Currency	Portfolio	Cash date	Units	Description	US date	Principal/Income
Subtractions						
USD	USD-P	06/16/2021	5#	Money mkt fund purch		
			14.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$14.00) \$0.00
			@\$1.000			
		06/17/2021	5#	Money mkt fund purch		
			16.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$16.00) \$0.00
			@\$1.000			
		06/25/2021	5#	Money mkt fund purch		
			50.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$50.00) \$0.00
			@\$1.000			
		06/29/2021	5#	Money mkt fund purch		
			29.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$29.00) \$0.00
			@\$1.000			
		06/30/2021	5#	Money mkt fund purch		
			134.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$134.00) \$0.00
			@\$1.000			
		07/01/2021	5#	Money mkt fund purch		
			18.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$18.00) \$0.00
			@\$1.000			
		07/07/2021	61	Fee/Commission		
			0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 06/30/21	5001 (CORE SERVICES FEE)	(\$314.57) \$0.00
		07/09/2021	5#	Money mkt fund purch		
			32.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$32.00) \$0.00
			@\$1.000			
		07/14/2021	5#	Money mkt fund purch		
			21.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$21.00) \$0.00
			@\$1.000			
		07/15/2021	61	Remittance & dep.		
			0.000	KEY BANK	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00) \$0.00
				IN/O KURT SCHRADER MONTHLY WITHDRAWAL		
		07/30/2021	5#	Money mkt fund purch		
			3,172.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$3,172.00) \$0.00
			@\$1.000			
		08/02/2021	5#	Money mkt fund purch		
			36.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$36.00) \$0.00
			@\$1.000			
		08/05/2021	61	Fee/Commission		
			0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 07/31/21	5001 (CORE SERVICES FEE)	(\$320.00) \$0.00
		08/09/2021	5#	Money mkt fund purch		
			3,171.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$3,171.00) \$0.00
			@\$1.000			

Cash Transaction Detail

Currency	Portfolio name	Cash date	Units	Description	US code	Principal Income
Subtractions						
USD	USD-P	08/11/2021	5# Money mkt fund purch	17,213.000 FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$17,213.00) \$0.00
		08/12/2021	5# Money mkt fund purch	34.000 FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$34.00) \$0.00
		08/13/2021	8L Remittance & dep.	0.000 KURT SCHRAEDER CYBANK NATIONAL ASSOCIATION MONTHLY WITHDRAWAL	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00) \$0.00
			6Z Withholding Tax	20.000 ADN PLC	183 (CASH DIVIDEND)	(\$2.55) \$0.00
				INCLUDES WITHHOLDING TAX OF \$2.55		
		08/16/2021	5# Money mkt fund purch	8.000 FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$8.00) \$0.00
		08/23/2021	5# Money mkt fund purch	2,986.000 FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$2,986.00) \$0.00
		08/27/2021	5# Money mkt fund purch	60.000 FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$60.00) \$0.00
			6Z Withholding Tax	40.000 EATON CORP PLC	183 (CASH DIVIDEND)	(\$7.50) \$0.00
				INCLUDES WITHHOLDING TAX OF \$7.50		
		08/30/2021	5# Money mkt fund purch	23.000 FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$23.00) \$0.00
		08/31/2021	4Z Cash disbursement	0.000 KURT SCHRAEDER CYBANK NATIONAL ASSOCIATION PER REQUEST 8/30/21 XI	7 (MISCELLANEOUS DISBURSEMENT)	(\$25,000.00) \$0.00
		09/01/2021	5# Money mkt fund purch	13,979.000 FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$13,979.00) \$0.00
		09/03/2021	5# Money mkt fund purch	7.000 FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$7.00) \$0.00
		09/07/2021	6I Fee/Commission	0.000 CORE SERVICES FEE FOR THE PERIOD ENDED 08/31/21	5001 (CORE SERVICES FEE)	(\$39.16) \$0.00

Cash Transaction Detail

Currency	Portfolio no	Cash date	Units	Description	Ds code	Principal Income
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Subtractions

USD	SD-P	09/09/2021	5# Money mkt fund purch	53.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$53.00)	\$0.00
				@\$1.000				
		09/10/2021	5# Money mkt fund purch	8.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$8.00)	\$0.00
				@\$1.000				
		09/14/2021	5# Money mkt fund purch	1,780.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$1,780.00)	\$0.00
				@\$1.000				
		09/15/2021	6L Remittance & dep.	0.000	KURT SCHRADER	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00
					KEYBANK NATIONAL ASSOCIATION MONTHLY WITHDRAWAL			
		09/16/2021	5# Money mkt fund purch	8.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$8.00)	\$0.00
				@\$1.000				
		09/17/2021	5# Money mkt fund purch	28.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$28.00)	\$0.00
				@\$1.000				
		09/21/2021	5# Money mkt fund purch	29.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$29.00)	\$0.00
				@\$1.000				
		09/24/2021	5# Money mkt fund purch	63.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$63.00)	\$0.00
				@\$1.000				
		09/29/2021	6Y Other financial services	0.000	SPECIAL TAX REPORTS FEE FOR TAX YEAR 2020	5076 (SPECIAL TAX REPORTS FEE)	(\$250.00)	\$0.00
		09/30/2021	5# Money mkt fund purch	125.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$125.00)	\$0.00
				@\$1.000				
		10/01/2021	5# Money mkt fund purch	16.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$16.00)	\$0.00
				@\$1.000				
		10/06/2021	6L Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 09/30/21	5001 (CORE SERVICES FEE)	(\$900.79)	\$0.00
		10/13/2021	5# Money mkt fund purch	10.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$10.00)	\$0.00
				@\$1.000				
		10/14/2021	5# Money mkt fund purch	8.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$8.00)	\$0.00
				@\$1.000				

Cash Transaction Detail

Currency code	Portfolio m	Cash date	Units	Description	US code	Purchase/income
Subtractions						
USD	USD F	10/15/2021	6L	Remittance & dep.	0.000	KURT SCHRADER
				KEYBANK NATIONAL ASSOCIATION MONTHLY WITHDRAWAL	218 (REMITTANCES AND DEPOSITS)	(\$5,400.00) \$0.00
		10/26/2021	4Z	Cash disbursement	10.000	SEA LTD ADR
				ADR Depository Charge	5139 (ADR EXPENSE)	(\$0.20) \$0.00
		10/27/2021	5#	Money mkt fund purch	1,624.000	FED HERMES TR UST OBL 59
				@\$1.000	226 (SWEEP PURCHASE)	(\$1,624.00) \$0.00
			5P	Purchase	4.000	ADOBE INC
				@\$646.739	262 (PURCHASE CASH SETTLEMENT)	(\$2,587.08) \$0.00
		10/29/2021	5#	Money mkt fund purch	5.000	FED HERMES TR UST OBL 59
				@\$1.000	226 (SWEEP PURCHASE)	(\$5.00) \$0.00
		11/01/2021	5#	Money mkt fund purch	40.000	FED HERMES TR UST OBL 59
				@\$1.000	226 (SWEEP PURCHASE)	(\$40.00) \$0.00
		11/04/2021	6I	Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 10/31/21
					5001 (CORE SERVICES FEE)	(\$319.61) \$0.00
		11/08/2021	5#	Money mkt fund purch	2,878.000	FED HERMES TR UST OBL 59
				@\$1.000	226 (SWEEP PURCHASE)	(\$2,878.00) \$0.00
			5P	Purchase	10.000	VULCAN MATERIALS
				@\$194.148	262 (PURCHASE CASH SETTLEMENT)	(\$1,941.68) \$0.00
			5.000	NORTHROP GRUMMAN CORP	262 (PURCHASE CASH SETTLEMENT)	(\$1,787.14) \$0.00
				@\$357.398	262 (PURCHASE CASH SETTLEMENT)	(\$925.43) \$0.00
			2.000	S&P GLOBAL INC.	262 (PURCHASE CASH SETTLEMENT)	(\$894.42) \$0.00
				@\$462.674	262 (PURCHASE CASH SETTLEMENT)	(\$894.42) \$0.00
			5.000	Activ PLC	262 (PURCHASE CASH SETTLEMENT)	(\$894.42) \$0.00
				@\$178.864	262 (PURCHASE CASH SETTLEMENT)	(\$894.42) \$0.00
		11/12/2021	5#	Money mkt fund purch	31.000	FED HERMES TR UST OBL 59
				@\$1.000	226 (SWEEP PURCHASE)	(\$31.00) \$0.00

Cash Transaction Detail

Currency: USD Portfolio no: 11/12/2021 Cash date: 6Z Units: Description: US code: Principal income:

Subtractions

USD	11/12/2021	6Z Withholding Tax	9.000	ASML HOLDING	183 (CASH DIVIDEND)	(\$2.78)	\$0.00
INCLUDES WITHHOLDING TAX OF \$2.78							
11/15/2021	6L Remittance & dep.	0.000	KURT SCHRAEDER	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00	
KEYBANK NATIONAL ASSOCIATION MONTHLY WITHDRAWAL							
6Z Withholding Tax	15.000	AON PLC	183 (CASH DIVIDEND)	(\$1.91)	\$0.00		
INCLUDES WITHHOLDING TAX OF \$1.91							
11/16/2021	5# Money mkt fund purch	5.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$5.00)	\$0.00	
@ \$1.000							
11/24/2021	5# Money mkt fund purch	38.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$38.00)	\$0.00	
@ \$1.000							
11/26/2021	5# Money mkt fund purch	18.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$18.00)	\$0.00	
@ \$1.000							
11/30/2021	5# Money mkt fund purch	9.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$9.00)	\$0.00	
@ \$1.000							
6Z Withholding Tax	40.000	EATON CORP PLC	183 (CASH DIVIDEND)	(\$7.50)	\$0.00		
INCLUDES WITHHOLDING TAX OF \$7.50							
12/01/2021	5# Money mkt fund purch	84.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$84.00)	\$0.00	
@ \$1.000							
12/02/2021	5# Money mkt fund purch	11.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$11.00)	\$0.00	
@ \$1.000							
6Z Withholding Tax	5.000	LYNKH MOET HENNESSY VULTON	183 (CASH DIVIDEND)	(\$4.51)	\$0.00		
INCLUDES WITHHOLDING TAX OF \$4.51							
12/03/2021	5# Money mkt fund purch	13.000	FED HERMES TR UST OBL 59	226 (SWEEP PURCHASE)	(\$13.00)	\$0.00	
@ \$1.000							

Cash Transaction Detail

Currency: USD Portfolio no: Cash date: Units: Description: US code: Principal/Income

Subtractions

USD	888484 USD-P	12/06/2021	61 Fee/Commission	0.000	CORE SERVICES FEE FOR THE PERIOD ENDED 11/30/21	5001 (CORE SERVICES FEE)	(\$309.51)	\$0.00
	12/07/2021	5# Money mkt fund purch	23.000	FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$23.00)	\$0.00	
	12/08/2021	5# Money mkt fund purch	3.000	FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$3.00)	\$0.00	
	12/09/2021	5# Money mkt fund purch	1,264.000	FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$1,264.00)	\$0.00	
		SP Purchase	5.000	American Tower Corp @ \$276.883	262 (PURCHASE CASH SETTLEMENT)	(\$1,383.67)	\$0.00	
	12/10/2021	5# Money mkt fund purch	10.000	FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$10.00)	\$0.00	
	12/14/2021	5# Money mkt fund purch	902.000	FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$902.00)	\$0.00	
		SP Purchase	426.089	OW MUNICIPAL BOND FUND @ \$12.220	354 (DIVIDEND REINVESTMENT)	(\$5,208.56)	\$0.00	
	12/16/2021	SP Purchase	2,803.435	OW LARGE CAP STRATEGIES FD @ \$18.410	354 (DIVIDEND REINVESTMENT)	(\$47,929.23)	\$0.00	
		61 Remittance & dep.	0.000	KURT SCHRAIDER KEYBANK NATIONAL ASSOCIATION MONTHLY WITHDRAWAL	219 (REMITTANCES AND DEPOSITS)	(\$5,400.00)	\$0.00	
	12/18/2021	5# Money mkt fund purch	3,727.000	FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$3,727.00)	\$0.00	
	12/22/2021	5# Money mkt fund purch	5,068.000	FED HERMES TR UST OBL 59 @ \$1.000	226 (SWEEP PURCHASE)	(\$5,068.00)	\$0.00	
		SP Purchase	783.465	OW SMALL & MIDCAP STRAT FD @ \$17.630	354 (DIVIDEND REINVESTMENT)	(\$13,988.62)	\$0.00	
	12/29/2021	SP Purchase	9.000	NVIDIA CORP @ \$288.888	262 (PURCHASE CASH SETTLEMENT)	(\$2,600.35)	\$0.00	

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 TRUST

Cash Transaction Detail

All transactions entered between 01/01/2021 and 12/31/2021

Currency code	Portfolio no	Cash date	Units	Description	D/Scode	Principal/balance
Subtractions						
USD		12/28/2021	5# Money mkt fund purch	18.000 FED HERMES TR UST OBL S9 @ \$1.000	228 (SWEEP PURCHASE)	(\$18.00) \$0.00
		12/30/2021	5# Money mkt fund purch	31.000 FED HERMES TR UST OBL S9 @ \$1.000	228 (SWEEP PURCHASE)	(\$31.00) \$0.00
		12/31/2021	5# Money mkt fund purch	87.000 FED HERMES TR UST OBL S9 @ \$1.000	226 (SWEEP PURCHASE)	(\$87.00) \$0.00
Total subtractions USD						

Cash Transaction Detail

Currency: USD Portfolio ID: Cash date: Units: Description: DS code: Principal Income:

Additions

USD	USD-P	03/05/2021	1L Dividend	2,363.000	PFIZER INC	183 (CASH DIVIDEND)	\$921.57	\$0.00
		06/04/2021	1L Dividend	2,363.000	PFIZER INC	183 (CASH DIVIDEND)	\$921.57	\$0.00
		06/16/2021	1L Dividend	293.000	VIATRIS INC	183 (CASH DIVIDEND)	\$32.23	\$0.00
		09/07/2021	1L Dividend	2,363.000	PFIZER INC	183 (CASH DIVIDEND)	\$921.57	\$0.00
		09/16/2021	1L Dividend	293.000	VIATRIS INC	183 (CASH DIVIDEND)	\$32.23	\$0.00
		12/06/2021	1L Dividend	2,363.000	PFIZER INC	183 (CASH DIVIDEND)	\$921.57	\$0.00
		12/16/2021	1L Dividend	293.000	VIATRIS INC	183 (CASH DIVIDEND)	\$32.23	\$0.00

Total additions USD \$3,782.97 \$0.00

Subtractions

USD	USD-P	01/07/2021	6I Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 12/31/20	5006 (DIRECTED CUSTODY FEE)	(\$2.65)	\$0.00
		02/02/2021	4Z Cash disbursement	0.000	W. KURT SCHRADER IM TRANSFER OF CASH FROM CUSTODY TO IM XI	7 (MISCELLANEOUS DISBURSEMENT)	(\$6,000.00)	\$0.00
		02/04/2021	6I Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 01/31/21	5006 (DIRECTED CUSTODY FEE)	(\$2.54)	\$0.00
		03/04/2021	6I Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 02/28/21	5006 (DIRECTED CUSTODY FEE)	(\$1.16)	\$0.00
		04/06/2021	6I Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 03/31/21	5006 (DIRECTED CUSTODY FEE)	(\$1.30)	\$0.00
		05/06/2021	6I Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 04/30/21	5006 (DIRECTED CUSTODY FEE)	(\$1.26)	\$0.00
		06/04/2021	6I Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 05/31/21	5006 (DIRECTED CUSTODY FEE)	(\$1.37)	\$0.00
		07/07/2021	6I Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 06/30/21	5006 (DIRECTED CUSTODY FEE)	(\$1.52)	\$0.00

Cash Transaction Detail

Report dated March 31, 2022

W. KURT SCHRADER CUSTODY

All transactions entered between 01/01/2021 and 12/31/2021

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Currency: USD
Portfolio no: 08/05/2021
Cash date: 08/05/2021

Units: Description

US rate

Principal/Income



Subtractions

USD	USD-P	08/05/2021	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 07/31/21	5008 (DIRECTED CUSTODY FEE)	(\$1.50)	\$0.00
	08/09/2021	4Z Cash disbursement	0.000	W. KURT SCHRADER IM	TRANSFER OF CASH FROM CUSTODY TO IM XI	7 (MISCELLANEOUS DISBURSEMENT)	(\$3,000.00)	\$0.00
	09/07/2021	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 08/31/21		5008 (DIRECTED CUSTODY FEE)	(\$0.97)	\$0.00
	10/08/2021	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 09/30/21		5008 (DIRECTED CUSTODY FEE)	(\$1.04)	\$0.00
	11/04/2021	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 10/31/21		5008 (DIRECTED CUSTODY FEE)	(\$1.03)	\$0.00
	12/08/2021	61 Fee/Commission	0.000	DIRECTED CUSTODY FEE FOR THE PERIOD ENDED 11/30/21		5008 (DIRECTED CUSTODY FEE)	(\$0.97)	\$0.00

Total subtractions USD

Transactions

Select a Different Account

 SUZANNA E MORA	Account Value  As of 04/08/2022
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Trade Date	Fund Name	Activity Detail	Share Price	Shares	Share Balance	Amount
12/21/2021	American Funds Global Balanced Fund – A (37)	FOREIGN TAX PAID	\$0.00	0.0000	260.643	\$1.09
12/21/2021	American Funds Global Balanced Fund – A (37)	INCOME DIVIDEND 0.197	\$37.81	1.2950	260.643	\$48.96
12/21/2021	American Funds Global Balanced Fund – A (37)	CAPITAL GAIN 1.643	\$37.81	10.8000	259.348	\$408.36
12/21/2021	American Funds Global Balanced Fund – C (337)	FOREIGN TAX PAID	\$0.00	0.0000	402.089	\$1.69
12/21/2021	American Funds Global Balanced Fund – C (337)	CAPITAL GAIN 1.643	\$37.75	16.7180	402.089	\$631.09
12/21/2021	American Funds Global Balanced Fund – C (337)	INCOME DIVIDEND 0.1241	\$37.75	1.2630	385.371	\$47.67
12/21/2021	International Growth and Income Fund – C (334)	CAPITAL GAIN 1.725	\$38.30	22.3870	520.796	\$857.43
12/21/2021	International Growth and Income Fund – C (334)	INCOME DIVIDEND 0.1041	\$38.30	1.3510	498.409	\$51.74
12/21/2021	International Growth and Income Fund – C (334)	FOREIGN TAX PAID	\$0.00	0.0000	497.058	\$1.59
12/17/2021	Capital Income Builder – A (12)	SPECIAL DIVIDEND 0.605	\$68.32	0.0710	8.165	\$4.87
12/17/2021	Capital Income Builder – A (12)	INCOME DIVIDEND 0.40	\$68.32	0.0470	8.094	\$3.22
12/17/2021	Fundamental Investors – C (310)	CAPITAL GAIN 5.272	\$73.17	52.8130	788.632	\$3,864.33
12/17/2021	Fundamental Investors – C (310)	INCOME DIVIDEND 0.0202	\$73.17	0.2020	735.819	\$14.81
12/17/2021	Fundamental Investors – C (310)	SPECIAL DIVIDEND 0.262	\$73.17	2.6250	735.617	\$192.04
12/17/2021	The Growth Fund of America – A (5)	INCOME DIVIDEND 0.0646	\$71.69	0.4000	481.021	\$28.65
12/17/2021	The Growth Fund of America – A (5)	CAPITAL GAIN 6.014	\$71.69	37.1980	480.621	\$2,666.75
12/17/2021	The Growth Fund of America – C (305)	CAPITAL GAIN 6.014	\$63.75	48.8410	566.571	\$3,113.63
12/17/2021	Washington Mutual Investors Fund – C (301)	SPECIAL DIVIDEND 0.03	\$57.73	0.5010	984.785	\$28.93

Transaction Total 

Trade Date	Fund Name	Activity Detail	Share Price	Shares	Share Balance	Amount
12/17/2021	Washington Mutual Investors Fund - C (301)	INCOME DIVIDEND 0.0971	\$57.73	1.6220	984.284	\$93.63
12/17/2021	Washington Mutual Investors Fund - C (301)	CAPITAL GAIN 1.102	\$57.73	18.4070	982.662	\$1,062.61
12/16/2021	EuroPacific Growth Fund - C (316)	CAPITAL GAIN 2.3945	\$60.94	12.9140	344.282	\$786.96
12/16/2021	EuroPacific Growth Fund - C (316)	INCOME DIVIDEND 0.5035	\$60.94	2.7150	331.368	\$165.48
12/16/2021	EuroPacific Growth Fund - C (316)	FOREIGN TAX PAID	\$0.00	0.0000	328.653	\$18.83
12/16/2021	New Perspective Fund - C (307)	CAPITAL GAIN 4.4319	\$60.55	29.1260	427.060	\$1,763.60
12/16/2021	SMALLCAP World Fund - A (35)	FOREIGN TAX PAID	\$0.00	0.0000	349.519	\$17.09
12/16/2021	SMALLCAP World Fund - A (35)	CAPITAL GAIN 7.396	\$76.98	30.6370	349.519	\$2,358.45
12/16/2021	SMALLCAP World Fund - C (335)	FOREIGN TAX PAID	\$0.00	0.0000	483.146	\$23.18
12/16/2021	SMALLCAP World Fund - C (335)	CAPITAL GAIN 7.396	\$63.16	50.6460	483.146	\$3,198.77
12/15/2021	AMCAP Fund - C (302)	CAPITAL GAIN 1.171	\$37.44	20.3380	670.601	\$761.46
12/15/2021	American Mutual Fund - A (3)	SPECIAL DIVIDEND 0.05	\$52.08	0.4720	509.243	\$24.58
12/15/2021	American Mutual Fund - A (3)	INCOME DIVIDEND 0.205	\$52.08	1.9350	508.771	\$100.78
12/15/2021	American Mutual Fund - A (3)	CAPITAL GAIN 1.611	\$52.08	15.2080	506.836	\$792.01
12/15/2021	American Mutual Fund - C (303)	CAPITAL GAIN 1.611	\$51.23	12.6020	414.587	\$645.61
12/15/2021	American Mutual Fund - C (303)	SPECIAL DIVIDEND 0.05	\$51.23	0.3910	401.985	\$20.04
12/15/2021	American Mutual Fund - C (303)	INCOME DIVIDEND 0.1075	\$51.23	0.8410	401.594	\$43.08
12/15/2021	The Investment Company of America - C (304)	INCOME DIVIDEND 0.0569	\$50.33	1.2070	1,123.643	\$60.77
12/15/2021	The Investment Company of America - C (304)	CAPITAL GAIN 2.563	\$50.33	54.3890	1,122.436	\$2,737.40
12/15/2021	The New Economy Fund - C (314)	CAPITAL GAIN 4.672	\$51.67	82.8680	999.349	\$4,281.80
12/14/2021	American Balanced Fund - C (311)	INCOME DIVIDEND 0.0371	\$32.50	1.5310	1,378.280	\$49.76
12/14/2021	American Balanced Fund - C (311)	CAPITAL GAIN 0.86	\$32.50	35.4920	1,376.749	\$1,153.48
12/10/2021	American Funds Global Balanced Fund - A (37)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$39.96	16.2170	248.548	\$648.04
12/10/2021	American Funds Global Balanced Fund - A (37)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$39.96	0.3610	232.331	\$14.42
12/10/2021	American Funds Global Balanced Fund - C (337)	SHARE CLASS DIV EXCH	\$39.84	0.3620	384.108	-\$14.42
12/10/2021	American Funds Global Balanced Fund - C (337)	SHARE CLASS EXCHANGE	\$39.84	16.2660	384.470	-\$648.04

Transaction Total

Trade Date	Fund Name	Activity Detail	Share Price	Shares	Share Balance	Amount
12/10/2021	American Mutual Fund – A (3)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$53.78	14.3040	491.628	\$769.28
12/10/2021	American Mutual Fund – A (3)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$53.78	3.9510	477.324	\$212.46
12/10/2021	American Mutual Fund – C (303)	SHARE CLASS DIV EXCH	\$52.85	4.0200	400.753	–\$212.46
12/10/2021	American Mutual Fund – C (303)	SHARE CLASS EXCHANGE	\$52.85	14.5560	404.773	–\$769.28
12/10/2021	The Growth Fund of America – A (5)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$80.07	10.5590	443.423	\$845.44
12/10/2021	The Growth Fund of America – A (5)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$80.07	4.9990	432.864	\$400.24
12/10/2021	The Growth Fund of America – C (305)	SHARE CLASS DIV EXCH	\$71.83	5.5720	517.730	–\$400.24
12/10/2021	The Growth Fund of America – C (305)	SHARE CLASS EXCHANGE	\$71.83	11.7700	523.302	–\$845.44
12/10/2021	SMALLCAP World Fund – A (35)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$86.40	8.9280	318.882	\$771.41
12/10/2021	SMALLCAP World Fund – A (35)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$86.40	2.6330	309.954	\$227.52
12/10/2021	SMALLCAP World Fund – C (335)	SHARE CLASS DIV EXCH	\$72.25	3.1490	432.500	–\$227.52
12/10/2021	SMALLCAP World Fund – C (335)	SHARE CLASS EXCHANGE	\$72.25	10.6770	435.649	–\$771.41
11/30/2021	American High-Income Trust – C (321)	INCOME DIVIDEND	\$10.40	0.7640	252.441	\$7.95
11/10/2021	American Funds Global Balanced Fund – A (37)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$40.14	16.2290	231.970	\$651.45
11/10/2021	American Funds Global Balanced Fund – A (37)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$40.14	0.3610	215.741	\$14.50
11/10/2021	American Funds Global Balanced Fund – C (337)	SHARE CLASS DIV EXCH	\$40.05	0.3620	400.736	–\$14.50
11/10/2021	American Funds Global Balanced Fund – C (337)	SHARE CLASS EXCHANGE	\$40.05	16.2660	401.098	–\$651.45
11/10/2021	American Mutual Fund – A (3)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$53.29	14.3490	473.373	\$764.67
11/10/2021	American Mutual Fund – A (3)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$53.29	3.9610	459.024	\$211.07
11/10/2021	American Mutual Fund – C (303)	SHARE CLASS DIV EXCH	\$52.40	4.0280	419.329	–\$211.07
11/10/2021	American Mutual Fund – C (303)	SHARE CLASS EXCHANGE	\$52.40	14.5930	423.357	–\$764.67

Transaction Total

Trade Date	Fund Name	Activity Detail	Share Price	Shares	Share Balance	Amount
11/10/2021	The Growth Fund of America - A (5)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$82.27	10.7110	427.865	\$881.22
11/10/2021	The Growth Fund of America - A (5)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$82.27	5.0710	417.154	\$417.16
11/10/2021	The Growth Fund of America - C (305)	SHARE CLASS DIV EXCH	\$73.86	5.6480	535.072	-\$417.16
11/10/2021	The Growth Fund of America - C (305)	SHARE CLASS EXCHANGE	\$73.86	11.9310	540.720	-\$881.22
11/10/2021	SMALLCAP World Fund - A (35)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$92.17	9.0130	307.321	\$830.74
11/10/2021	SMALLCAP World Fund - A (35)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$92.17	2.6560	298.308	\$244.78
11/10/2021	SMALLCAP World Fund - C (335)	SHARE CLASS DIV EXCH	\$77.12	3.1740	446.326	-\$244.78
11/10/2021	SMALLCAP World Fund - C (335)	SHARE CLASS EXCHANGE	\$77.12	10.7720	449.500	-\$830.74
10/29/2021	American High-Income Trust - C (321)	INCOME DIVIDEND	\$10.52	0.7120	251.677	\$7.49
10/11/2021	American Funds Global Balanced Fund - A (37)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$39.09	16.2370	215.380	\$634.70
10/11/2021	American Funds Global Balanced Fund - A (37)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$39.09	0.3610	199.143	\$14.13
10/11/2021	American Funds Global Balanced Fund - C (337)	SHARE CLASS DIV EXCH	\$39.02	0.3620	417.364	-\$14.13
10/11/2021	American Funds Global Balanced Fund - C (337)	SHARE CLASS EXCHANGE	\$39.02	16.2660	417.726	-\$634.70
10/11/2021	American Mutual Fund - A (3)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$50.64	14.9460	455.063	\$756.87
10/11/2021	American Mutual Fund - A (3)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$50.64	4.1290	440.117	\$209.09
10/11/2021	American Mutual Fund - C (303)	SHARE CLASS DIV EXCH	\$49.83	4.1960	437.950	-\$209.09
10/11/2021	American Mutual Fund - C (303)	SHARE CLASS EXCHANGE	\$49.83	15.1890	442.146	-\$756.87
10/11/2021	The Growth Fund of America - A (5)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$76.24	11.0090	412.083	\$839.36
10/11/2021	The Growth Fund of America - A (5)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$76.24	5.2060	401.074	\$396.91
10/11/2021	The Growth Fund of America - C (305)	SHARE CLASS DIV EXCH	\$68.48	5.7960	552.651	-\$396.91
10/11/2021	The Growth Fund of America - C (305)	SHARE CLASS EXCHANGE	\$68.48	12.2570	558.447	-\$839.36

Transaction Total

Trade Date	Fund Name	Activity Detail	Share Price	Shares	Share Balance	Amount
10/11/2021	SMALLCAP World Fund – A (35)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$87.18	9.0910	295.652	\$792.56
10/11/2021	SMALLCAP World Fund – A (35)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$87.18	2.6750	286.561	\$233.24
10/11/2021	SMALLCAP World Fund – C (335)	SHARE CLASS DIV EXCH	\$73.00	3.1950	460.272	–\$233.24
10/11/2021	SMALLCAP World Fund – C (335)	SHARE CLASS EXCHANGE	\$73.00	10.8570	463.467	–\$792.56
09/30/2021	American High-Income Trust – C (321)	INCOME DIVIDEND	\$10.55	0.7120	250.965	\$7.51
09/24/2021	American Funds Global Balanced Fund – A (37)	FOREIGN TAX PAID	\$0.00	0.0000	260.643	\$0.91
09/24/2021	American Funds Global Balanced Fund – A (37)	INCOME DIVIDEND 0.176	\$39.77	0.8760	198.782	\$34.83
09/24/2021	American Funds Global Balanced Fund – C (337)	FOREIGN TAX PAID	\$0.00	0.0000	402.089	\$1.99
09/24/2021	American Funds Global Balanced Fund – C (337)	INCOME DIVIDEND 0.1021	\$39.71	1.1130	433.992	\$44.20
09/24/2021	International Growth and Income Fund – C (334)	FOREIGN TAX PAID	\$0.00	0.0000	520.796	\$1.68
09/24/2021	International Growth and Income Fund – C (334)	INCOME DIVIDEND 0.231	\$41.00	2.7850	497.058	\$114.18
09/15/2021	American Mutual Fund – A (3)	INCOME DIVIDEND 0.205	\$51.12	1.7410	435.988	\$89.02
09/15/2021	American Mutual Fund – C (303)	INCOME DIVIDEND 0.1095	\$50.33	0.9930	457.335	\$49.97
09/15/2021	Fundamental Investors – C (310)	INCOME DIVIDEND 0.0204	\$78.12	0.1910	732.992	\$14.95
09/15/2021	The Investment Company of America – C (304)	INCOME DIVIDEND 0.0583	\$51.33	1.2120	1,068.047	\$62.20
09/15/2021	Washington Mutual Investors Fund – C (301)	INCOME DIVIDEND 0.0987	\$56.18	1.6910	964.255	\$95.01
09/13/2021	American Balanced Fund – C (311)	INCOME DIVIDEND 0.0379	\$33.06	1.5360	1,341.257	\$50.78
09/13/2021	Capital Income Builder – A (12)	INCOME DIVIDEND 0.40	\$68.73	0.0470	8.047	\$3.20
09/10/2021	American Funds Global Balanced Fund – A (37)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$40.26	16.2180	197.906	\$652.92
09/10/2021	American Funds Global Balanced Fund – A (37)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$40.26	0.3190	181.688	\$12.84
09/10/2021	American Funds Global Balanced Fund – C (337)	SHARE CLASS DIV EXCH	\$40.14	0.3200	432.879	–\$12.84
09/10/2021	American Funds Global Balanced Fund – C (337)	SHARE CLASS EXCHANGE	\$40.14	16.2660	433.199	–\$652.92
09/10/2021	American Mutual Fund – A (3)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$50.95	15.2120	434.247	\$775.03

Transaction Total

Trade Date	Fund Name	Activity Detail	Share Price	Shares	Share Balance	Amount
09/10/2021	American Mutual Fund - A (3)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$50.95	4.1580	419.035	\$211.85
09/10/2021	American Mutual Fund - C (303)	SHARE CLASS DIV EXCH	\$50.07	4.2310	456.342	-\$211.85
09/10/2021	American Mutual Fund - C (303)	SHARE CLASS EXCHANGE	\$50.07	15.4790	460.573	-\$775.03
09/10/2021	The Growth Fund of America - A (5)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$78.25	11.4230	395.868	\$893.82
09/10/2021	The Growth Fund of America - A (5)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$78.25	5.4140	384.445	\$423.67
09/10/2021	The Growth Fund of America - C (305)	SHARE CLASS DIV EXCH	\$70.33	6.0240	570.704	-\$423.67
09/10/2021	The Growth Fund of America - C (305)	SHARE CLASS EXCHANGE	\$70.33	12.7090	576.728	-\$893.82
09/10/2021	SMALLCAP World Fund - A (35)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$92.50	9.5210	283.886	\$880.71
09/10/2021	SMALLCAP World Fund - A (35)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$92.50	2.8070	274.365	\$259.63
09/10/2021	SMALLCAP World Fund - C (335)	SHARE CLASS DIV EXCH	\$77.50	3.3500	474.324	-\$259.63
09/10/2021	SMALLCAP World Fund - C (335)	SHARE CLASS EXCHANGE	\$77.50	11.3640	477.674	-\$880.71
08/31/2021	American High-Income Trust - C (321)	INCOME DIVIDEND	\$10.54	0.7330	250.253	\$7.73
08/10/2021	American Funds Global Balanced Fund - A (37)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$39.80	16.2290	181.369	\$645.92
08/10/2021	American Funds Global Balanced Fund - A (37)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$39.80	0.3190	165.140	\$12.71
08/10/2021	American Funds Global Balanced Fund - C (337)	SHARE CLASS DIV EXCH	\$39.71	0.3200	449.465	-\$12.71
08/10/2021	American Funds Global Balanced Fund - C (337)	SHARE CLASS EXCHANGE	\$39.71	16.2660	449.785	-\$645.92
08/10/2021	American Mutual Fund - A (3)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$51.11	15.1340	414.877	\$773.50
08/10/2021	American Mutual Fund - A (3)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$51.11	4.1330	399.743	\$211.24
08/10/2021	American Mutual Fund - C (303)	SHARE CLASS DIV EXCH	\$50.26	4.2030	476.052	-\$211.24
08/10/2021	American Mutual Fund - C (303)	SHARE CLASS EXCHANGE	\$50.26	15.3900	480.255	-\$773.50
08/10/2021	The Growth Fund of America - A (5)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$76.43	11.5220	379.031	\$880.63

Transaction Total

Trade Date	Fund Name	Activity Detail	Share Price	Shares	Share Balance	Amount
08/10/2021	The Growth Fund of America - A (5)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$76.43	5.4500	367.509	\$416.56
08/10/2021	The Growth Fund of America - C (305)	SHARE CLASS DIV EXCH	\$68.74	6.0600	589.437	-\$416.56
08/10/2021	The Growth Fund of America - C (305)	SHARE CLASS EXCHANGE	\$68.74	12.8110	595.497	-\$880.63
08/10/2021	SMALLCAP World Fund - A (35)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$89.43	9.5940	271.558	\$857.96
08/10/2021	SMALLCAP World Fund - A (35)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$89.43	2.8260	261.964	\$252.72
08/10/2021	SMALLCAP World Fund - C (335)	SHARE CLASS DIV EXCH	\$74.97	3.3710	489.038	-\$252.72
08/10/2021	SMALLCAP World Fund - C (335)	SHARE CLASS EXCHANGE	\$74.97	11.4440	492.409	-\$857.96
07/30/2021	American High-Income Trust - C (321)	INCOME DIVIDEND	\$10.55	0.6380	249.520	\$6.73
07/29/2021	American Funds Global Balanced Fund - A (37)	FUNDSLINK REDEMPTION	\$39.81	50.2390	164.821	-\$2,000.00
07/29/2021	American Mutual Fund - A (3)	FUNDSLINK REDEMPTION	\$50.94	39.2620	395.610	-\$2,000.00
07/29/2021	Capital Income Builder - A (12)	FUNDSLINK REDEMPTION	\$68.86	29.0440	8.000	-\$2,000.00
07/29/2021	The Growth Fund of America - A (5)	FUNDSLINK REDEMPTION	\$76.15	26.2640	362.059	-\$2,000.00
07/29/2021	SMALLCAP World Fund - A (35)	FUNDSLINK REDEMPTION	\$88.80	22.5230	259.138	-\$2,000.00
07/12/2021	American Funds Global Balanced Fund - A (37)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$39.64	16.2370	215.060	\$643.65
07/12/2021	American Funds Global Balanced Fund - A (37)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$39.64	0.3190	198.823	\$12.66
07/12/2021	American Funds Global Balanced Fund - C (337)	SHARE CLASS DIV EXCH	\$39.57	0.3200	466.051	-\$12.66
07/12/2021	American Funds Global Balanced Fund - C (337)	SHARE CLASS EXCHANGE	\$39.57	16.2660	466.371	-\$643.65
07/12/2021	American Mutual Fund - A (3)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$50.76	15.4920	434.872	\$786.36
07/12/2021	American Mutual Fund - A (3)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$50.76	4.2380	419.380	\$215.13
07/12/2021	American Mutual Fund - C (303)	SHARE CLASS DIV EXCH	\$49.95	4.3070	495.645	-\$215.13
07/12/2021	American Mutual Fund - C (303)	SHARE CLASS EXCHANGE	\$49.95	15.7430	499.952	-\$786.36
07/12/2021	The Growth Fund of America - A (5)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$75.79	11.8840	388.323	\$900.71

Transaction Total

Trade Date	Fund Name	Activity Detail	Share Price	Shares	Share Balance	Amount
07/12/2021	The Growth Fund of America – A (5)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$75.79	5.6290	376.439	\$426.59
07/12/2021	The Growth Fund of America – C (305)	SHARE CLASS DIV EXCH	\$68.21	6.2540	608.308	-\$426.59
07/12/2021	The Growth Fund of America – C (305)	SHARE CLASS EXCHANGE	\$68.21	13.2050	614.562	-\$900.71
07/12/2021	SMALLCAP World Fund – A (35)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$88.43	9.9290	281.661	\$878.02
07/12/2021	SMALLCAP World Fund – A (35)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$88.43	2.9230	271.732	\$258.48
07/12/2021	SMALLCAP World Fund – C (335)	SHARE CLASS DIV EXCH	\$74.17	3.4850	503.853	-\$258.48
07/12/2021	SMALLCAP World Fund – C (335)	SHARE CLASS EXCHANGE	\$74.17	11.8380	507.338	-\$878.02
06/30/2021	American High-Income Trust – C (321)	INCOME DIVIDEND	\$10.56	0.5530	248.882	\$5.84
06/25/2021	American Funds Global Balanced Fund – A (37)	FOREIGN TAX PAID	\$0.00	0.0000	260.643	\$0.91
06/25/2021	American Funds Global Balanced Fund – A (37)	INCOME DIVIDEND 0.146	\$39.50	0.7310	198.504	\$28.87
06/25/2021	American Funds Global Balanced Fund – C (337)	FOREIGN TAX PAID	\$0.00	0.0000	402.089	\$2.22
06/25/2021	American Funds Global Balanced Fund – C (337)	INCOME DIVIDEND 0.0727	\$39.44	0.8880	482.637	\$35.02
06/25/2021	International Growth and Income Fund – C (334)	FOREIGN TAX PAID	\$0.00	0.0000	520.796	\$1.62
06/25/2021	International Growth and Income Fund – C (334)	INCOME DIVIDEND 0.2706	\$42.09	3.1580	494.273	\$132.90
06/16/2021	AMCAP Fund – C (302)	CAPITAL GAIN 1.529	\$35.21	27.0620	650.263	\$952.87
06/16/2021	American Mutual Fund – A (3)	INCOME DIVIDEND 0.205	\$50.15	1.6900	415.142	\$84.76
06/16/2021	American Mutual Fund – C (303)	INCOME DIVIDEND 0.1115	\$49.38	1.1620	515.695	\$57.37
06/16/2021	Fundamental Investors – C (310)	INCOME DIVIDEND 0.0219	\$75.35	0.2970	732.801	\$15.60
06/16/2021	Fundamental Investors – C (310)	CAPITAL GAIN 2.12	\$75.35	20.0480	732.594	\$1,510.60
06/16/2021	The Investment Company of America – C (304)	INCOME DIVIDEND 0.0612	\$48.94	1.3210	1,066.835	\$64.66
06/16/2021	The Investment Company of America – C (304)	CAPITAL GAIN 0.413	\$48.94	8.9160	1,065.514	\$436.37
06/16/2021	Washington Mutual Investors Fund – C (301)	INCOME DIVIDEND 0.0978	\$54.86	1.6610	962.564	\$91.14
06/16/2021	Washington Mutual Investors Fund – C (301)	CAPITAL GAIN 1.7077	\$54.86	29.0080	960.903	\$1,591.40
06/14/2021	American Balanced Fund – C (311)	CAPITAL GAIN 0.178	\$32.48	7.2930	1,339.721	\$236.89
06/14/2021	American Balanced Fund – C (311)	INCOME DIVIDEND 0.0393	\$32.48	1.6100	1,332.428	\$52.30

Transaction Total

Trade Date	Fund Name	Activity Detail	Share Price	Shares	Share Balance	Amount
06/14/2021	Capital Income Builder – A (12)	INCOME DIVIDEND 0.40	\$69.38	0.2120	37.044	\$14.73
06/14/2021	EuroPacific Growth Fund – C (316)	FOREIGN TAX PAID	\$0.00	0.0000	344.282	\$18.52
06/14/2021	EuroPacific Growth Fund – C (316)	CAPITAL GAIN 3.0167	\$69.34	13.7020	328.653	\$950.11
06/10/2021	American Funds Global Balanced Fund – A (37)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$39.68	14.5950	197.773	\$579.12
06/10/2021	American Funds Global Balanced Fund – A (37)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$39.68	0.2590	183.178	\$10.29
06/10/2021	American Funds Global Balanced Fund – C (337)	SHARE CLASS DIV EXCH	\$39.56	0.2600	481.749	–\$10.29
06/10/2021	American Funds Global Balanced Fund – C (337)	SHARE CLASS EXCHANGE	\$39.56	14.6390	482.009	–\$579.12
06/10/2021	American Mutual Fund – A (3)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$50.68	13.9270	413.452	\$705.81
06/10/2021	American Mutual Fund – A (3)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$50.68	3.7660	399.525	\$190.87
06/10/2021	American Mutual Fund – C (303)	SHARE CLASS DIV EXCH	\$49.81	3.8320	514.533	–\$190.87
06/10/2021	American Mutual Fund – C (303)	SHARE CLASS EXCHANGE	\$49.81	14.1700	518.365	–\$705.81
06/10/2021	The Growth Fund of America – A (5)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$73.09	10.8150	370.810	\$790.44
06/10/2021	The Growth Fund of America – A (5)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$73.09	5.1180	359.995	\$374.06
06/10/2021	The Growth Fund of America – C (305)	SHARE CLASS DIV EXCH	\$65.81	5.6840	627.767	–\$374.06
06/10/2021	The Growth Fund of America – C (305)	SHARE CLASS EXCHANGE	\$65.81	12.0110	633.451	–\$790.44
06/10/2021	SMALLCAP World Fund – A (35)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$88.17	8.9560	268.809	\$789.65
06/10/2021	SMALLCAP World Fund – A (35)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$88.17	2.6360	259.853	\$232.43
06/10/2021	SMALLCAP World Fund – C (335)	SHARE CLASS DIV EXCH	\$74.00	3.1410	519.176	–\$232.43
06/10/2021	SMALLCAP World Fund – C (335)	SHARE CLASS EXCHANGE	\$74.00	10.6710	522.317	–\$789.65
06/03/2021	AMCAP Fund – C (302)	FUNDSLINK PURCHASE	\$36.28	71.6650	623.201	\$2,600.00
06/03/2021	American Balanced Fund – C (311)	FUNDSLINK PURCHASE	\$32.49	80.0250	1,330.818	\$2,600.00

Transaction Total

Trade Date	Fund Name	Activity Detail	Share Price	Shares	Share Balance	Amount
06/03/2021	American Funds Global Balanced Fund – A (37)	FUNDSLINK PURCHASE Sales charge 1.99% ¹ Made at the \$500,000 discount level.	\$40.15	64.7570	182.919	\$2,600.00 -\$51.80
06/03/2021	American Funds Global Balanced Fund – C (337)	FUNDSLINK PURCHASE	\$39.24	66.2590	496.648	\$2,600.00
06/03/2021	American High-Income Trust – C (321)	FUNDSLINK PURCHASE	\$10.47	248.3290	248.329	\$2,600.00
06/03/2021	American Mutual Fund – A (3)	FUNDSLINK PURCHASE Sales charge 2.00% ¹ Made at the \$500,000 discount level.	\$51.53	50.4560	395.759	\$2,600.00 -\$51.97
06/03/2021	American Mutual Fund – C (303)	FUNDSLINK PURCHASE	\$49.64	52.3770	532.535	\$2,600.00
06/03/2021	Capital Income Builder – A (12)	FUNDSLINK PURCHASE Sales charge 2.00% ¹ Made at the \$500,000 discount level.	\$70.59	36.8320	36.832	\$2,600.00 -\$51.93
06/03/2021	EuroPacific Growth Fund – C (316)	FUNDSLINK PURCHASE	\$71.11	36.5630	314.951	\$2,600.00
06/03/2021	Fundamental Investors – C (310)	FUNDSLINK PURCHASE	\$77.08	33.7310	712.546	\$2,600.00
06/03/2021	The Growth Fund of America – A (5)	FUNDSLINK PURCHASE Sales charge 2.00% ¹ Made at the \$500,000 discount level.	\$73.13	35.5530	354.877	\$2,600.00 -\$51.91
06/03/2021	The Growth Fund of America – C (305)	FUNDSLINK PURCHASE	\$64.55	40.2790	645.462	\$2,600.00
06/03/2021	International Growth and Income Fund – C (334)	FUNDSLINK PURCHASE	\$42.63	60.9900	491.115	\$2,600.00
06/03/2021	The Investment Company of America – C (304)	FUNDSLINK PURCHASE	\$49.21	52.8350	1,056.598	\$2,600.00
06/03/2021	The New Economy Fund – C (314)	FUNDSLINK PURCHASE	\$53.34	48.7440	916.481	\$2,600.00
06/03/2021	New Perspective Fund – C (307)	FUNDSLINK PURCHASE	\$61.60	42.2080	397.934	\$2,600.00
06/03/2021	SMALLCAP World Fund – A (35)	FUNDSLINK PURCHASE Sales charge 2.00% ¹ Made at the \$500,000 discount level.	\$88.01	29.5420	257.217	\$2,600.00 -\$51.99
06/03/2021	SMALLCAP World Fund – C (335)	FUNDSLINK PURCHASE	\$72.40	35.9120	532.988	\$2,600.00
06/03/2021	Washington Mutual Investors Fund – C (301)	FUNDSLINK PURCHASE	\$57.02	45.5980	931.895	\$2,600.00
05/10/2021	American Funds Global Balanced Fund – A (37)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$39.11	12.9820	118.162	\$507.73

Transaction Total

Trade Date	Fund Name	Activity Detail	Share Price	Shares	Share Balance	Amount
05/10/2021	American Funds Global Balanced Fund – A (37)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$39.11	0.2660	105.180	\$10.42
05/10/2021	American Funds Global Balanced Fund – C (337)	SHARE CLASS DIV EXCH	\$39.02	0.2670	430.389	–\$10.42
05/10/2021	American Funds Global Balanced Fund – C (337)	SHARE CLASS EXCHANGE	\$39.02	13.0120	430.656	–\$507.73
05/10/2021	American Mutual Fund – A (3)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$50.81	12.3140	345.303	\$625.67
05/10/2021	American Mutual Fund – A (3)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$50.81	3.8050	332.989	\$193.33
05/10/2021	American Mutual Fund – C (303)	SHARE CLASS DIV EXCH	\$49.97	3.8690	480.158	–\$193.33
05/10/2021	American Mutual Fund – C (303)	SHARE CLASS EXCHANGE	\$49.97	12.5210	484.027	–\$625.67
05/10/2021	The Growth Fund of America – A (5)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$71.02	9.6880	319.324	\$688.06
05/10/2021	The Growth Fund of America – A (5)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$71.02	5.0490	309.636	\$358.59
05/10/2021	The Growth Fund of America – C (305)	SHARE CLASS DIV EXCH	\$64.00	5.6030	605.183	–\$358.59
05/10/2021	The Growth Fund of America – C (305)	SHARE CLASS EXCHANGE	\$64.00	10.7510	610.786	–\$688.06
05/10/2021	SMALLCAP World Fund – A (35)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$84.40	7.9720	227.675	\$672.86
05/10/2021	SMALLCAP World Fund – A (35)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$84.40	2.5690	219.703	\$216.82
05/10/2021	SMALLCAP World Fund – C (335)	SHARE CLASS DIV EXCH	\$70.88	3.0590	497.076	–\$216.82
05/10/2021	SMALLCAP World Fund – C (335)	SHARE CLASS EXCHANGE	\$70.88	9.4930	500.135	–\$672.86
04/12/2021	American Funds Global Balanced Fund – A (37)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$38.52	58.4490	104.914	\$2,251.44
04/12/2021	American Funds Global Balanced Fund – A (37)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$38.52	1.2020	46.465	\$46.29
04/12/2021	American Funds Global Balanced Fund – C (337)	SHARE CLASS DIV EXCH	\$38.45	1.2040	443.668	–\$46.29
04/12/2021	American Funds Global Balanced Fund – C (337)	SHARE CLASS EXCHANGE	\$38.45	58.5550	444.872	–\$2,251.44
04/12/2021	American Mutual Fund – A (3)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$48.84	56.7770	329.184	\$2,773.01
04/12/2021	American Mutual Fund – A (3)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$48.84	17.5470	272.407	\$857.01

Transaction Total

Trade Date	Fund Name	Activity Detail	Share Price	Shares	Share Balance	Amount
04/12/2021	American Mutual Fund - C (303)	SHARE CLASS DIV EXCH	\$48.06	17.8320	496.548	-\$857.01
04/12/2021	American Mutual Fund - C (303)	SHARE CLASS EXCHANGE	\$48.06	57.6990	514.380	-\$2,773.01
04/12/2021	The Growth Fund of America - A (5)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$72.06	45.1210	304.587	\$3,251.42
04/12/2021	The Growth Fund of America - A (5)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$72.06	23.5210	259.466	\$1,694.94
04/12/2021	The Growth Fund of America - C (305)	SHARE CLASS DIV EXCH	\$64.97	26.0880	621.537	-\$1,694.94
04/12/2021	The Growth Fund of America - C (305)	SHARE CLASS EXCHANGE	\$64.97	50.0450	647.625	-\$3,251.42
04/12/2021	SMALLCAP World Fund - A (35)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$84.63	37.2850	217.134	\$3,155.45
04/12/2021	SMALLCAP World Fund - A (35)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$84.63	12.0380	179.849	\$1,018.79
04/12/2021	SMALLCAP World Fund - C (335)	SHARE CLASS DIV EXCH	\$71.12	14.3250	509.628	-\$1,018.79
04/12/2021	SMALLCAP World Fund - C (335)	SHARE CLASS EXCHANGE	\$71.12	44.3680	523.953	-\$3,155.45
03/26/2021	American Funds Global Balanced Fund - A (37)	FOREIGN TAX PAID	\$0.00	0.0000	248.548	\$0.21
03/26/2021	American Funds Global Balanced Fund - A (37)	INCOME DIVIDEND 0.155	\$38.00	0.1840	45.263	\$6.99
03/26/2021	American Funds Global Balanced Fund - C (337)	FOREIGN TAX PAID	\$0.00	0.0000	384.108	\$2.31
03/26/2021	American Funds Global Balanced Fund - C (337)	INCOME DIVIDEND 0.0847	\$37.95	1.1210	503.427	\$42.55
03/26/2021	International Growth and Income Fund - C (334)	FOREIGN TAX PAID	\$0.00	0.0000	497.058	\$1.20
03/26/2021	International Growth and Income Fund - C (334)	INCOME DIVIDEND 0.0771	\$40.09	0.8260	430.125	\$33.10
03/17/2021	American Mutual Fund - A (3)	INCOME DIVIDEND 0.205	\$47.45	1.0960	254.860	\$52.02
03/17/2021	American Mutual Fund - C (303)	INCOME DIVIDEND 0.123	\$46.72	1.5020	572.079	\$70.18
03/17/2021	Fundamental Investors - C (310)	INCOME DIVIDEND 0.0385	\$73.40	0.3560	678.815	\$26.12
03/17/2021	The Investment Company of America - C (304)	INCOME DIVIDEND 0.0723	\$47.00	1.5420	1,003.763	\$72.46
03/17/2021	Washington Mutual Investors Fund - C (301)	INCOME DIVIDEND 0.1109	\$53.42	1.8360	886.297	\$98.09
03/15/2021	American Balanced Fund - C (311)	INCOME DIVIDEND 0.0443	\$30.91	1.7900	1,250.793	\$55.33
03/10/2021	American Funds Global Balanced Fund - A (37)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$37.85	12.9740	45.079	\$491.07

Transaction Total

Trade Date	Fund Name	Activity Detail	Share Price	Shares	Share Balance	Amount
03/10/2021	American Funds Global Balanced Fund – A (37)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$37.85	0.2370	32.105	\$8.98
03/10/2021	American Funds Global Balanced Fund – C (337)	SHARE CLASS DIV EXCH	\$37.74	0.2380	502.306	-\$8.98
03/10/2021	American Funds Global Balanced Fund – C (337)	SHARE CLASS EXCHANGE	\$37.74	13.0120	502.544	-\$491.07
03/10/2021	American Mutual Fund – A (3)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$47.10	13.0590	253.764	\$615.10
03/10/2021	American Mutual Fund – A (3)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$47.10	3.9920	240.705	\$188.02
03/10/2021	American Mutual Fund – C (303)	SHARE CLASS DIV EXCH	\$46.30	4.0610	570.577	-\$188.02
03/10/2021	American Mutual Fund – C (303)	SHARE CLASS EXCHANGE	\$46.30	13.2850	574.638	-\$615.10
03/10/2021	The Growth Fund of America – A (5)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$68.31	10.2530	235.945	\$700.36
03/10/2021	The Growth Fund of America – A (5)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$68.31	5.3500	225.692	\$365.47
03/10/2021	The Growth Fund of America – C (305)	SHARE CLASS DIV EXCH	\$61.63	5.9300	697.670	-\$365.47
03/10/2021	The Growth Fund of America – C (305)	SHARE CLASS EXCHANGE	\$61.63	11.3640	703.600	-\$700.36
03/10/2021	SMALLCAP World Fund – A (35)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$81.85	8.3960	167.811	\$687.24
03/10/2021	SMALLCAP World Fund – A (35)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$81.85	2.7080	159.415	\$221.67
03/10/2021	SMALLCAP World Fund – C (335)	SHARE CLASS DIV EXCH	\$68.82	3.2210	568.321	-\$221.67
03/10/2021	SMALLCAP World Fund – C (335)	SHARE CLASS EXCHANGE	\$68.82	9.9860	571.542	-\$687.24
02/10/2021	American Funds Global Balanced Fund – A (37)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$38.47	12.9820	31.868	\$499.40
02/10/2021	American Funds Global Balanced Fund – A (37)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$38.47	0.2370	18.886	\$9.13
02/10/2021	American Funds Global Balanced Fund – C (337)	SHARE CLASS DIV EXCH	\$38.38	0.2380	515.556	-\$9.13
02/10/2021	American Funds Global Balanced Fund – C (337)	SHARE CLASS EXCHANGE	\$38.38	13.0120	515.794	-\$499.40
02/10/2021	American Mutual Fund – A (3)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$45.86	13.2700	236.713	\$608.58
02/10/2021	American Mutual Fund – A (3)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$45.86	4.0580	223.443	\$186.12

Transaction Total

Trade Date	Fund Name	Activity Detail	Share Price	Shares	Share Balance	Amount
01/11/2021	SMALLCAP World Fund – A (35)	SHARE CLASS EXCHANGE Placed with no sales charge.	\$81.50	8,5060	145,542	\$693.24
01/11/2021	SMALLCAP World Fund – A (35)	SHARE CLASS DIV EXCH Placed with no sales charge.	\$81.50	2,7490	137,036	\$224.01
01/11/2021	SMALLCAP World Fund – C (335)	SHARE CLASS DIV EXCH	\$68.61	3,2650	594,799	-\$224.01
01/11/2021	SMALLCAP World Fund – C (335)	SHARE CLASS EXCHANGE	\$68.61	10,1040	598,064	-\$693.24
					Transaction Total	

¹**Sales charge rate.** Sales charges are based on the size of your purchase, current holdings or future purchases. The sales charge rate you may have paid can vary from the rate disclosed in the prospectus due to rounding when calculating the share price and number of shares purchased. For information on sales charges and discounts, please refer to the fund's prospectus or your Welcome brochure.

Pending transactions on your account will be shown above. Pending transactions have been successfully transmitted to American Funds; however, because the transactions have not yet been accepted or posted to your account, they are not reflected in your current share balance. American Funds reserves the right to reject any transaction. If pending transactions are accepted, your updated share balance will be available the following morning. Pending transactions are subject to written confirmation from American Funds.

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